

# SRNI - LECTURE 1

## INTRODUCTION

### SUBMANIFOLDS OF CONTACT STRUCTURES

- CONTACT STRUCTURES
- (1D) LEGENDRIAN & TRANSVERSE KNOTS , LEGENDRIAN GRAPHS
- (2D) CONVEX SURFACES
- NEIGHBOURHOOD THEOREMS
- TIGHT & OVERTWISTED CONTACT STRUCTURES

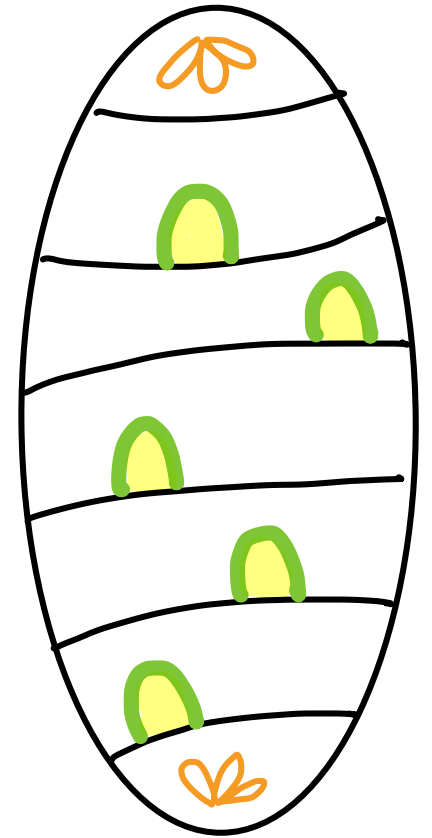


# THE GIROUX CORRESPONDENCE VIA CONVEX SURFACES

VERA VÉRTESI

JOINT WORK WITH JOAN LICATA

UNIVERSITY OF VIENNA



# INTRODUCTION

1973 WINKELNKEMPER: FIRST USED THE WORD  
"OPEN BOOK DECOMPOSITION"

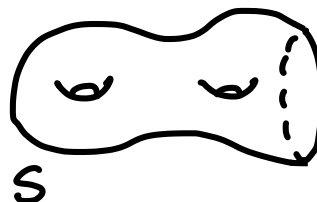
BUT IT WAS ALREADY KNOWN & STUDIED UNDER DIFFERENT NAMES:

- GLOBAL POINCARÉ-BIRKHOFF SECTION
- RELATIVE MAPPING TORUS
- LEFSHETZ/HILNOR FIBRATION
- FIBERED LINKS
- SPINNABLE STRUCTURES

DEF: OPEN BOOK:  $(S, \psi)$

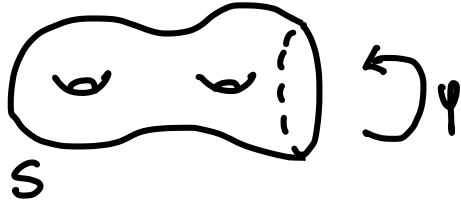
$\psi: S \rightarrow S$  HOMEOMORPHISM: MONODROMY

SURFACE WITH BOUNDARY



# INTRODUCTION

OPEN BOOKS



THURSTON  
WINKENKEMPLEY

CONTACT STRUCTURES

$(M^3, \xi)$

# INTRODUCTION

OPEN BOOKS

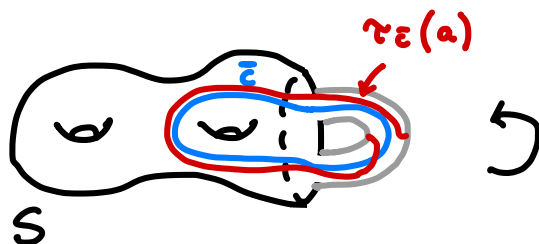
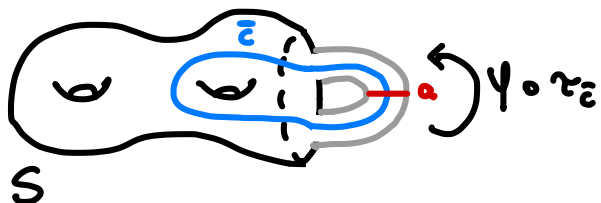
CONTACT STRUCTURES



THURSTON  
WINKENKEMPLER

$(M^3, \xi)$

POSITIVE  
STABILISATION



# INTRODUCTION

OPEN BOOKS

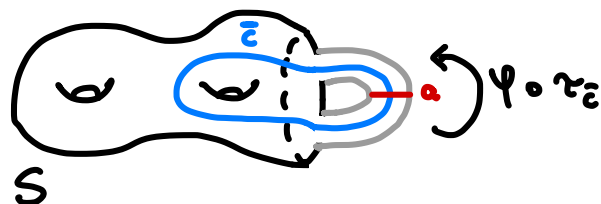
CONTACT STRUCTURES



THURSTON  
VINKENKEMPLER

$(M^3, \xi)$

POSITIVE  
STABILISATION



$(S, h)$

GIROUX

$(M^3, \xi)$

## GIROUX CORRESPONDENCE

OPEN BOOKS

$\leftrightarrow$

CONTACT STRUCTURES

POSITIVE STABILISATION

CONTACTOMORPHISM

# INTRODUCTION

## GIROUX CORRESPONDENCE

OPEN BOOKS

POSITIVE STABILISATION

$\leftrightarrow$  CONTACT STRUCTURES

CONTACTOMORPHISM

GC HAS BEEN EXTENSIVELY USED TO PROVE THMS ABOUT CTCT 3-MFDS

2000 THE ORIGINAL PROOF OF GIROUX WAS INCOMPLETE

( MASSOT WROTE DOWN A COMPLETE PROOF BUT DIDN'T  
PUBLISH IT )

2023 BREEN - HONDA - HUANG: PROOF OF THE GIROUX CORRESPONDENCE  
FOR CONTACT STRUCTURES IN ANY ODD DIMENSIONS

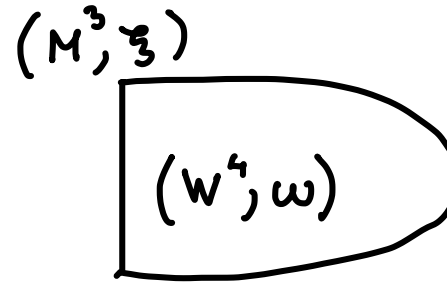
2023 LICATA - Y.: PROOF OF THE GIROUX CORRESPONDENCE  
FOR TIGHT CONTACT 3-MANIFOLDS (INDEPENDENT)

2024 LICATA - Y.: EXTENDED OUR PROOF TO WORK FOR ANY  
CONTACT 3-MANIFOLD

# APPLICATIONS

## IN CONTACT TOPOLOGY

### → FILLABILITY



GIROUX: TOPOLOGICAL DESCRIPTION OF STEIN-FILLABLE CONTACT 3-MANIFOLDS

ELIASHBERG, ETNYRE: ANY WEAK SYMPLECTIC FILLING OF A CONTACT 3-MANIFOLD CAN BE EMBEDDED INTO A CLOSED SYMPLECTIC MANIFOLD

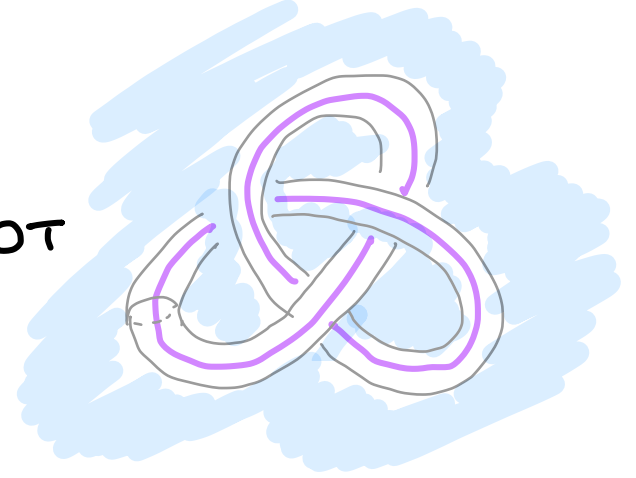
### → CONTACT SURGERY

WAND: CONTACT SURGERY PRESERVES TIGHTNESS

KEGEL-STENHENDE-V-ZUDDAS: CLASSIFICATION OF LEGENDRIAN SURGERY DIAGRAMS DESCRIBING THE SAME CONTACT MANIFOLD

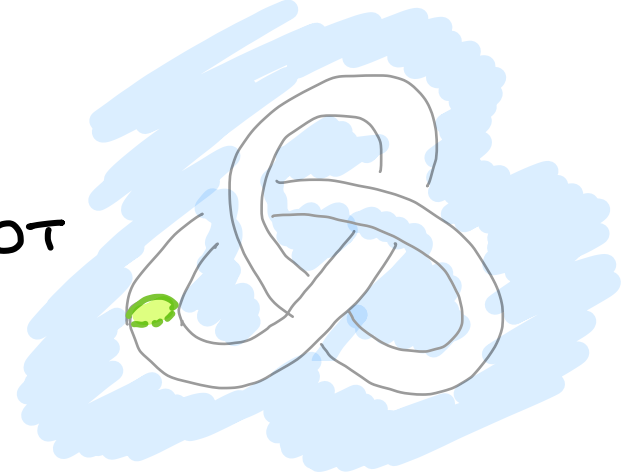
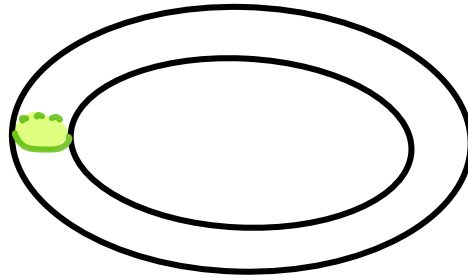
# APPLICATIONS

SURGERY: REMOVE NEIGHBOURHOOD OF A KNOT



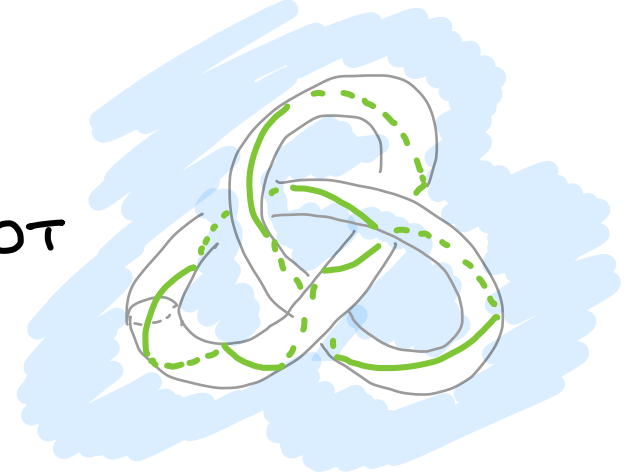
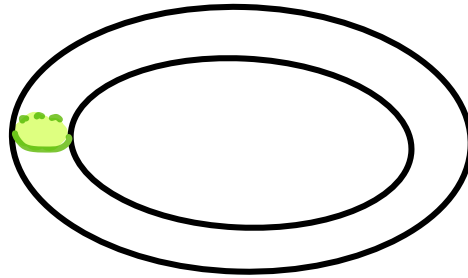
# APPLICATIONS

SURGERY: REMOVE NEIGHBOURHOOD OF A KNOT  
& GLUE BACK A  $D^2 \times S^1$  DIFFERENTLY



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SURGERY: REMOVE NEIGHBOURHOOD OF A KNOT  
& GLUE BACK A  $D^2 \times S^1$  DIFFERENTLY



## TOPOLOGY

KRONHEIMER - MROWKA: EVERY NONTRIVIAL KNOT HAS PROPERTY P

OZSVÁTH - SZABÓ: THE UNKNOT, TREFOIL & FIGURE-EIGHT KNOT  
ARE CHARACTERISED BY THEIR SURGERIES

OZSVÁTH - SZABÓ: THE THURSTON NORM IS DETERMINED BY  
HEEGAARD FLOER HOMOLOGY

GIROUX - GOODMAN: INDUCTIVE CONSTRUCTION OF FIBERED  
KNOTS IN  $S^3$

# PLAN OF TALKS

- LECTURE 1: SUBMANIFOLDS OF CONTACT STRUCTURES
- LECTURE 2: DESCRIBING CONTACT STRUCTURES
- LECTURE 3: PROOF OF GIROUX CORRESPONDENCE

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# PLAN OF TALKS

- LECTURE 1: SUBMANIFOLDS OF CONTACT STRUCTURES
- LECTURE 2: DESCRIBING CONTACT STRUCTURES
  - CONTACT CELL DECOMPOSITIONS
  - CONVEX SURFACE THEORY - BYPASSES
  - CONTACT HEEGAARD SPLITTINGS (PROOF OF EXISTENCE)
  - OPEN BOOK DECOMPOSITIONS
  - OPEN BOOK DECOMPOSITIONS & CONTACT HEEGAARD SPLITTINGS
- LECTURE 3: PROOF OF GIROUX CORRESPONDENCE

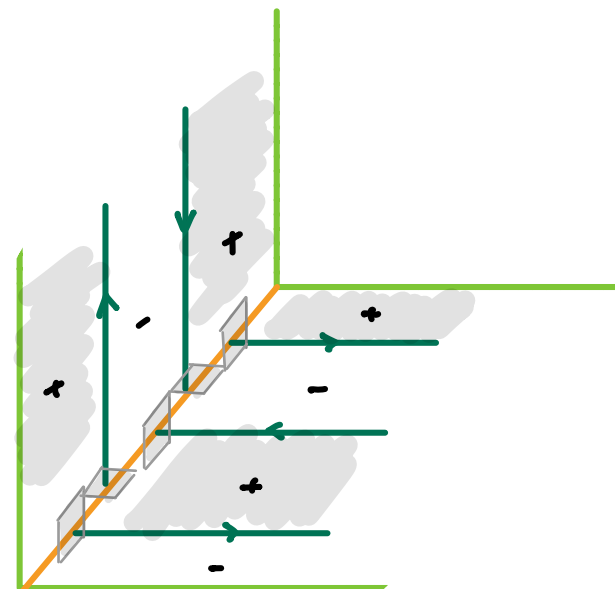
# PLAN OF TALKS

- LECTURE 1: SUBMANIFOLDS OF CONTACT STRUCTURES
- LECTURE 2: DESCRIBING CONTACT STRUCTURES
- LECTURE 3: PROOF OF GIROUX CORRESPONDENCE
  - STABILISATION
  - STATEMENT OF GIROUX CORRESPONDENCE
  - IDEA OF PROOF
  - FURTHER DIRECTIONS

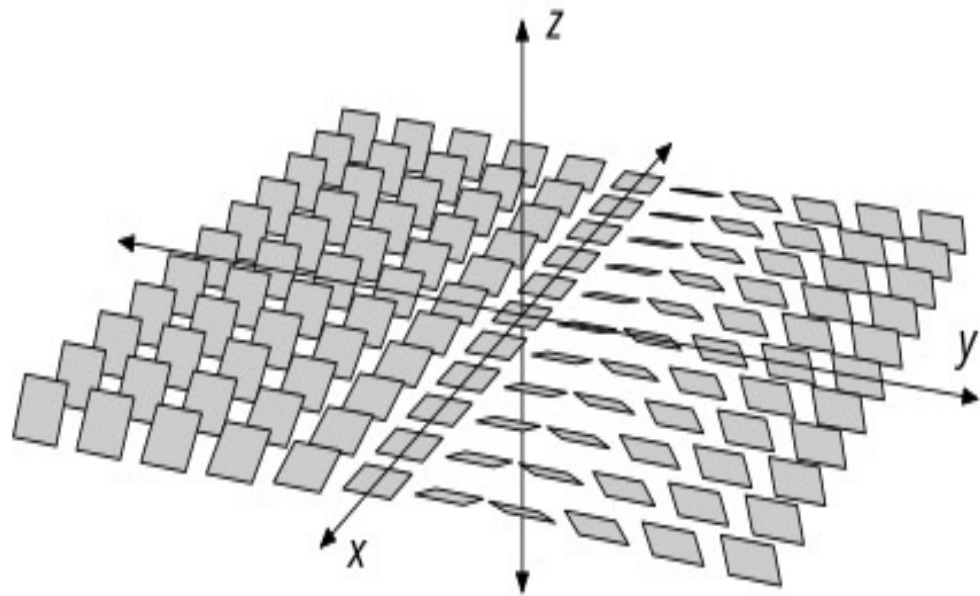
# LECTURE 1

## SUBMANIFOLDS IN

## CONTACT STRUCTURES

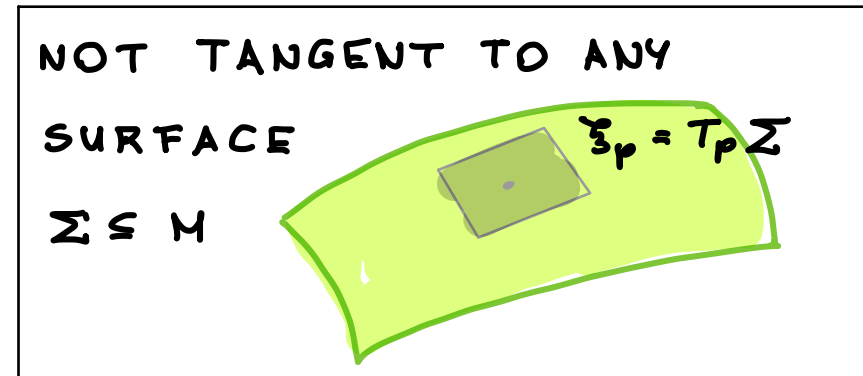
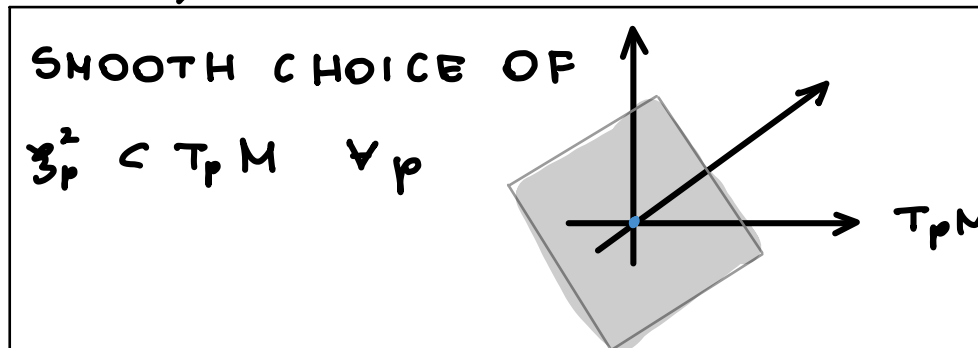


# CONTACT STRUCTURES



# CONTACT STRUCTURES

DEF: A CONTACT STRUCTURE ON A CLOSED, ORIENTED SMOOTH 3-MANIFOLD  $M^3$  IS A TOTALLY NONINTEGRABLE 2-PLANE-DISTRIBUTION  $\xi \subset TM$



$\updownarrow$  FROBENIUS

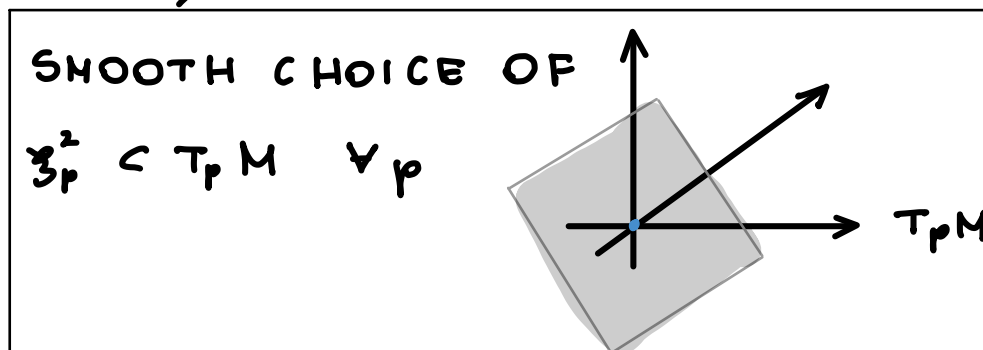
$$\alpha \wedge d\alpha > 0$$

LOCALLY:  $\xi = \ker \alpha \quad \alpha \in \Omega^1(M)$

COORIENTED CONTACT STRUCTURE: GLOBAL  $\alpha$

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DEF: A CONTACT STRUCTURE ON A CLOSED, ORIENTED SMOOTH 3-MANIFOLD  $M^3$  IS A TOTALLY NONINTEGRABLE 2-PLANE-DISTRIBUTION  $\xi \subset TM$



NOT TANGENT TO ANY SURFACE  $\Sigma \subseteq M$

$\xi_p = T_p \Sigma$

A light green curved surface  $\Sigma$  is shown. A small gray square representing a plane  $\xi_p$  is drawn on the surface. An arrow points from the text 'NOT TANGENT TO ANY SURFACE  $\Sigma \subseteq M$ ' to the surface. Another arrow points from the text ' $\xi_p = T_p \Sigma$ ' to the square.

$\updownarrow$  FROBENIUS

$$\alpha \wedge d\alpha > 0$$

LOCALLY:  $\xi = \ker \alpha \quad \alpha \in \Omega^1(M)$

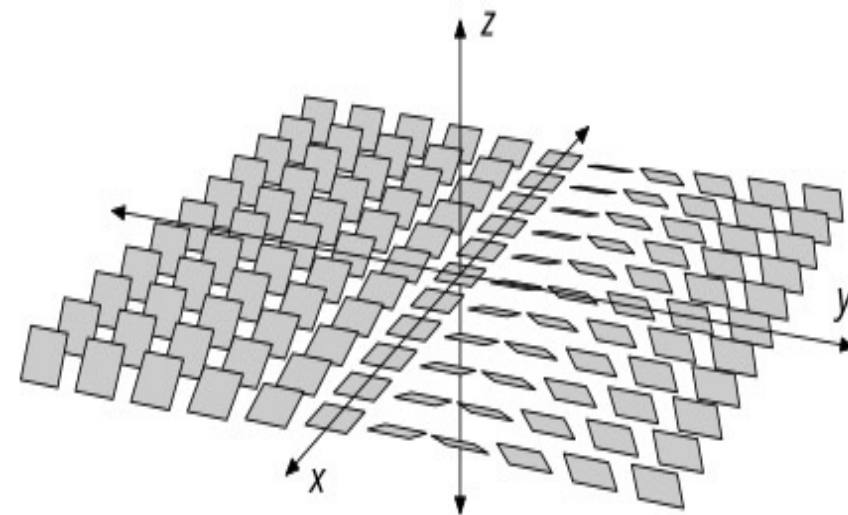
COORIENTED CONTACT STRUCTURE: GLOBAL  $\alpha$

DARBOUX THM: LOCALLY ANY CONTACT

STRUCTURE IS CONTACTOMORPHIC

TO  $\mathbb{R}^3$ ,  $\xi_{st} = \ker(dz - ydx)$

DIFFEOMORPHISM THAT CARRIES  $\xi$  TO  $\xi'$



# EQUIVALENCE OF CONTACT STRUCTURES

$(M, \xi) \& (M', \xi')$  CONTACT STRUCTURES

- CONTACTOMORPHISM:  $(M, \xi) \cong (M', \xi')$  IF  $\exists$  DIFFEOMORPHISM  $\phi: M \rightarrow M'$  THAT CARRIES  $\xi$  TO  $\xi'$ :  $\phi_* \xi = \xi'$

WHEN  $M = M'$

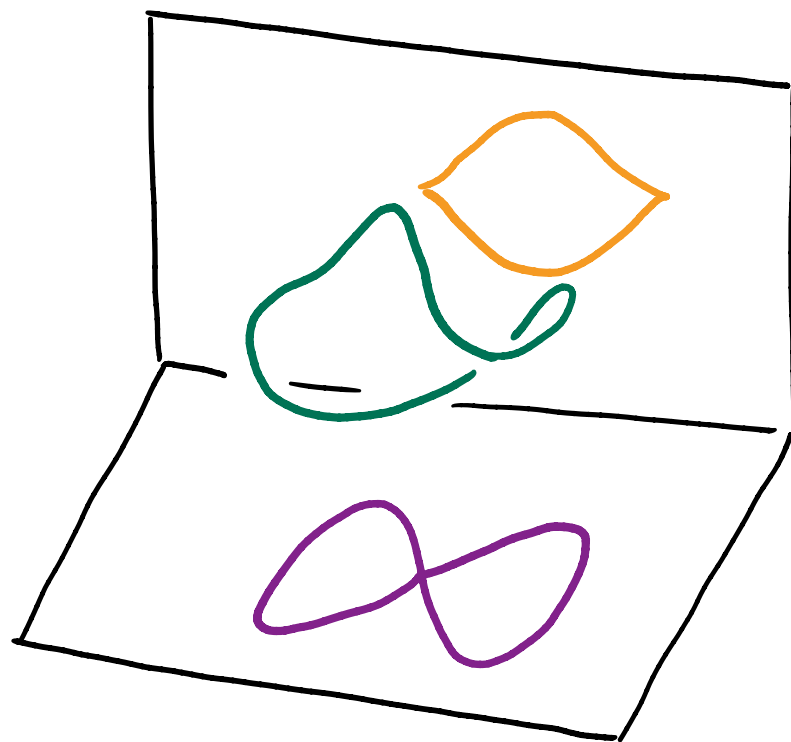
- HOMOTOPY:  $\xi \approx \xi'$  IF  $\exists$  1-PARAMETER FAMILY OF CONTACT STRUCTURES  $(\xi_t)_{t \in [0,1]}$  ON  $M$  WITH  $\xi = \xi_0$  &  $\xi' = \xi_1$
- ISOTOPY:  $\xi \approx \xi'$  IF  $\exists$  1-PARAMETER FAMILY OF SELF-DIFFEOMORPHISM  $(\phi_t)_{t \in [0,1]}$  OF  $M$  WITH
  - $\phi_0 = \text{Id}$  &
  - $\xi' = (\phi_1)_* \xi$

THM (GRAY STABILITY): "HOMOTOPY = ISOTOPY"

ANY HOMOTOPY  $(\xi_t)_{t \in [0,1]}$  OF CONTACT STRUCTURES IS INDUCED BY AN ISOTOPY  $(\phi_t)_{t \in [0,1]}$  :

- $\phi_0 = \text{Id}$  &
- $\xi_t = (\phi_t)_* \xi_0$

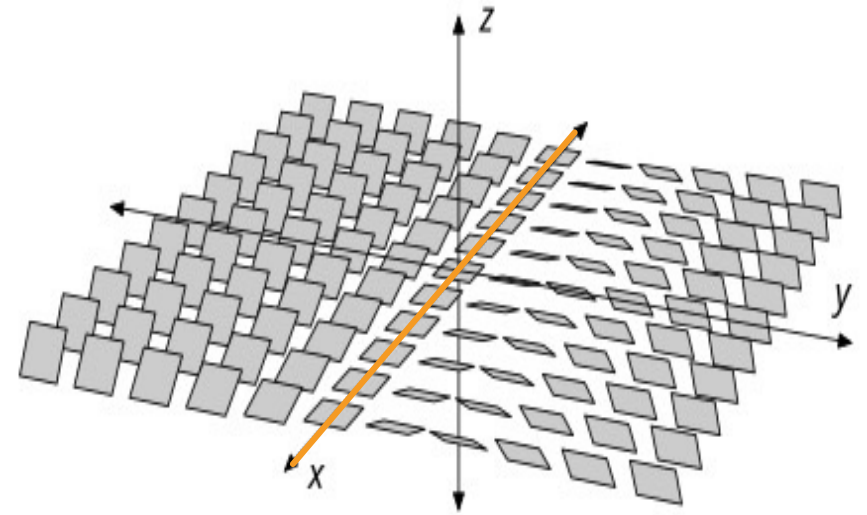
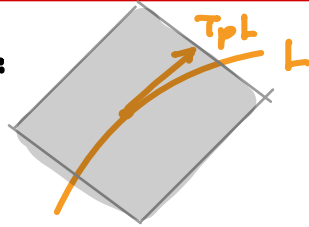
# 1-DIM: KNOTS



# KNOTS IN CONTACT STRUCTURES

DEF:  $L \subset M$  IS A LEGENDRIAN KNOT

IF  $T_p L \subset \xi_p \quad \forall p:$

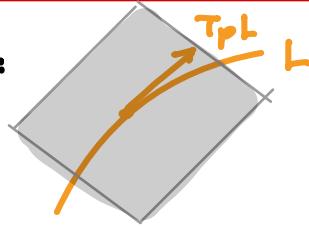


$$L = \{y = z = 0\}$$

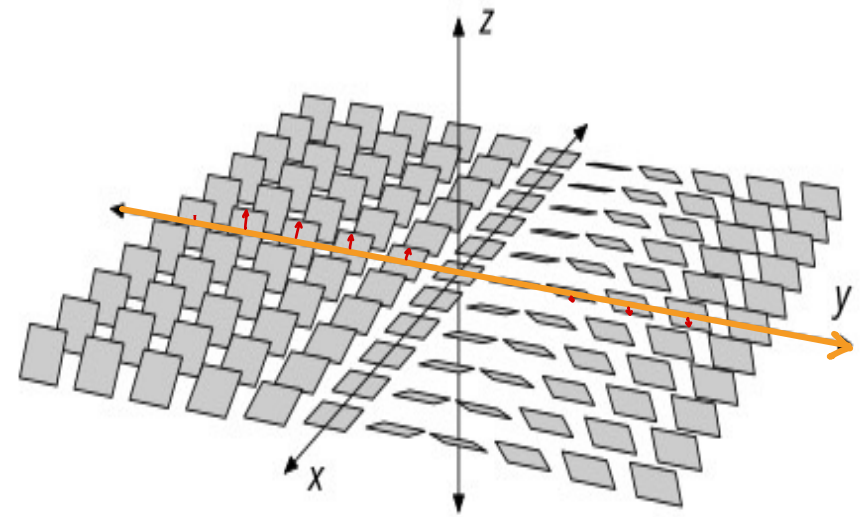
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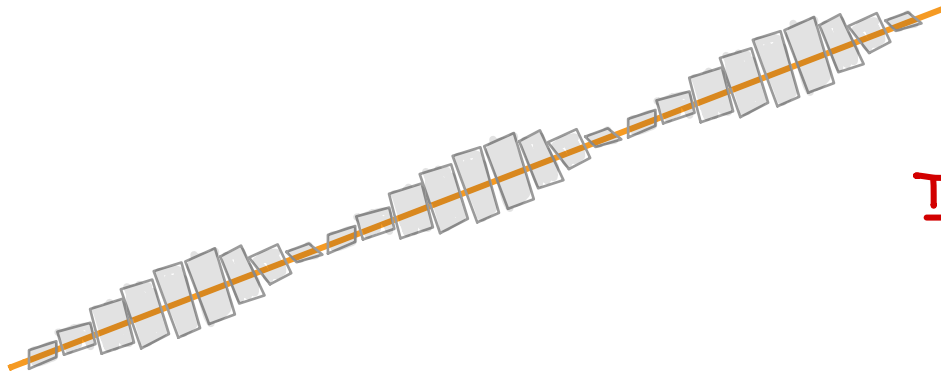
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MOTTO: THE CONTACT STRUCTURE  
ALWAYS "ROTATES"  
ALONG LEGENDRIANS



$$L = \{x = z = 0\}$$

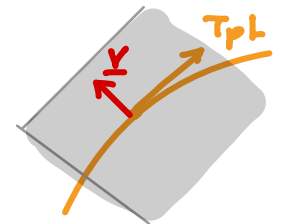


THURSTON-BENNEQUIN FRAMING:

PUSH  $L$  IN THE

DIRECTION OF  $\underline{y}$

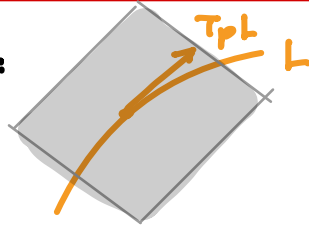
WHERE  $\underline{y}_p \perp T_p L$  &  $\underline{y}_p \in \xi_p$



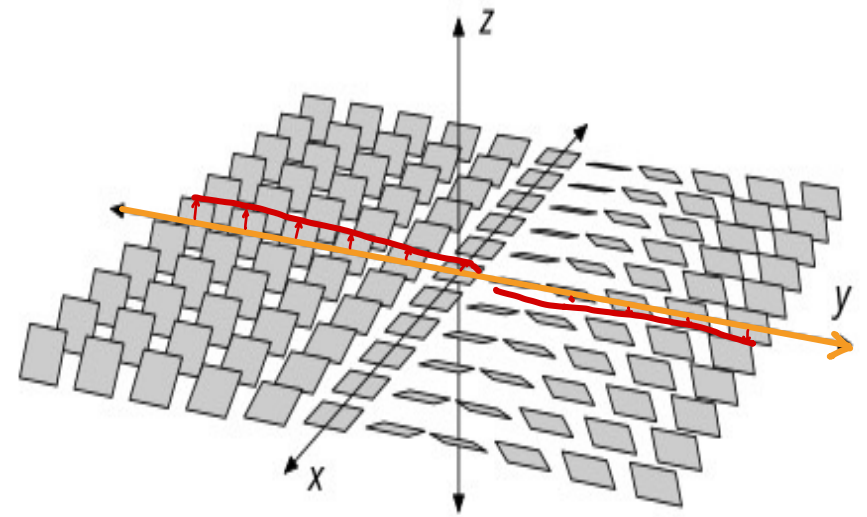
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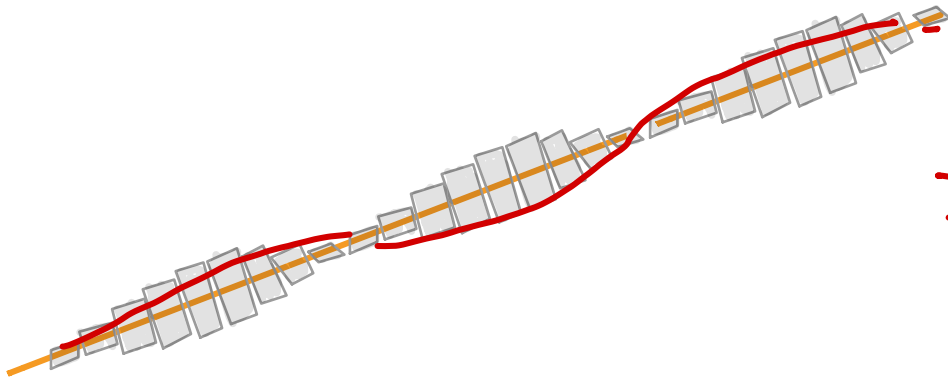
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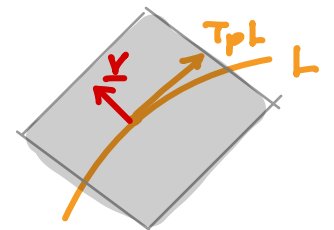


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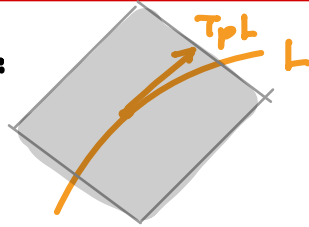
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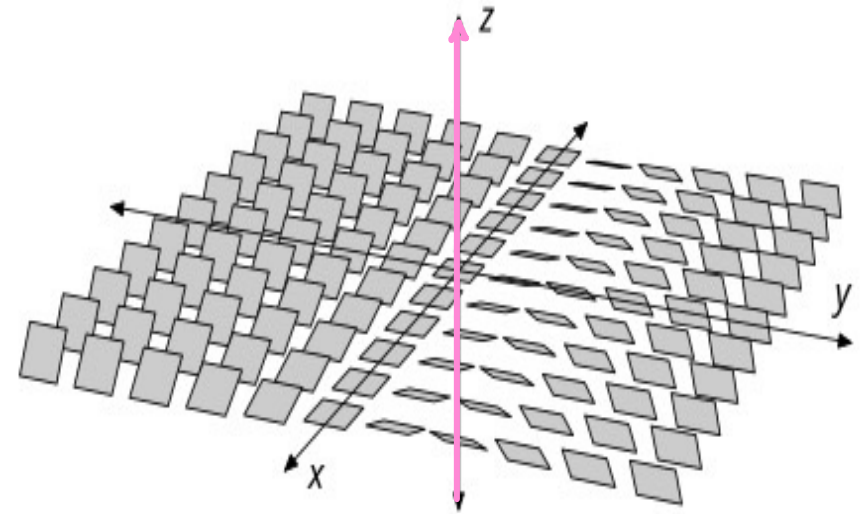
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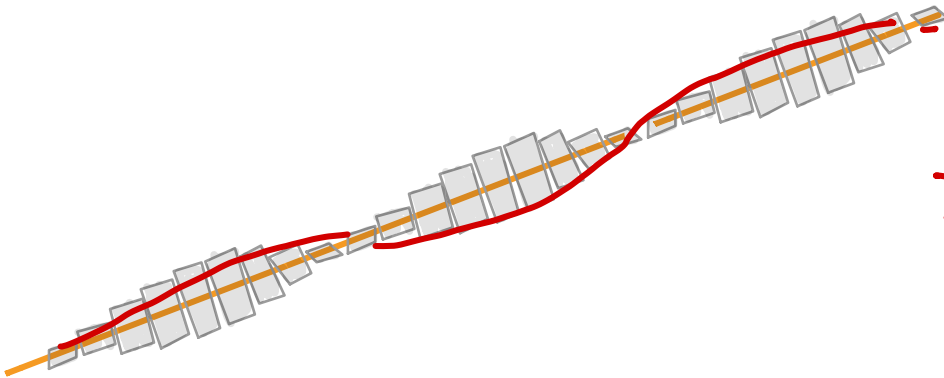
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MOTTO: THE CONTACT STRUCTURE  
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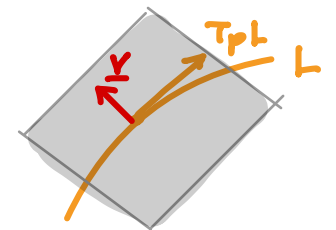


THURSTON-BENNEQUIN FRAMING:

PUSH  $L$  IN THE

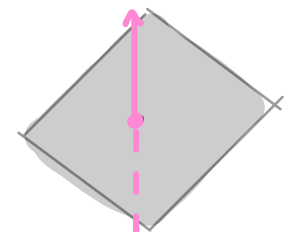
DIRECTION OF  $\underline{y}$

WHERE  $\underline{y}_p \perp T_p L$  &  $\underline{y}_p \in \xi_p$



DEF:  $T \subset M$  IS A TRANSVERSE KNOT IF  $T_p T \nsubseteq \xi_p \quad \forall p:$

$$\alpha(T_p T) > 0$$

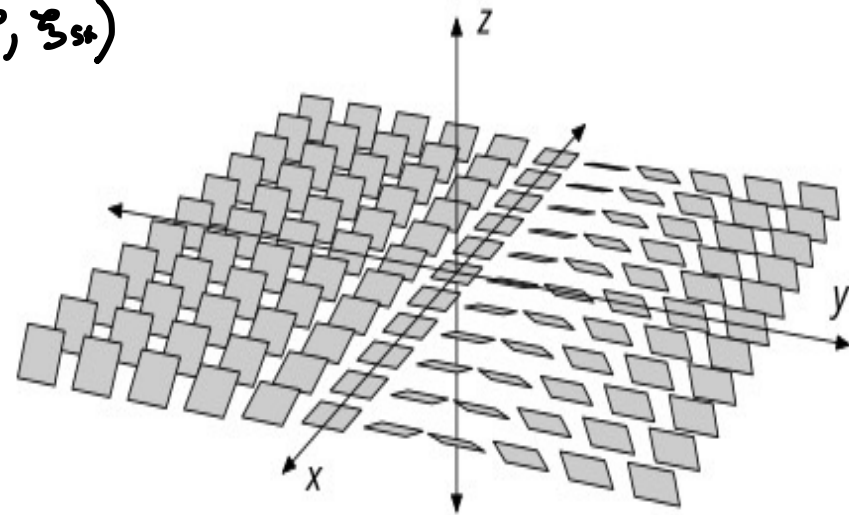


# LEGENDRIAN APPROXIMATION

THM: ANY KNOT  $K \hookrightarrow (M, \xi)$  CAN BE  $C^0$ -APPROXIMATED BY A LEGENDRIAN KNOT

IDEA OF PROOF: ENOUGH TO APPROXIMATE LOCALLY & BY DARBOUX THM WE CAN WORK IN  $(\mathbb{R}^3, \xi_{st})$

$$\xi_{st} = \ker(dz - ydx)$$



# LEGENDRIAN APPROXIMATION

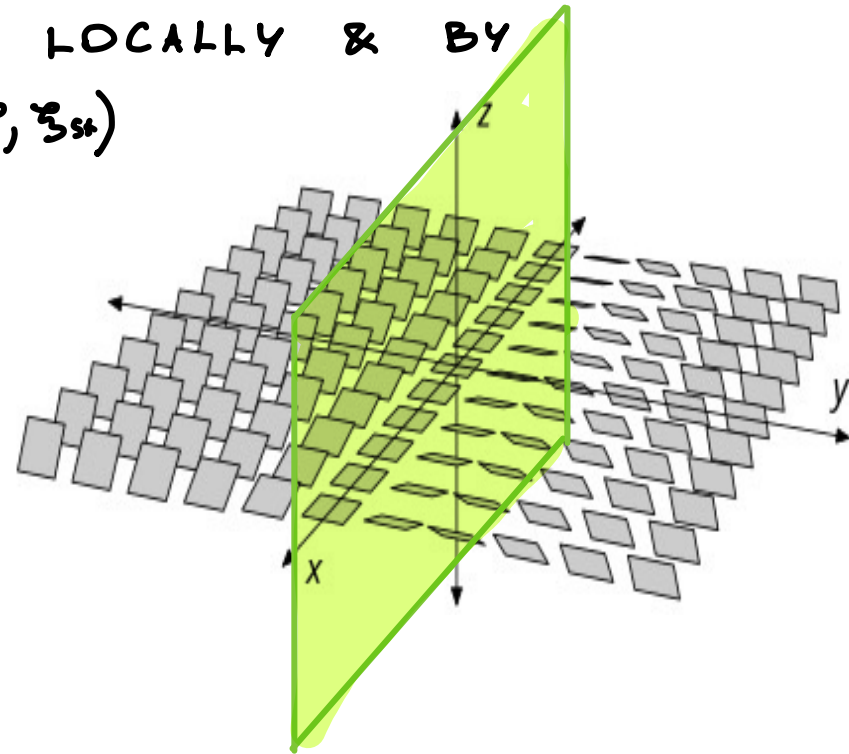
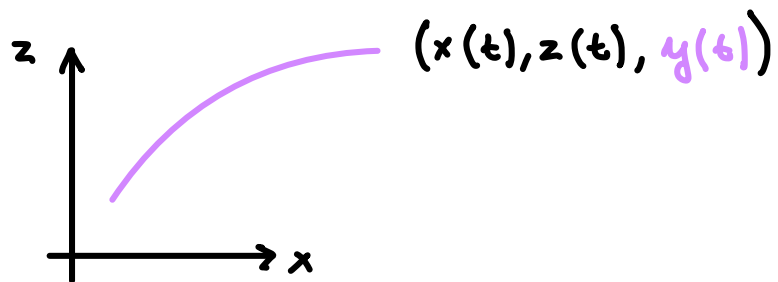
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$$\xi_{st} = \ker(dz - y dx) \iff "y = \frac{dz}{dx}"$$

WE CAN READ OFF  $y$ -COORDINATE FROM THE PROJECTION TO  $(x, z)$ -PLANE

- PROJECT  $K$  TO THE  $(x, z)$ -PLANE



# LEGENDRIAN APPROXIMATION

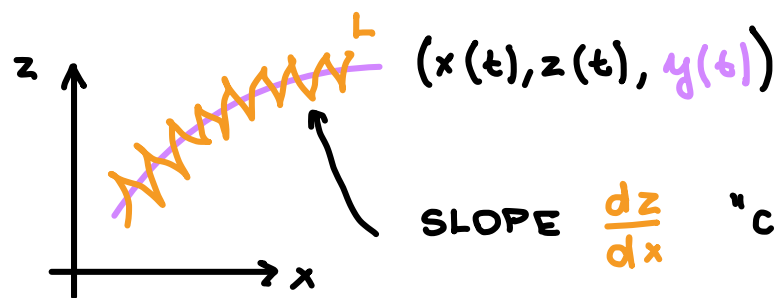
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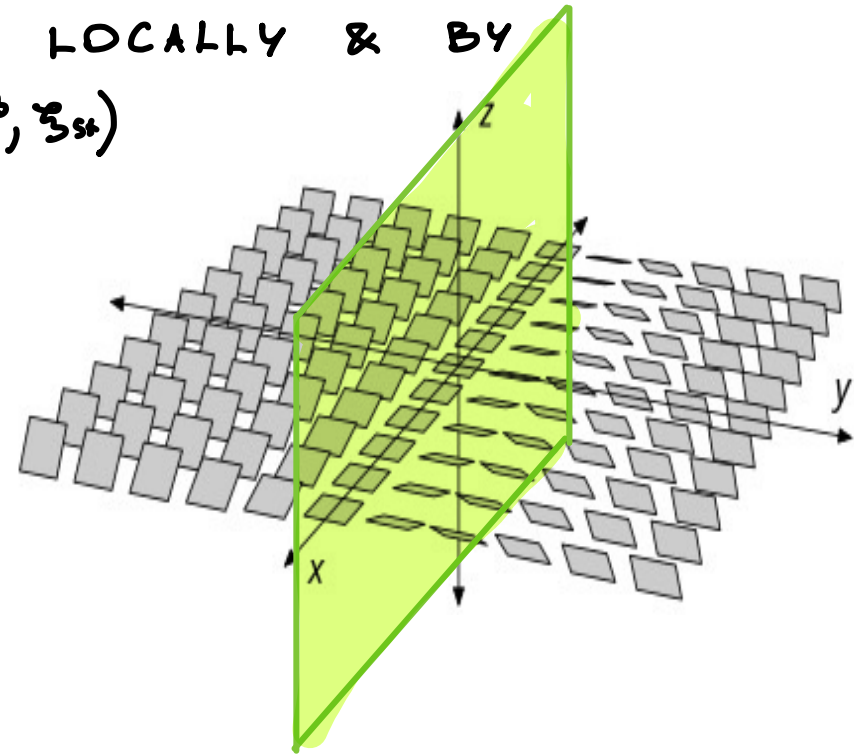
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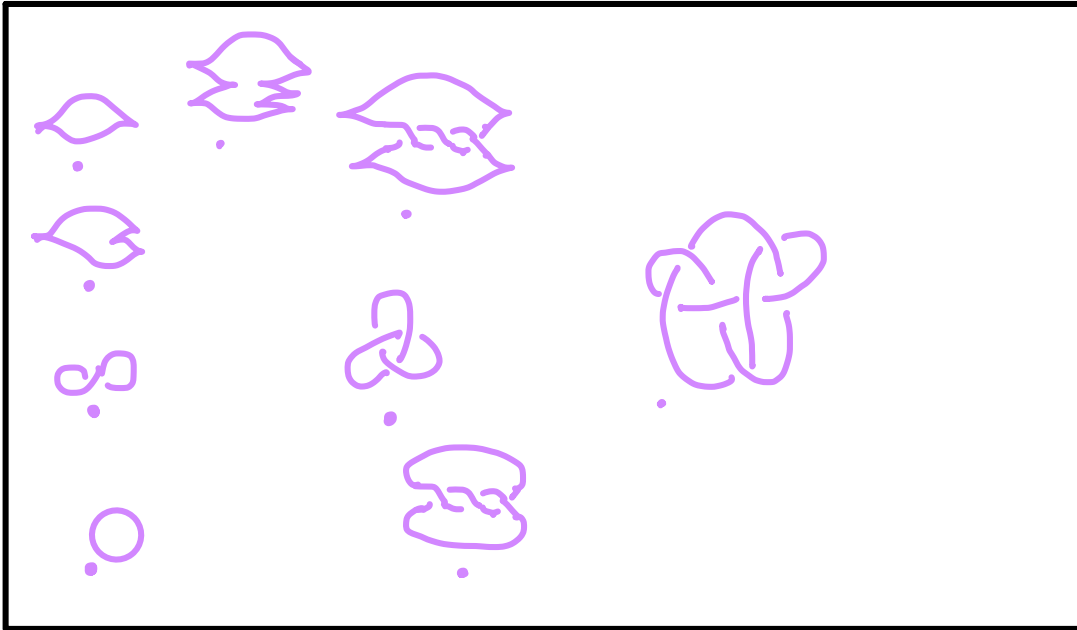
SLOPE  $\frac{dz}{dx}$  "CLOSE" TO  $y(t) \Rightarrow L$  IS  $C^0$ -CLOSE TO  $K$  ■



COR: ANY SMOOTH KNOT CAN BE REPRESENTED BY A LEGENDRIAN KNOT

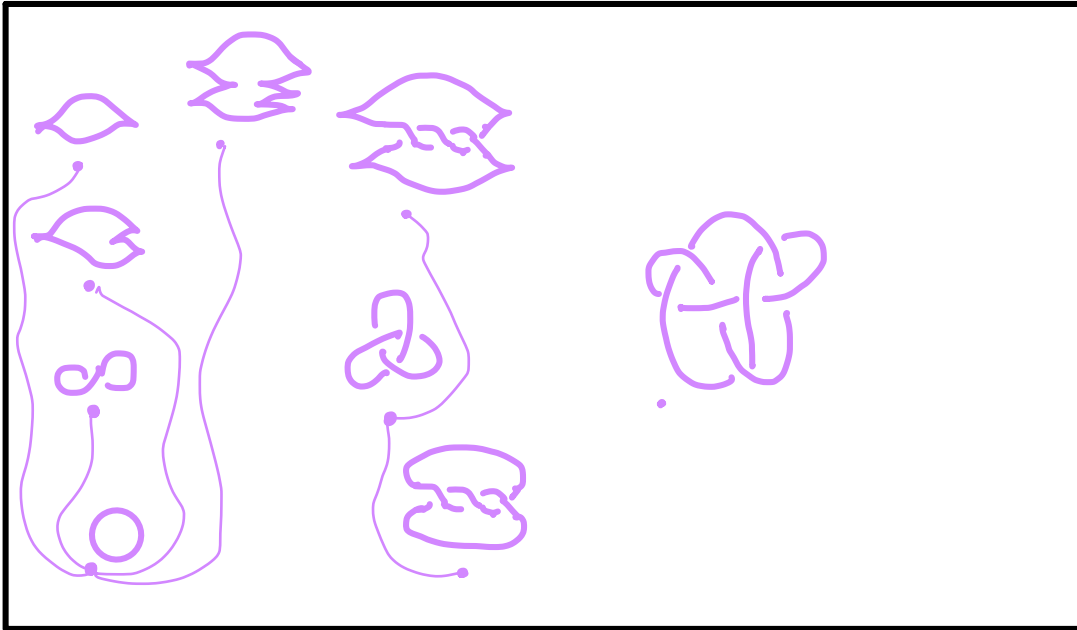
# ISOTOPIES OF KNOTS

## SMOOTH KNOTS



# ISOTOPIES OF KNOTS

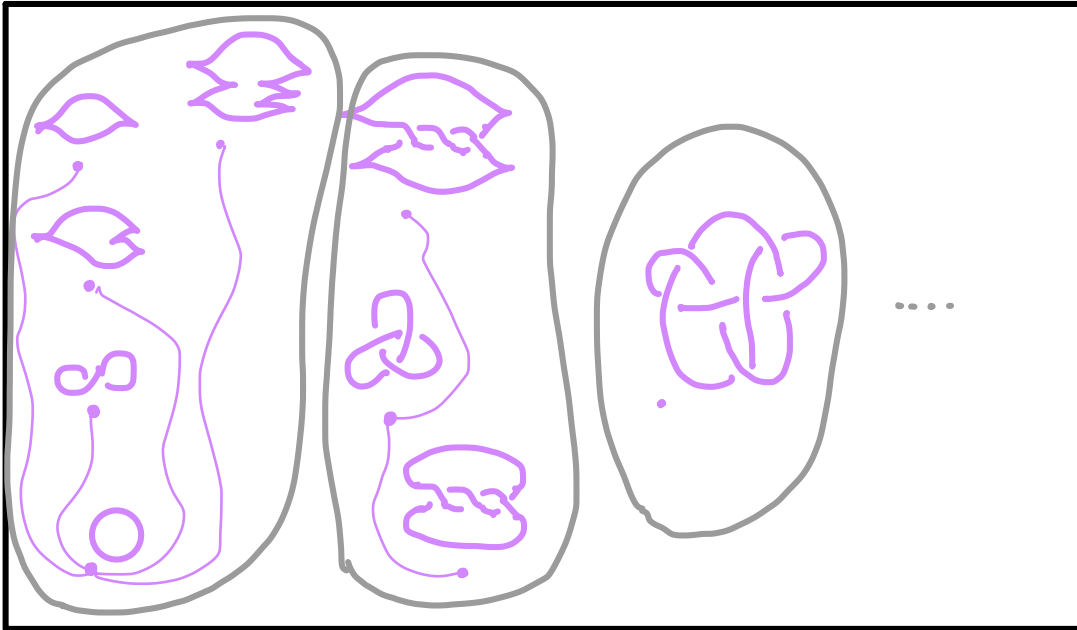
SMOOTH KNOTS



ISOTOPY: PATH IN THE  
SPACE OF KNOTS

# ISOTOPIES OF KNOTS

SMOOTH KNOTS

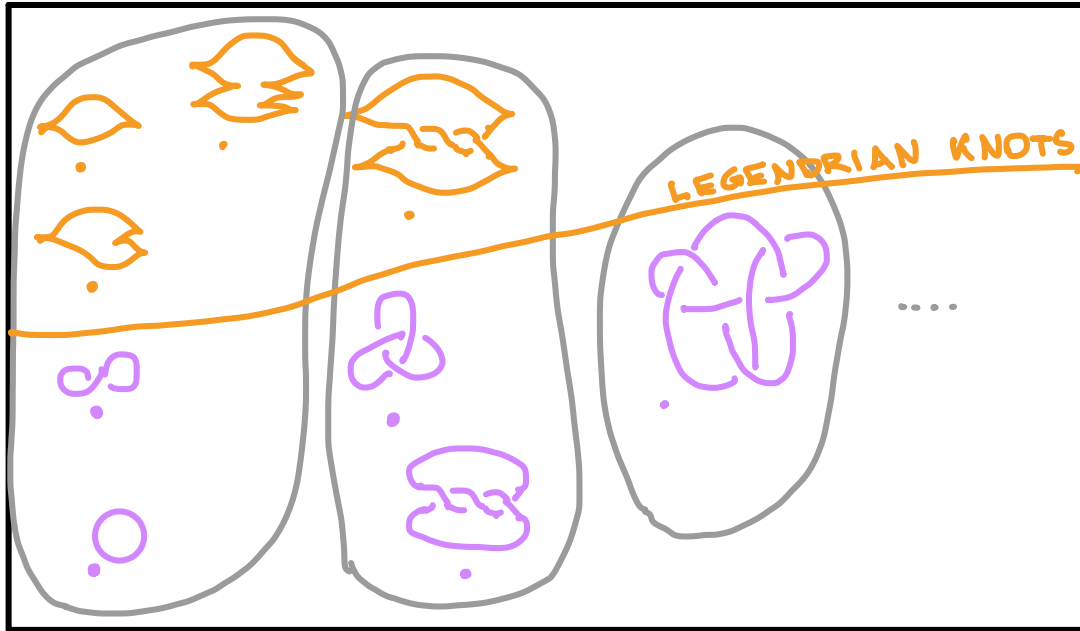


ISOTOPY: PATH IN THE  
SPACE OF KNOTS

ISOTOPY CLASS: CONNECTED  
COMPONENT

# ISOTOPIES OF KNOTS

SMOOTH KNOTS

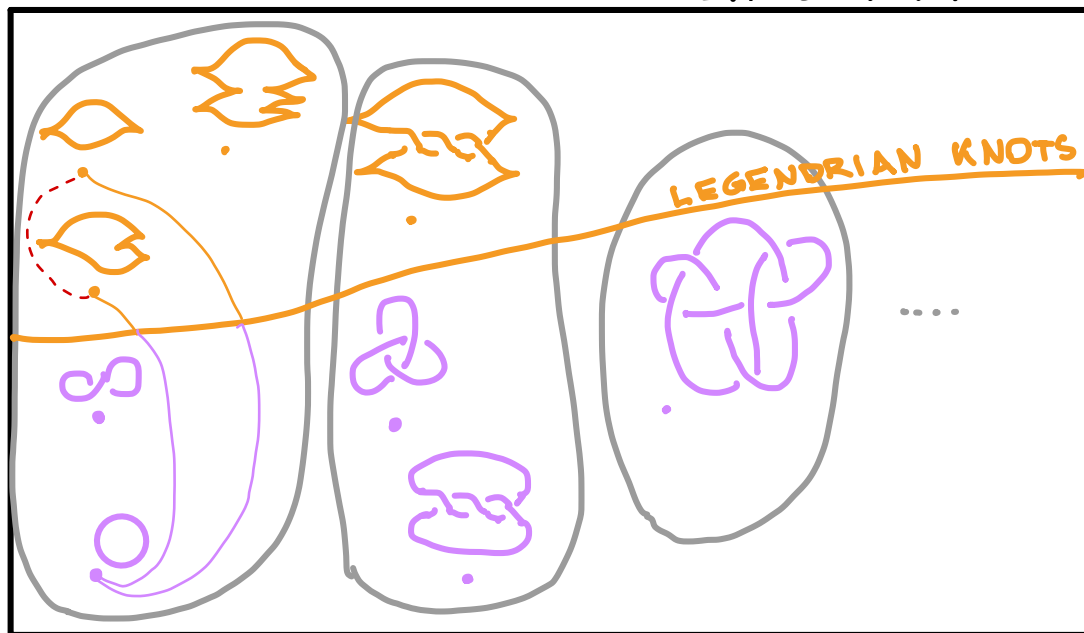


ISOTOPY: PATH IN THE  
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# ISOTOPIES OF KNOTS

SMOOTH KNOTS



ISOTOPY: PATH IN THE  
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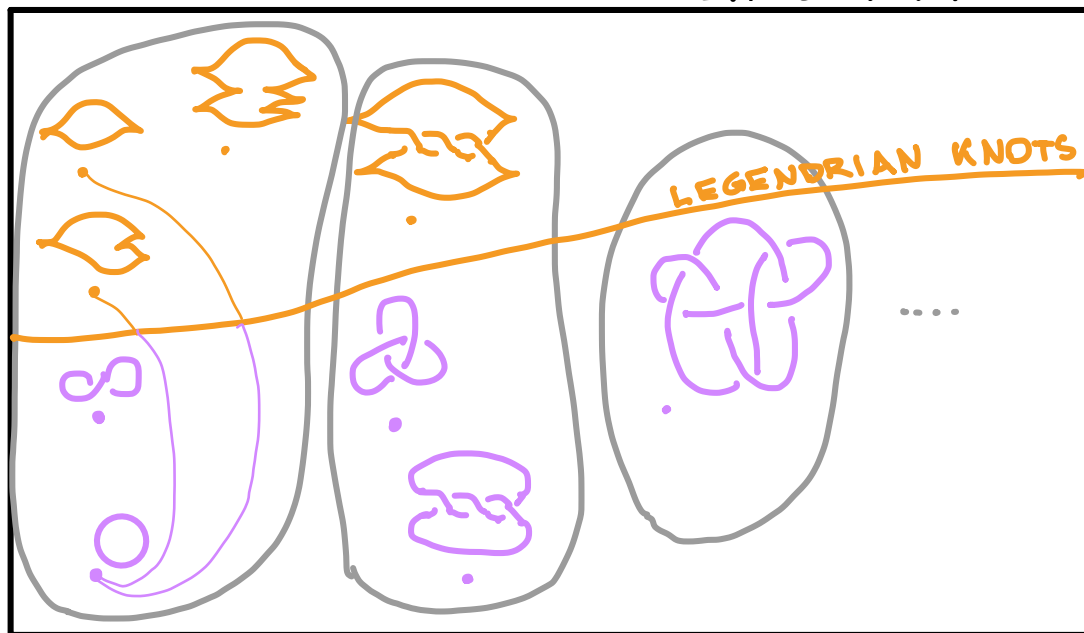
ISOTOPY CLASS: CONNECTED  
COMPONENT

LEGENDRIAN ISOTOPY: PATH  
IN THE SPACE OF LEGENDRIAN  
KNOTS

IF  $L$  ISOTOPIC TO  $L'$  IMPLIES  $L$  LEGEDRIAN ISOTOPIC TO  $L'$  ?

# ISOTOPIES OF KNOTS

SMOOTH KNOTS



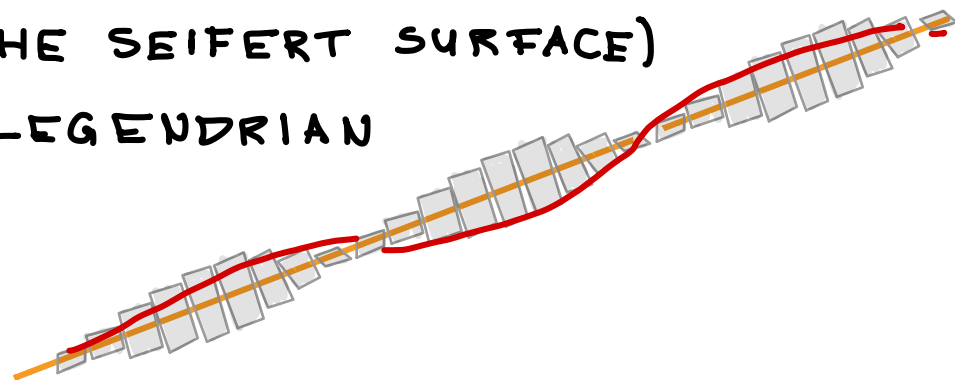
ISOTOPY: PATH IN THE  
SPACE OF KNOTS

ISOTOPY CLASS: CONNECTED  
COMPONENT

LEGENDRIAN ISOTOPY: PATH  
IN THE SPACE OF LEGENDRIAN  
KNOTS

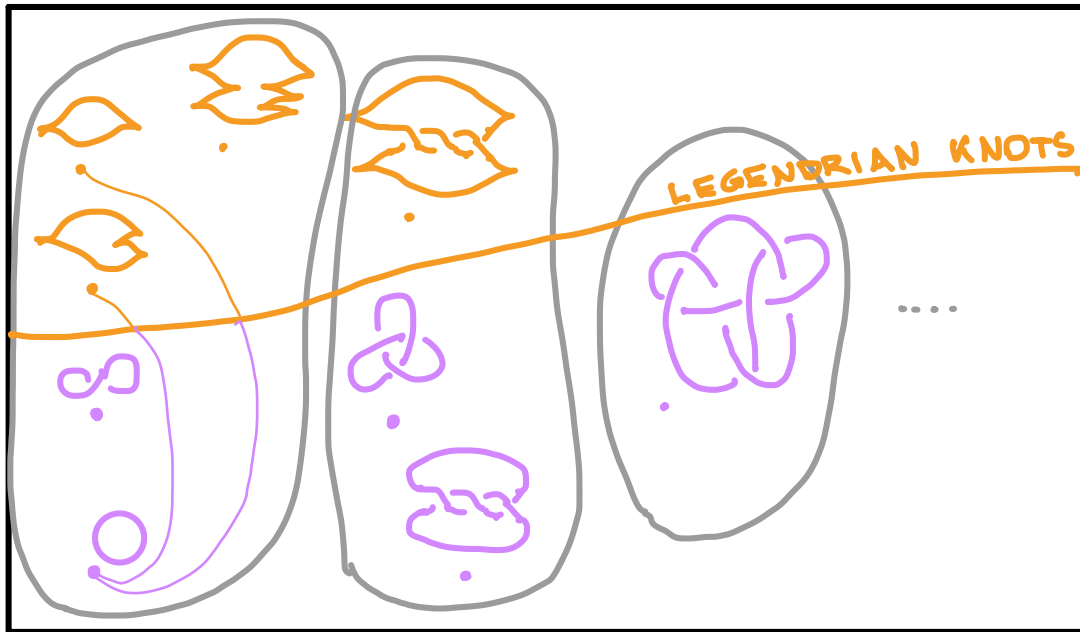
IF  $L$  ISOTOPIC TO  $L'$  IMPLIES  $L$  LEGEDRIAN ISOTOPIC TO  $L'$ ?

NO! - THE TWISTING OF  $\mathfrak{z}$  (W.R.T THE SEIFERT SURFACE)  
DOESN'T CHANGE DURING LEGENDRIAN  
ISOTOPY



# ISOTOPIES OF KNOTS

SMOOTH KNOTS



ISOTOPY: PATH IN THE SPACE OF KNOTS

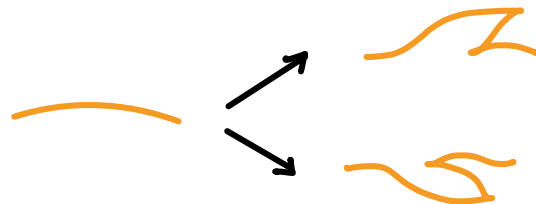
ISOTOPY CLASS: CONNECTED COMPONENT

LEGENDRIAN ISOTOPY: PATH IN THE SPACE OF LEGENDRIAN KNOTS

IF  $L$  ISOTOPIC TO  $L'$  IMPLIES  $L$  LEGENDRIAN ISOTOPIC TO  $L'$ ?

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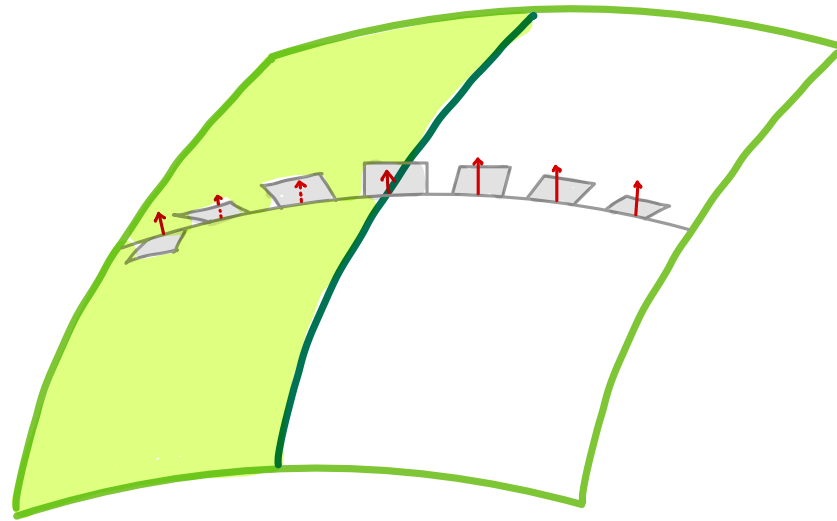
STABILISATION:



CHANGES TWISTING

THM (FUCHS-TABACHNIKOV):  $L$  IS ISOTOPIC TO  $L' \iff$  AFTER SOME STABILISATIONS  $St(L)$  IS LEGENDRIAN ISOTOPIC TO  $St(L')$

# 2-DIM: SURFACES

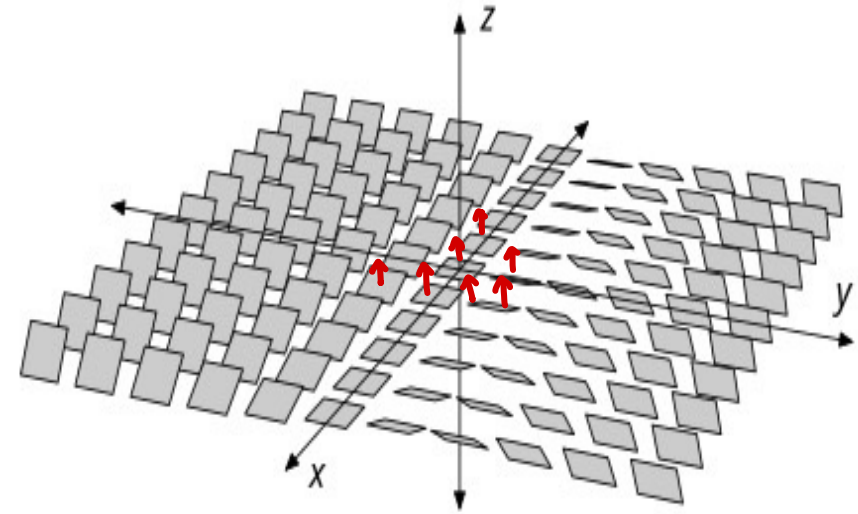


# SURFACES IN CONTACT STRUCTURES

DEF: A CONTACT VECTORFIELD  $X \in \mathfrak{X}(M)$   
IS A VECTORFIELD WHOSE FLOW  
PRESERVES  $\xi$



$$\mathcal{L}_X \alpha = g\alpha \quad \text{FOR SOME } g: M \rightarrow \mathbb{R}$$



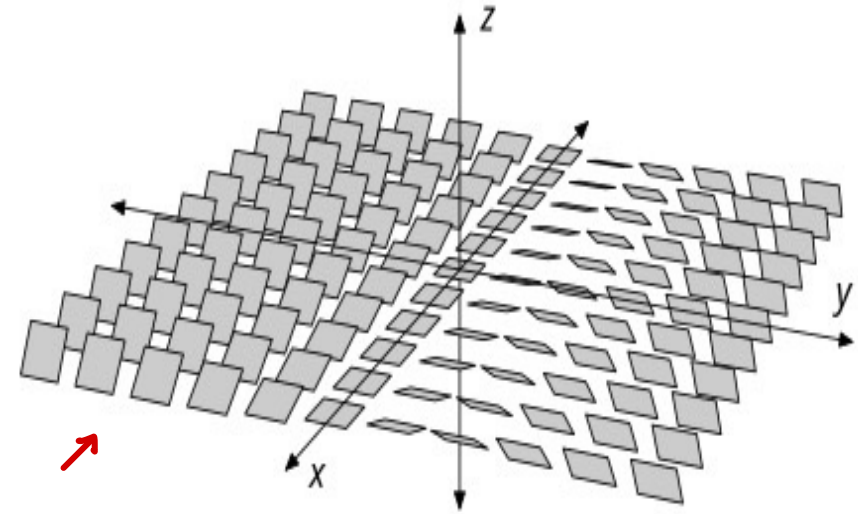
$$X = \frac{\partial}{\partial z}$$

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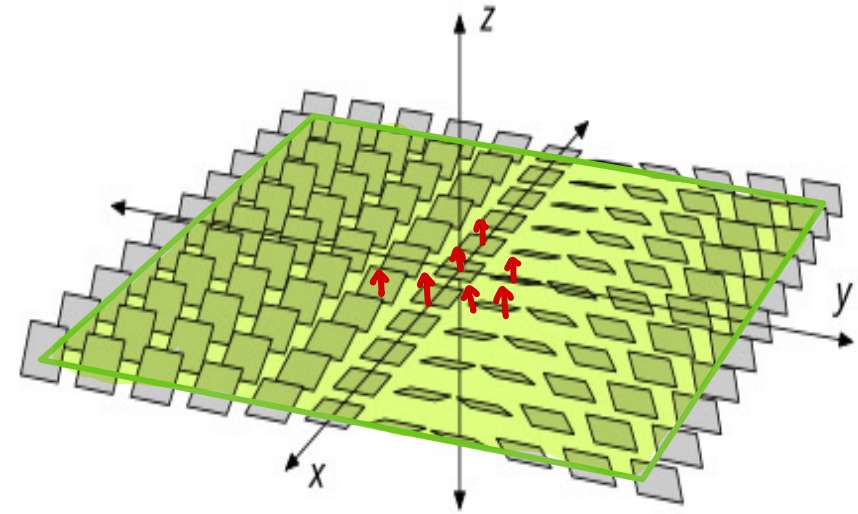
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DEF:  $\Sigma \hookrightarrow M$  IS CONVEX IF  $\exists$  X CONTACT VECTORFIELD  $X \nmid \Sigma$



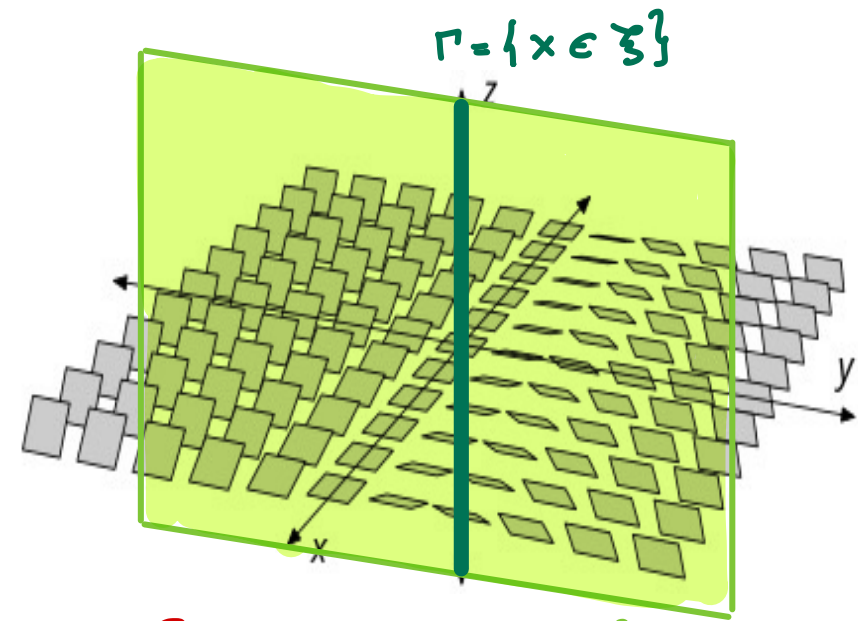
$$X = \frac{\partial}{\partial z} \quad \Sigma = \{z = 0\}$$

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$$\mathcal{L}_X \alpha = g \alpha \quad \text{FOR SOME } g: M \rightarrow \mathbb{R}$$



$$X = \frac{\partial}{\partial x} \quad \Sigma = \{x = 0\}$$

DEF:  $\Sigma \hookrightarrow M$  IS CONVEX IF  $\exists$  X CONTACT VECTORFIELD  $X \pitchfork \Sigma$

EQUIVALENTLY:  $\Sigma$  HAS A NEIGHBOURHOOD  $N(\Sigma) \cong \Sigma \times I$  WITH  
I-INVARIANT CONTACT STRUCTURE  $\xi$



$$\alpha = \beta + g dt \quad \text{WHERE } \beta \in \Omega^1(\Sigma) \text{ \& } g: M \rightarrow \mathbb{R}$$

FACT (GIROUX): TO UNDERSTAND  $\xi$  ON  $N(\Sigma)$  ONE ONLY NEEDS  
TO KNOW  $\Gamma = \{g = 0\} = \{\frac{\partial}{\partial t} \in \xi\} = \{X \in \xi\}$

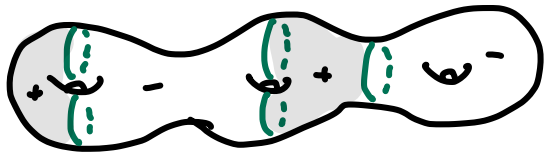
# CONVEX SURFACES (GIROUX)

DEF:  $\Sigma \hookrightarrow M$  IS CONVEX IF  $\exists$  CONTACT VECTORFIELD  $X : \Sigma \nrightarrow X$

DEF:  $\Gamma = \{X \in \xi\} = \{\alpha(X) = 0\} \subset \Sigma$  IS THE DIVIDING CURVE

PROP' - THE ISOTOPY CLASS OF  $\Gamma$  IS INDEPENDENT OF THE CHOICE OF  $X$

-  $\Gamma$  DIVIDES  $\Sigma$  INTO TWO PIECES:  $\Sigma_+ = \{\alpha(X) > 0\}$   
 $\Sigma_- = \{\alpha(X) < 0\}$



THM (THE DIVIDING CURVE DETERMINES  $\xi$  NEAR  $\Sigma$ )

$\Sigma, \Sigma'$  CONVEX SURFACES W/ ISOTOPIC DIVIDING CURVES  
 $\implies \exists N(\Sigma), N(\Sigma')$  NEIGHBOURHOODS THAT ARE  
CONTACTOMORPHIC

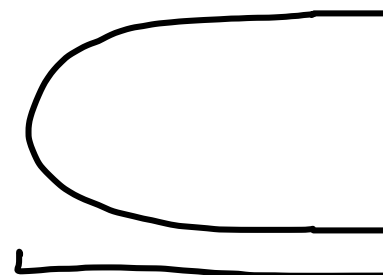
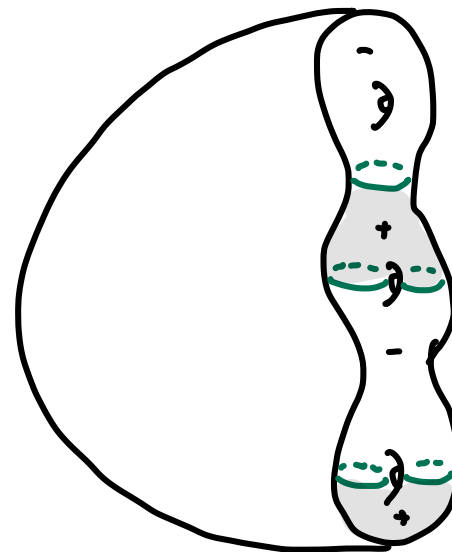
THM (CONVEX SURFACES ARE  $C^\infty$ -GENERIC): ANY SURFACE  $\Sigma$  CAN  
BE  $C^\infty$ -SMALL ISOTOPED TO BE CONVEX

# CONTACT MANIFOLDS WITH BOUNDARY

DEF:  $(\Sigma_t)_{t \in [0,1]}$  IS A CONVEX ISOTOPY IF  $\Sigma_t$  IS CONVEX  $(\forall t \in [0,1])$

WE WILL WORK WITH

$M^3$  3-MANIFOLD WITH BOUNDARY,  
 $\xi$  CONTACT STRUCTURE ON  $M$ , S.T  
 $\partial M$  IS CONVEX



$(M, \xi)$

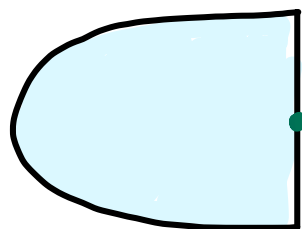
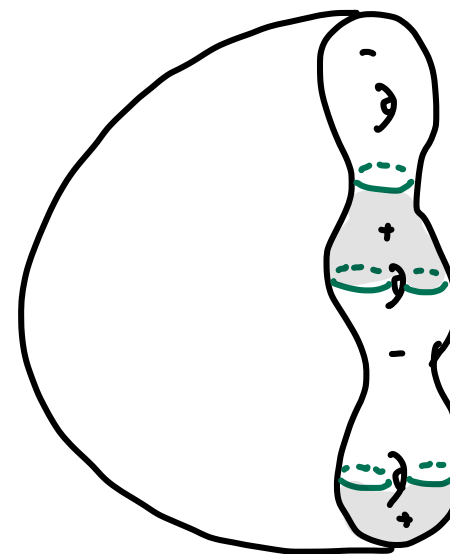
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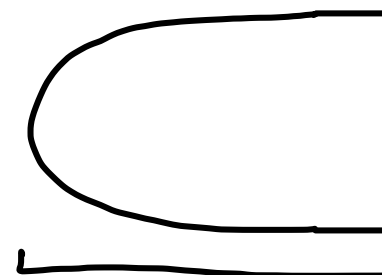
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• SAME FOR  $(M', \xi')$



$(M', \xi')$



$(M, \xi)$

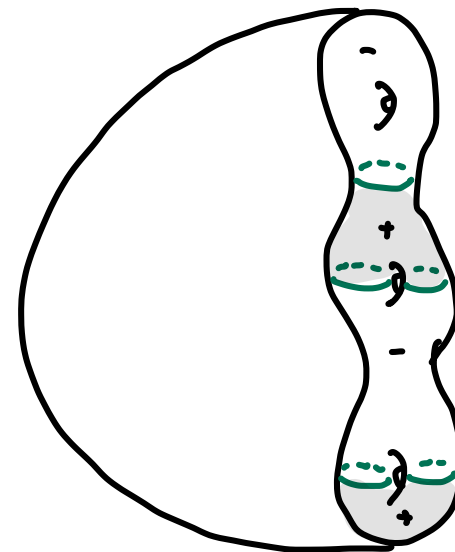
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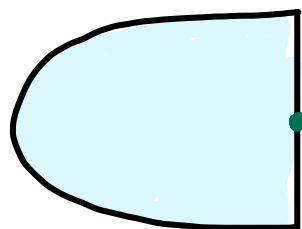
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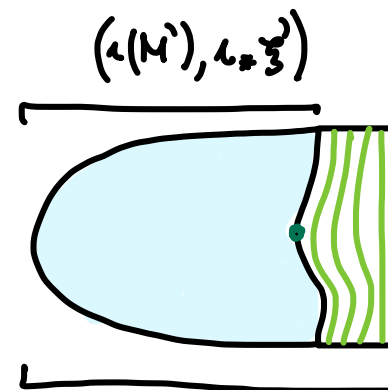
• SAME FOR  $(M', \xi')$



DEF: WEAKLY CONTACTOMORPHIC:  $\exists$  EMBEDDING  $(M', \xi') \xhookrightarrow{\iota} (M, \xi)$   
 SUCH THAT  $\iota(\partial M')$  IS CONVEX ISOTOPIC TO  $\partial M$



$(M', \xi')$

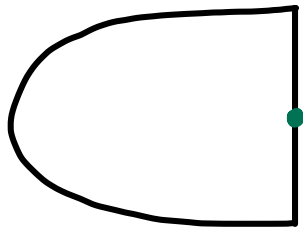


$(M, \xi)$

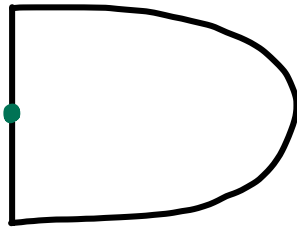
# GLUING CONTACT STRUCTURES

WE CAN GLUE CONTACT STRUCTURES ALONG SURFACES WITH  
MATCHING DIVIDING CURVES

## IDEA



$(M^L, \xi^L)$

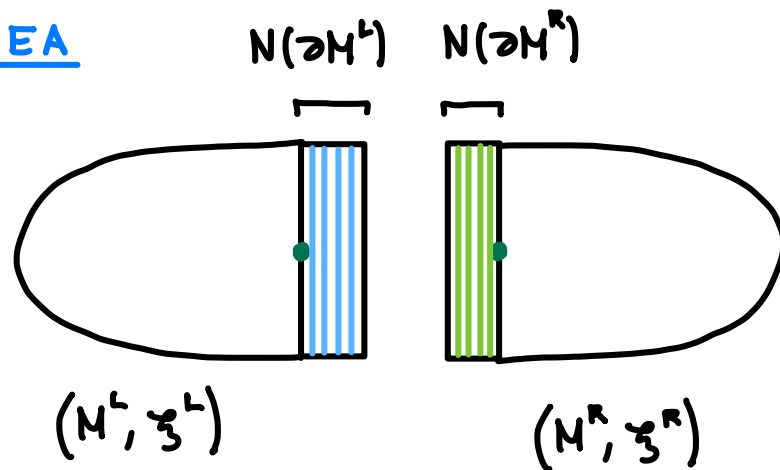


$(M^R, \xi^R)$

# GLUING CONTACT STRUCTURES

WE CAN GLUE CONTACT STRUCTURES ALONG SURFACES WITH  
MATCHING DIVIDING CURVES

IDEA

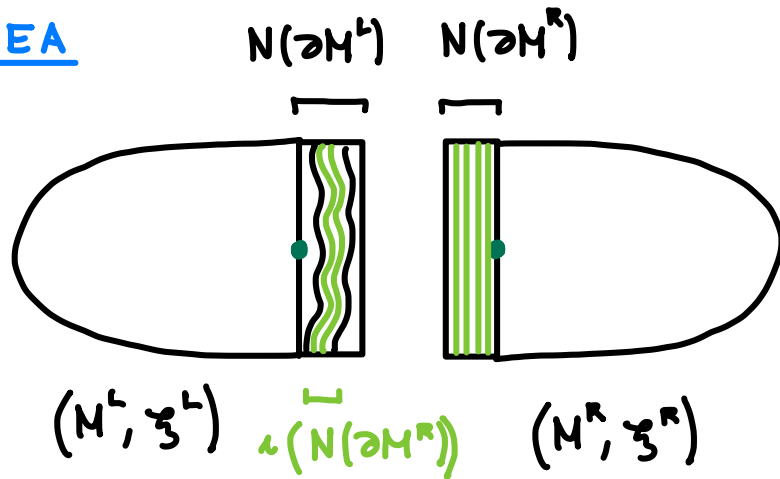


STEP 1: ADD I-INVARIANT PART TO EACH

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## IDEA



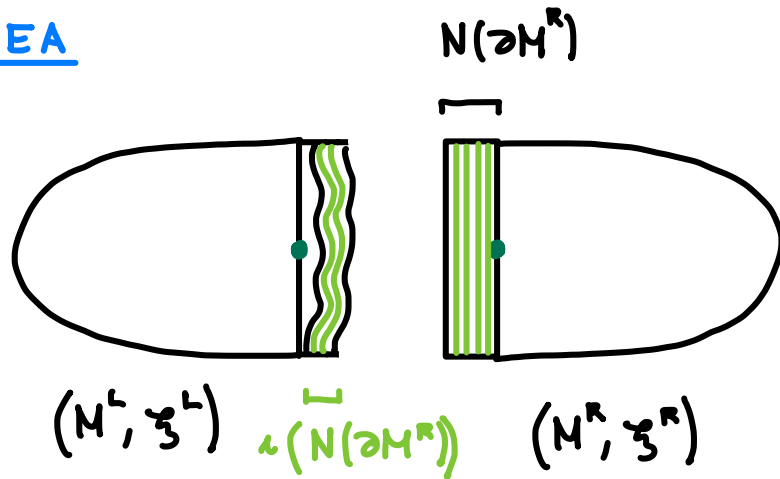
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STEP 2: FIND  $N(\partial M^R)$  IN  $N(\partial M^L)$

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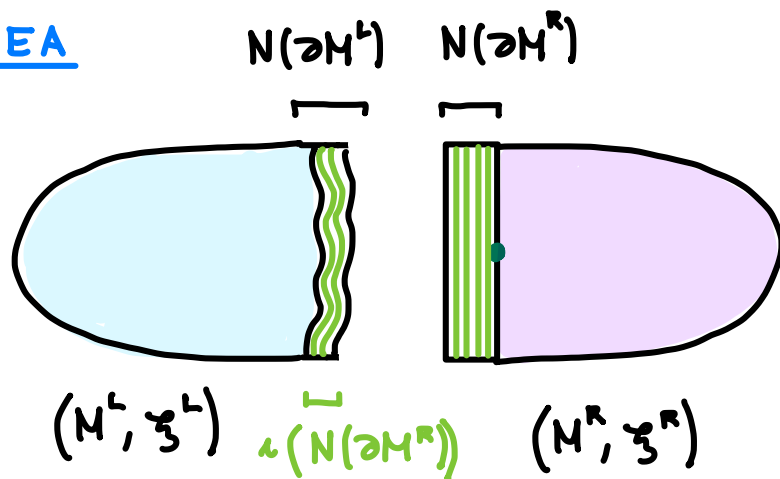
STEP 2: FIND  $N(\partial M^R)$  IN  $N(\partial M^L)$

STEP 3: TRUNCATE  $M^L$  AT  $\iota(\partial M^R \times \{1\})$

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WE CAN GLUE CONTACT STRUCTURES ALONG SURFACES WITH MATCHING DIVIDING CURVES

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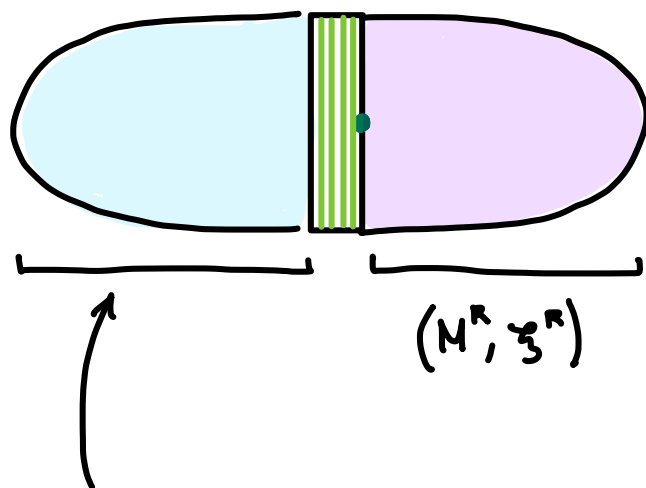


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STEP 2: FIND  $N(\partial M^R)$  IN  $N(\partial M^L)$

STEP 3: TRUNCATE  $M^L$  AT  $\iota(\partial M^R \times \{1\})$

STEP 4: OVERLAP  $\iota(N(\partial M^R))$  WITH  $N(\partial M^R)$



THE OBTAINED CONTACT MANIFOLD IS  $(M^L, \xi^L) \cup (M^R, \xi^R)$

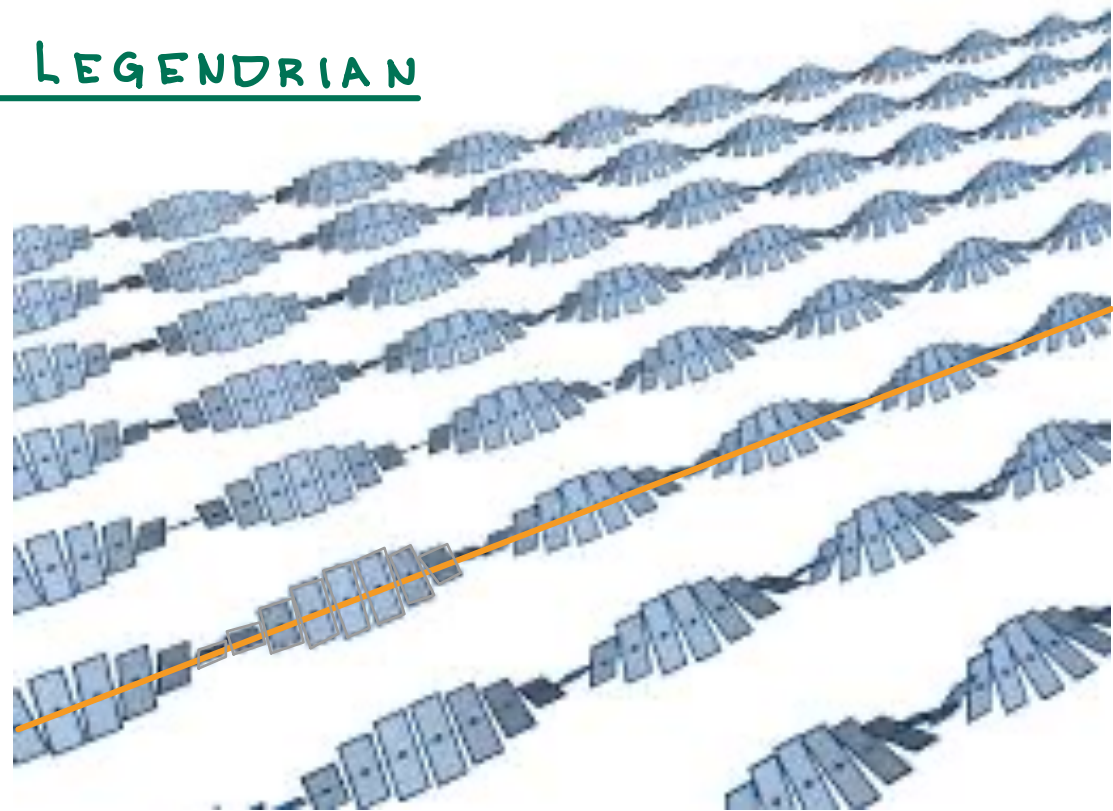
& IT IS WELL DEFINED UP TO CONTACTOMORPHISM

WEAKLY CONTACT ISOTOPIC TO  $(M^L, \xi^L)$

## STANDARD NEIGHBOURHOOD OF A LEGENDRIAN

E.G.:  $\xi = \ker (\cos(z) dx - \sin(z) dy)$

(ISOTOPIC TO  $\xi_{st}$ )



# STANDARD NEIGHBOURHOOD OF A LEGENDRIAN

E.G:  $\mathfrak{z} = \ker (\cos(z) dx - \sin(z) dy)$

(ISOTOPIC TO  $\mathfrak{z}_{st}$ )

IDENTIFY  $(x, y, z) \sim (x, y, z + 2\pi n)$

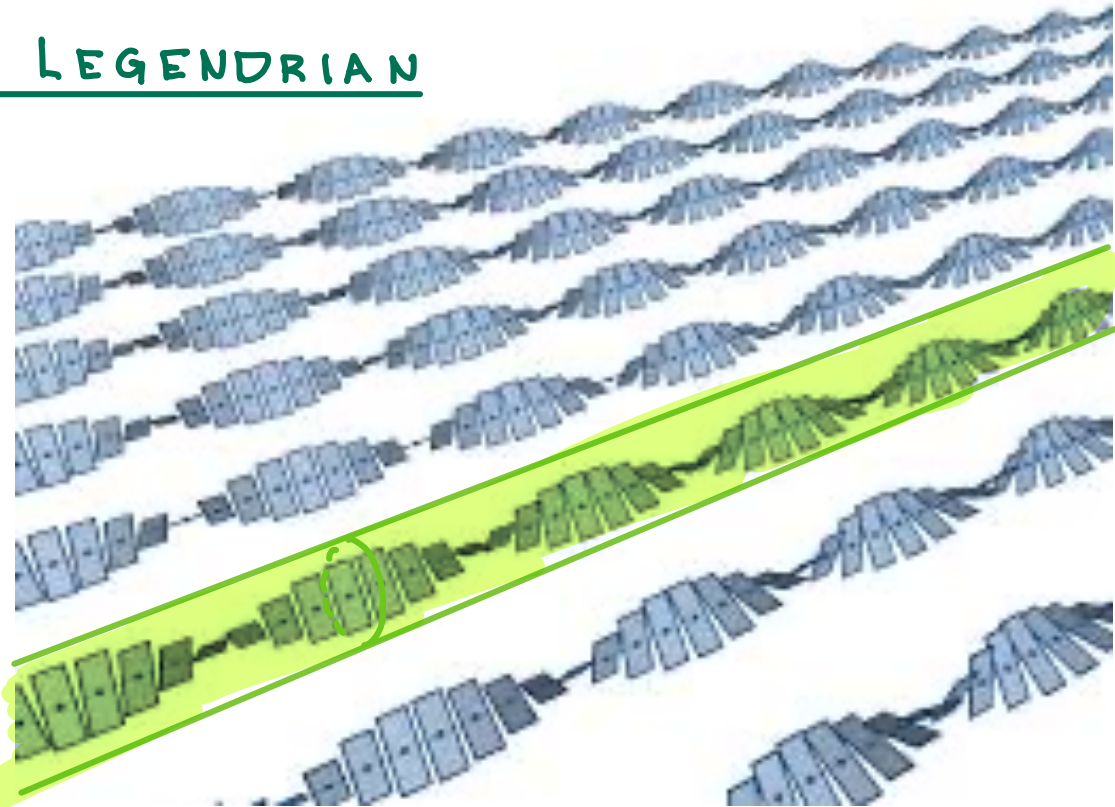
$\rightsquigarrow$  CONTACT STRUCTURE

ON  $\mathbb{R}^2 \times S^1$

WITH LEGENDRIAN KNOT

$$L_0 = (0, 0) \times S^1 \subset \mathbb{R}^2 \times S^1$$

NEIGHBOURHOOD  $N(L) \cong D^2 \times S^1$



# STANDARD NEIGHBOURHOOD OF A LEGENDRIAN

E.G.:  $\mathfrak{g} = kw (\cos(z) dx - \sin(z) dy)$

(ISOTOPIC TO  $\mathfrak{g}_{st}$ )

IDENTIFY  $(x, y, z) \sim (x, y, z + 2\pi n)$

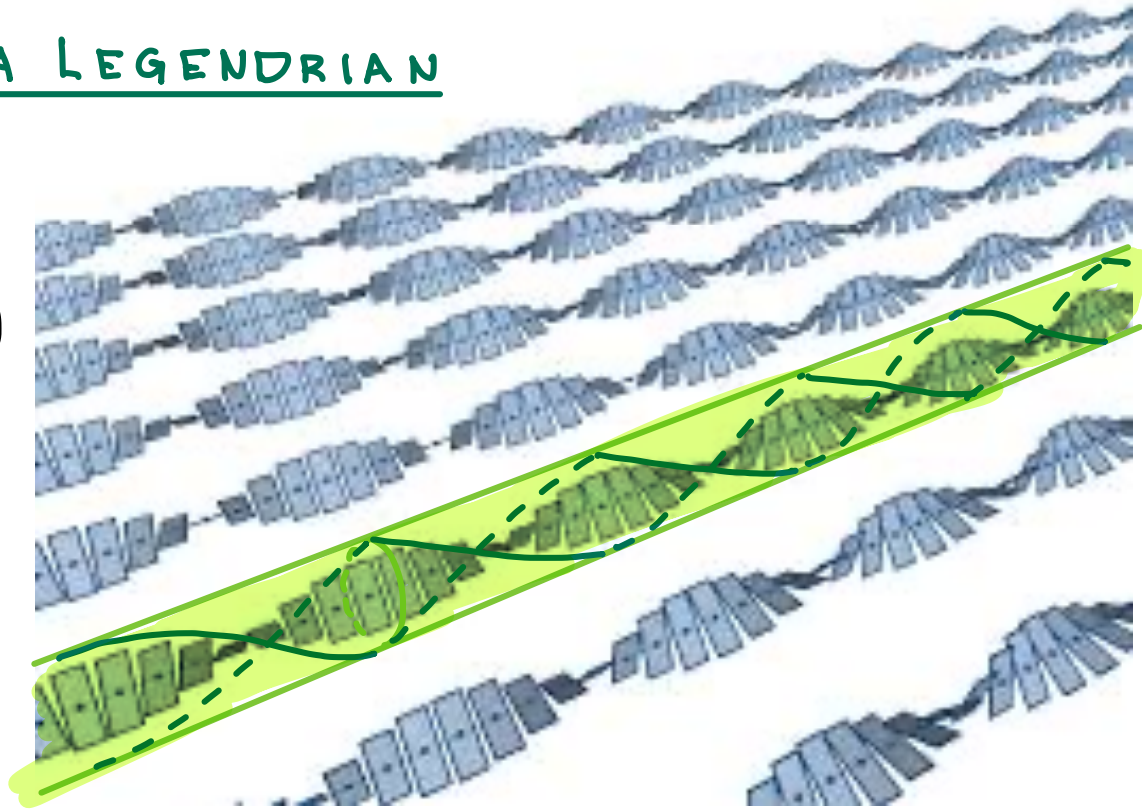
$\rightsquigarrow$  CONTACT STRUCTURE

ON  $\mathbb{R}^2 \times S^1$

WITH LEGENDRIAN KNOT

$$L_0 = (0, 0) \times S^1 \subset \mathbb{R}^2 \times S^1$$

NEIGHBOURHOOD  $N(L_0) \cong D^2 \times S^1$



RNK:  $\partial N(L_0)$  IS NOT CONVEX, BUT BY A  $C^\infty$ -SMALL ISOTOPY  
IT CAN BE MADE CONVEX WITH A TWO COMPONENT  
DIVIDING CURVE PARALLEL TO  $(\sin(z), \cos(z), z) / \sim$   
 $\uparrow$   
THIS GIVES THE THURSTON-BENNEQUIN FRAMING

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E.G.:  $\xi = \ker (\cos(z) dx - \sin(z) dy)$

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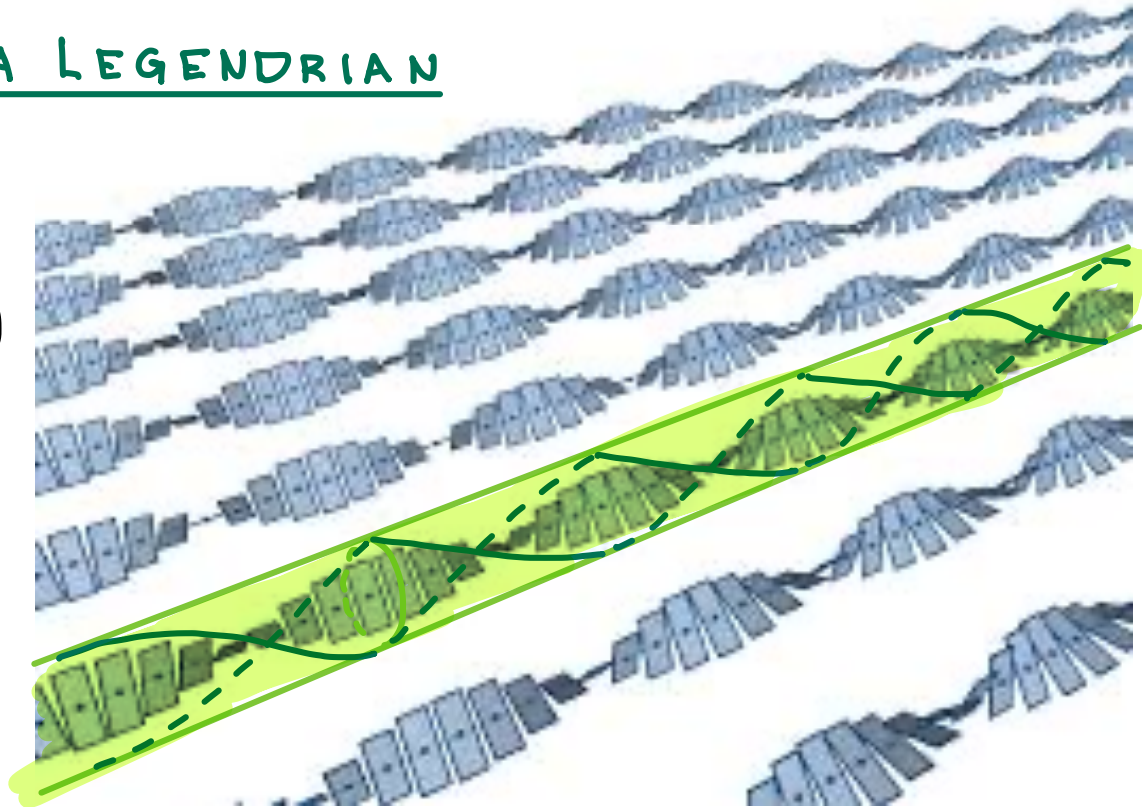
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THIS GIVES THE THURSTON-BENNEQUIN FRAMING

THM: ANY LEGENDRIAN KNOT  $L \subset (M, \xi)$  HAS A NEIGHBOURHOOD  $N(L)$   
CONTACTOMORPHIC TO  $N(L_0)$

# LEGENDRIANS ON CONVEX SURFACES

DEF:  $C \subset (\Sigma, \Gamma)$  IS AN ISOLATING CURVE, IF SOME COMPONENT OF  $\Sigma \setminus C$  IS DISJOINT FROM  $\Gamma$ :



## THM (LEGENDRIAN REALISATION PRINCIPLE)

$(\Sigma, \Gamma)$  CONVEX SURFACE,  $C \subset \Sigma$  NON-ISOLATING CURVE

$\implies \Sigma$  CAN BE ISOTOPED THROUGH CONVEX SURFACES  $\Psi_t(\Sigma)$   
S.T. AFTER THE ISOTOPY  $\Psi_1(C) \subset \Psi_1(\Sigma)$  IS LEGENDRIAN

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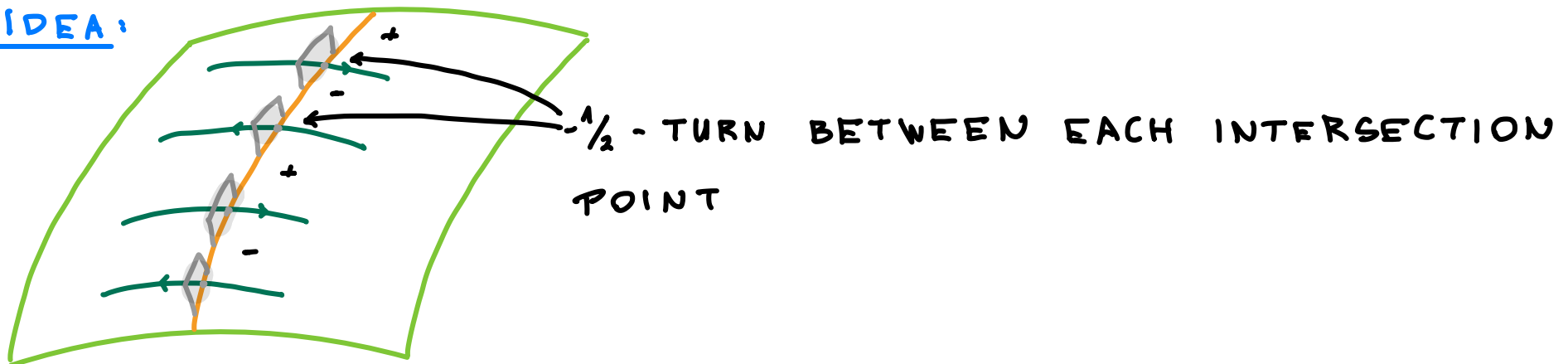
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S.T. AFTER THE ISOTOPY  $\Psi_1(C) \subset \Psi_1(\Sigma)$  IS LEGENDRIAN

RMK: THE TWISTING OF  $\gamma$  W.R.T.  $T\Sigma$  ALONG  $C = -\frac{1}{2} |C \cap \Gamma|$

IDEA:



# CONVEX SURFACES WITH LEGENDRIAN BOUNDARY

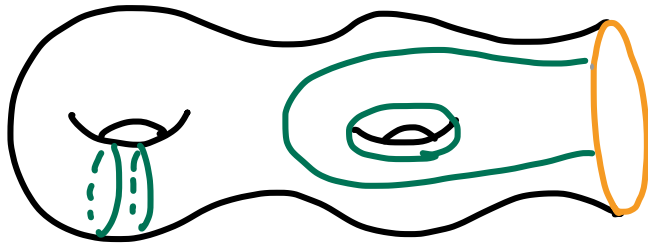
THM (KANDA):  $\Sigma$  SURFACE WITH LEGENDRIAN BOUNDARY  $L$  CAN  
BE ISOTOPED REL  $\partial$  TO BE CONVEX



TWISTING OF  $\xi$  W.R.T.  $\Sigma$  ALONG  $L$  IS  $\leq 0$

- THE ISOTOPY CAN BE ASSUMED TO BE  $C^0$ -SMALL  
( $C^\infty$ -SMALL IF  $\Sigma$  IS ALREADY CONVEX NEAR  $\partial\Sigma$ )

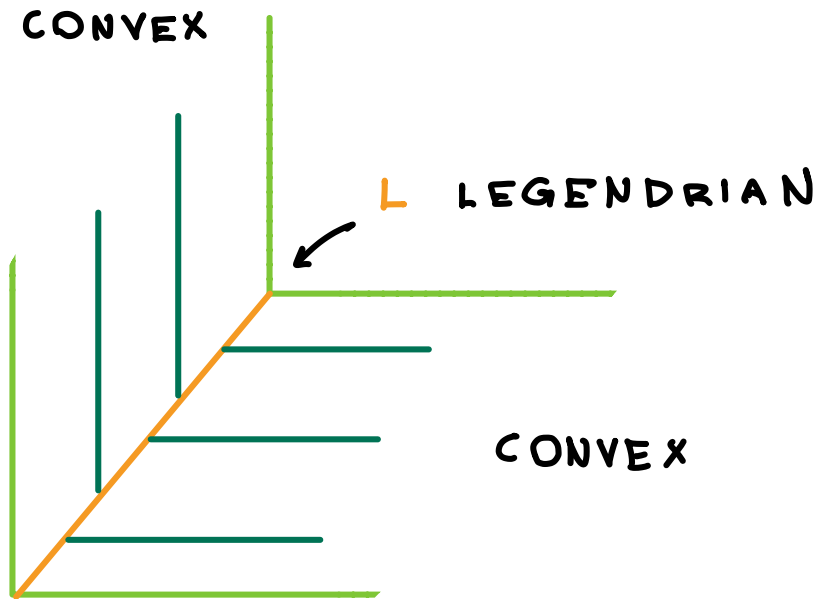
RMK: AFTER THE ISOTOPY



TWISTING OF  $\xi$  W.R.T.  $\Sigma$  ALONG  $L = -\frac{1}{2} |\pi \cap L|$

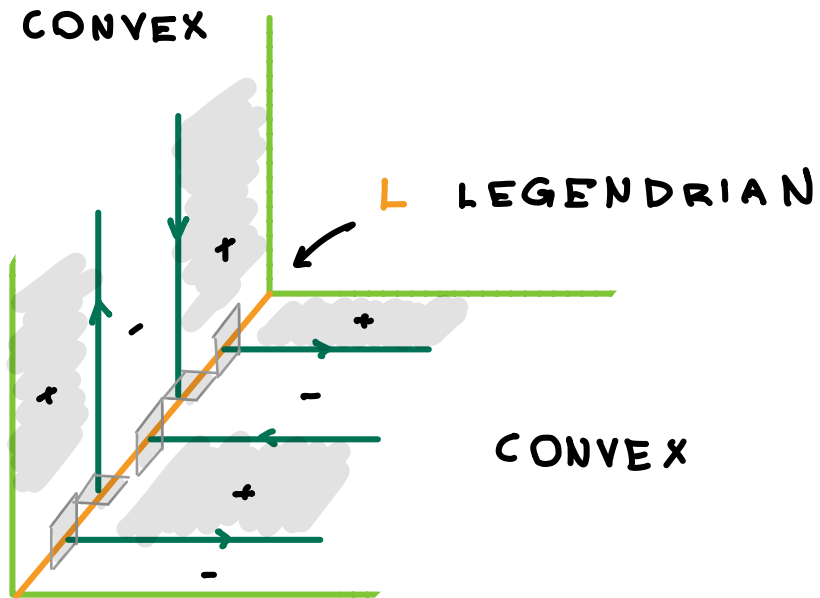
# ROUNDING EDGES

$\Sigma_1$  &  $\Sigma_2$  CONVEX SURFACES WITH COMMON LEGENDRIAN BOUNDARY  $L$



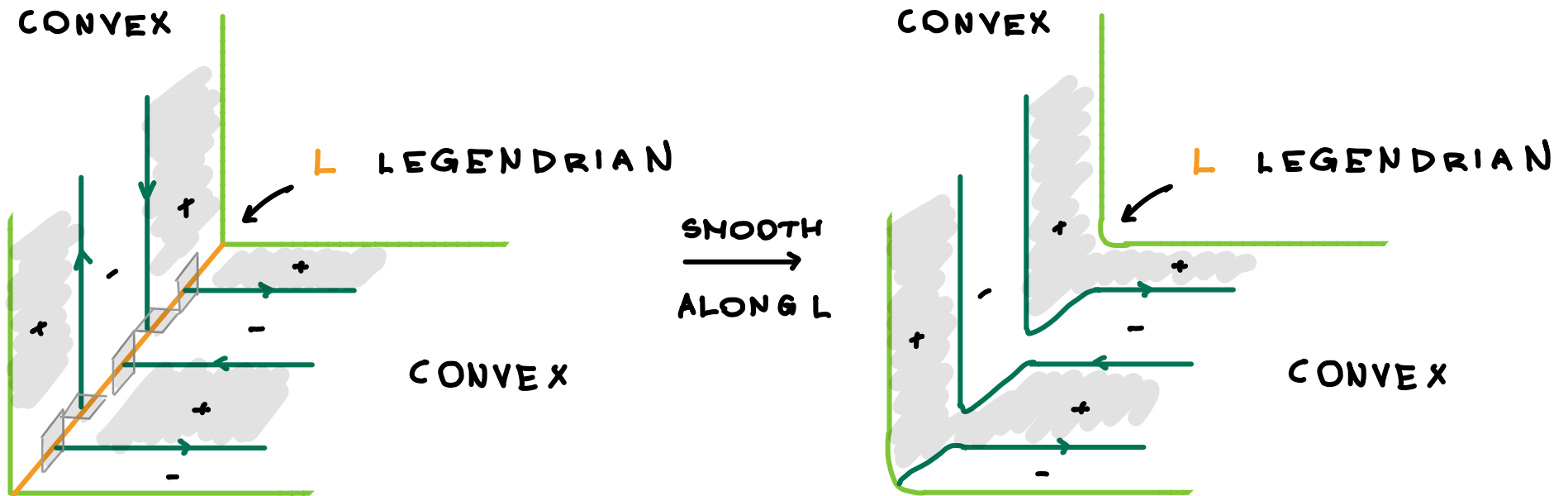
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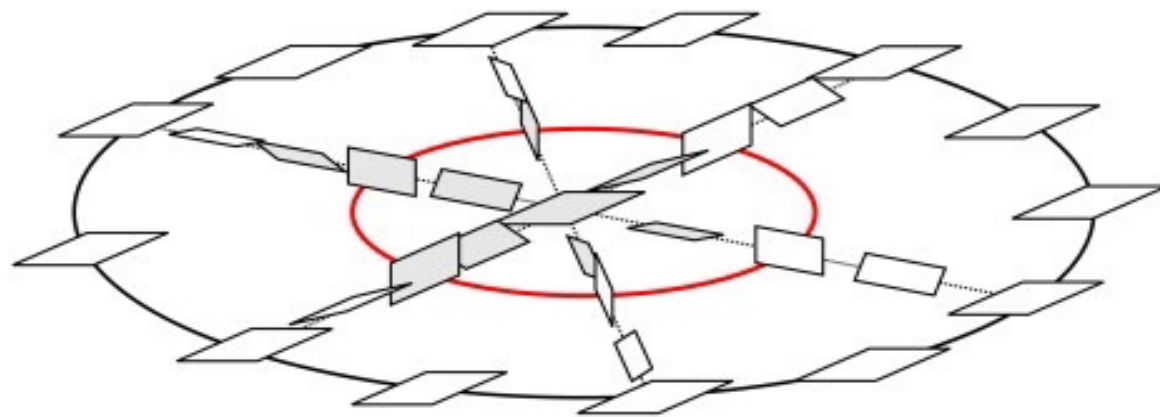
$\Sigma_1$  &  $\Sigma_2$  CONVEX SURFACES WITH COMMON LEGENDRIAN BOUNDARY  $L$   
THEN THE EDGE  $L$  CAN BE ROUNDED & WE GET A NEW  
SMOOTH CONVEX SURFACE  $Z$  WITH DIVIDING CURVE AS BELOW



TIGHT

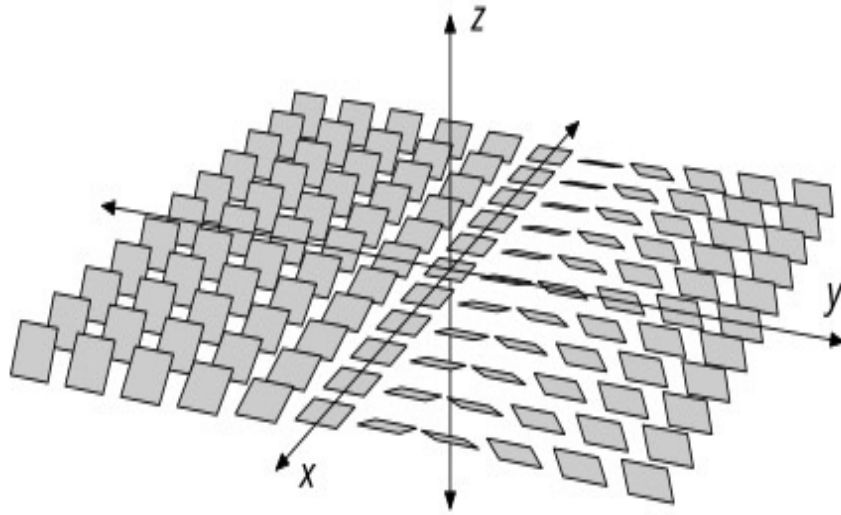
&

OVERTWISTED



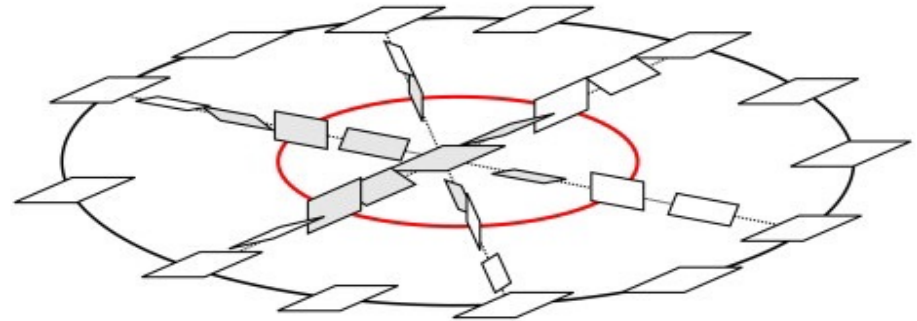
# TWO CONTACT STRUCTURES

STANDARD CONTACT STRUCTURE



$$\xi_{st} = \ker(dz - ydx)$$

OVERTWISTED CONTACT STRUCTURE

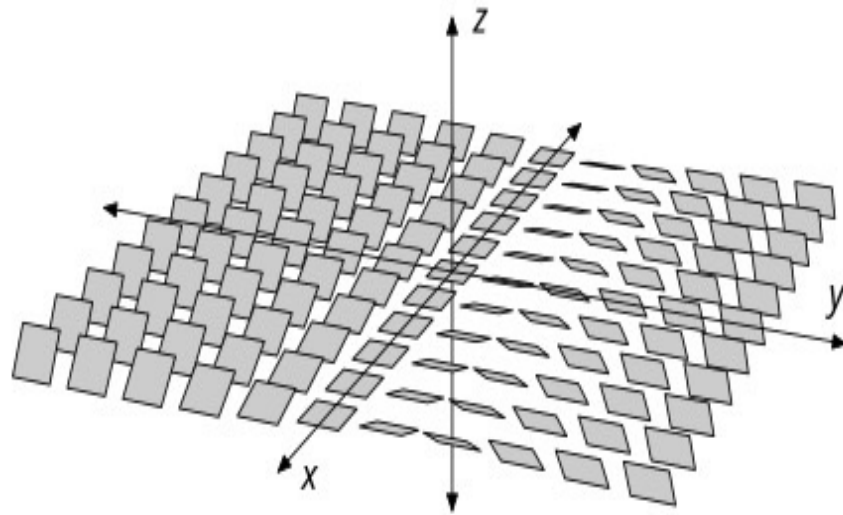


$$\xi_{ot} = \ker(\cos(r) dz + r \sin(r) d\varphi)$$

ARE  $\xi_{st}$  &  $\xi_{ot}$  ISOTOPIC/CONTACTOMORPHIC?

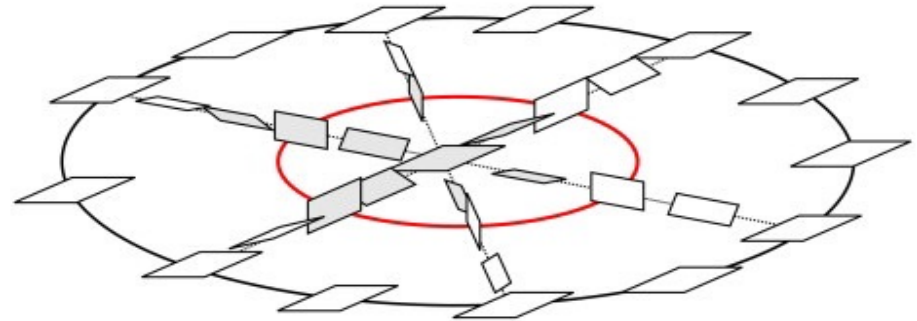
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STANDARD CONTACT STRUCTURE



$$\xi_{st} = \ker(dz - ydx)$$

OVERTWISTED CONTACT STRUCTURE



$$\xi_{ot} = \ker(\cos(r) dz + r \sin(r) d\varphi)$$

ARE  $\xi_{st}$  &  $\xi_{ot}$  ISOTOPIC/CONTACTOMORPHIC?

BENNEQUIN (1982): NO!

CONSIDER  $D = \{r \leq 2\pi\} \times \{0\}$

DEF:  $D \hookrightarrow (M, \xi)$  IS AN OVERTWISTED DISK IF  $TD|_{\partial D} = \xi|_{\partial D}$

RMK: ENOUGH TWISTING OF  $\xi$  ALONG  $\partial D$  W.R.T  $D$  IS 0

DEF:  $\xi$  IS OVERTWISTED IF  $\xi$  CONTAINS AN OVERTWISTED DISK

$\xi$  IS TIGHT IF IT IS NOT OVERTWISTED

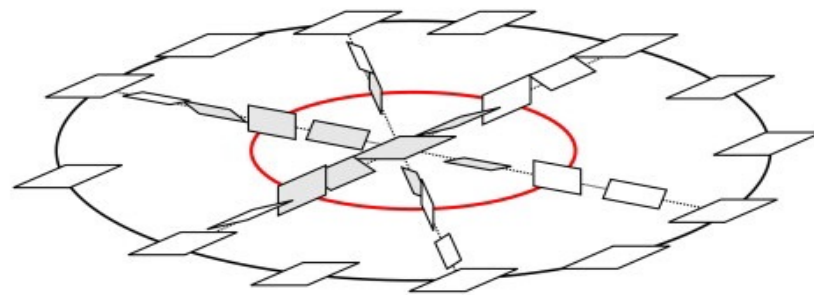
# OVERTWISTED CONTACT STRUCTURES

THM (ELIASHBERG)  $\mathfrak{F}, \mathfrak{F}'$  OVERTWISTED

CONTACT STRUCTURES &

$\mathfrak{F} \approx \mathfrak{F}'$  AS PLANEFIELDS

$\implies \mathfrak{F} \approx \mathfrak{F}'$



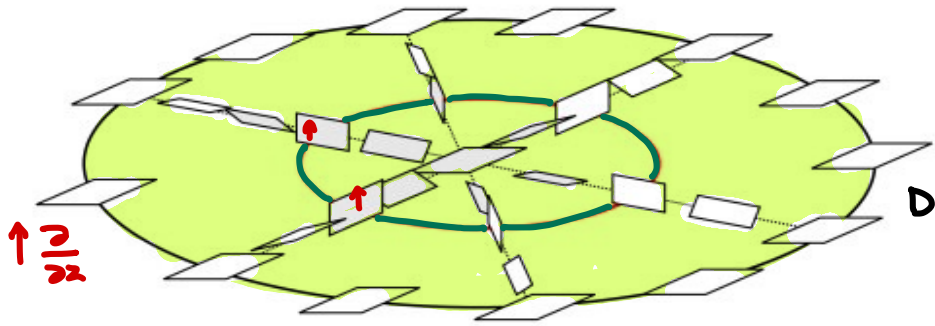
THM (LUTZ-MARTINEZ) ANY HOMOTOPY CLASS OF PLANEFIELDS  
IS REPRESENTED BY A(N OVERTWISTED) CONTACT STRUCTURE

OVERTWISTED CONTACT STRUCTURES CAN BE UNDERSTOOD  
THROUGH ALGEBRAIC TOPOLOGICAL INVARIANTS :  $d_2, d_3$

RMK: TIGHT CONTACT STRUCTURES ARE HARDER TO CLASSIFY

- ELIASHBERG:  $S^3$  ADMITS A UNIQUE TIGHT CONTACT STRUCTURE
- GIROUX:  $\exists$   $\infty$ -LY MANY 3-MANIFOLDS WITH  $\infty$ -LY MANY TIGHT CONTACT STRUCTURES
- ETNYRE:  $-\Sigma(2,3,5)$  ADMITS NO TIGHT CONTACT STRUCTURES
- ...

# RECOGNISING OVERTWISTED CONTACT STRUCTURES



$D$  IS CONVEX :  $X = \frac{\partial}{\partial z}$  IS A CONTACT VECTORFIELD,  $X \neq 0$

$$\Gamma = \left\{ \frac{\partial}{\partial z} \in \xi \right\} = \{r = \pi\} \times \{0\}$$

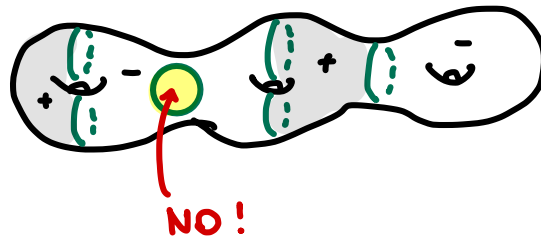
$$\xi_{0r} = \ker (\cos(r) dz + r \sin(r) d\vartheta)$$

THM (GIROUX'S CRITERION):  $\Sigma \hookrightarrow (M, \xi)$  CONVEX SURFACE ADMITS A TIGHT NEIGHBOURHOOD  $(N(\Sigma), \xi|_{N(\Sigma)})$  IFF

- $\Sigma = S^2$  &  $|\Gamma| = 1$
- $\Sigma \neq S^2$  & NO COMPONENT OF  $\Gamma$  BOUNDS A DISC



OR



# IDEA OF PROOF

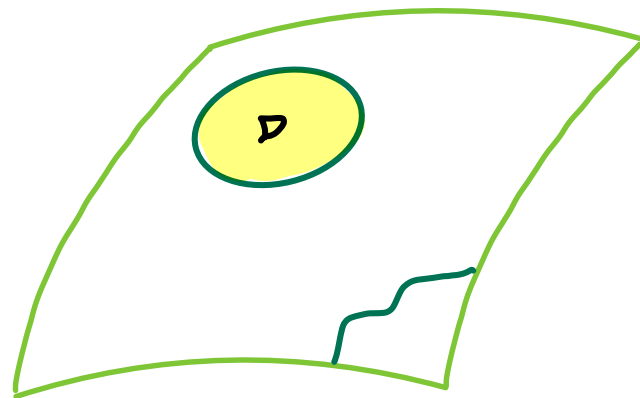
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CONSIDER:  $C$  ENCAPSULATING  $D$

$C$  IS NON-ISOLATING



LEGENDRIAN REALISATION PRINCIPLE

WE CAN ISOTOP  $\Sigma$  INSIDE  $N(\Sigma)$  SUCH THAT  $C$  IS LEGENDRIAN

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THEN TWISTING OF  $\xi$  ALONG  $C$  W.R.T.  $\Sigma = \frac{1}{2} |\Gamma \cap C|$

SO  $D'$  IS AN OVERTWISTED DISC ✓

$\Leftarrow$  UNIVERSAL COVER OF  $\Sigma \dots$  ■

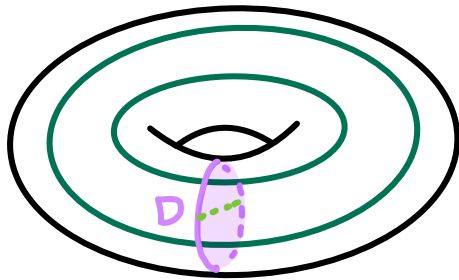
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THIS RESULT ALLOWS US TO PROVE OTHER UNIQUENESS RESULTS

E.G.:  $M = D^2 \times S^1$  GIVEN ANY CONTACT STRUCTURE  $\xi$  ON  $M$



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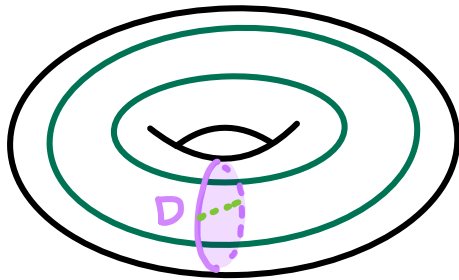
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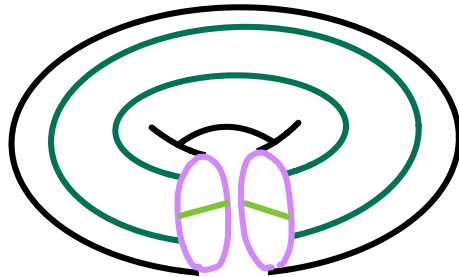
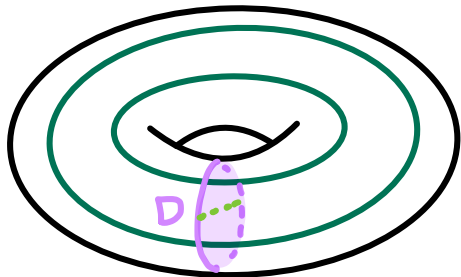
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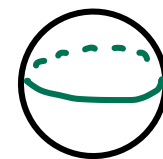
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- STEP 3: CUT  $M$  ALONG  $D$

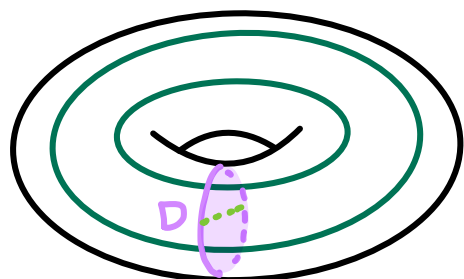
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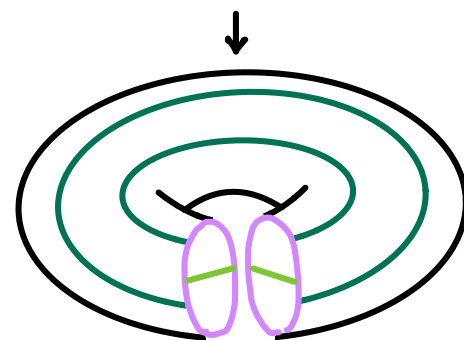


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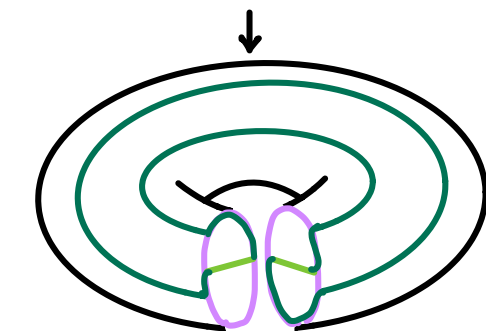


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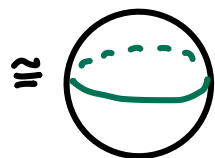
- STEP 3: CUT  $M$  ALONG  $D$



- STEP 4: ROUND THE EDGES: WE GET A  $D^3$  WHICH HAS A UNIQUE CONTACT STRUCTURE  $\xi_0$ .

$\Rightarrow$  ANY  $\xi$  CAN BE OBTAINED FROM  $\xi_0$ .

BY GLUEING  $\Rightarrow \xi$  IS UNIQUE TOO  $\blacksquare$

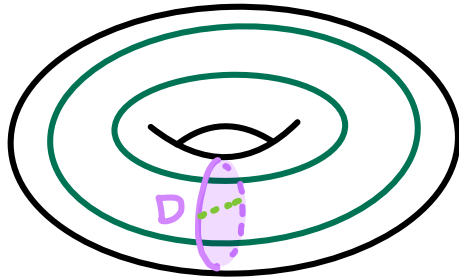


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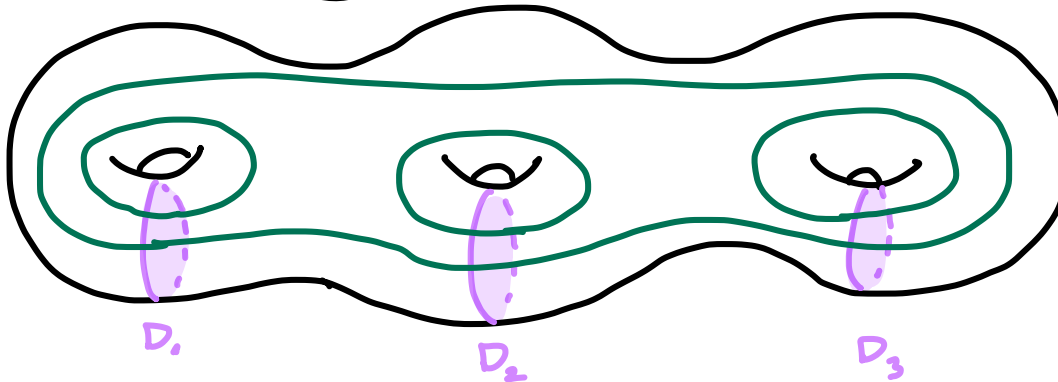
E.G. :



ADMITS A UNIQUE TIGHT CONTACT STRUCTURE

- WHAT DID WE USE IN THE PROOF?
- THAT  $|\partial D \cap \Gamma| = 2$  THUS THE DIVIDING CURVE ON  $D$  WAS WELL DEFINED
- & THAT WE GOT  AFTER CUTTING AND ROUNDING

SIMILARLY:



ADMITS A UNIQUE TIGHT CONTACT STRUCTURE

DEF: PRODUCT DISC DECOMPOSABLE

# TO BE CONTINUED ...

## LECTURE 2: DESCRIBING CONTACT STRUCTURES

- CONTACT CELL DECOMPOSITIONS
- CONVEX SURFACE THEORY - BYPASSES
- CONTACT HEEGAARD SPLITTINGS (PROOF OF EXISTENCE)
- OPEN BOOK DECOMPOSITIONS
- OPEN BOOK DECOMPOSITIONS & CONTACT HEEGAARD SPLITTINGS