# <span id="page-0-0"></span>The focusing of geodesics under distributional curvature bounds

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based on ongoing work, jointly with

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- rigorous results in Lorentzian differential geometry
- phys. reasonable assumptions  $\rightsquigarrow$  incomplete causal geodesic
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Why should you care?

- Roger Penrose's 2020 Nobel Prize in Physics
- o recent extensions: non-smooth spacetimes

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Contains quite some interesting analysis

**•** regularisation of distributional curvature,

. & Friedrichs lemma-type estimates

• Geodesic curves  $\gamma : I \to M$  with  $\nabla_{\dot{\gamma}} \dot{\gamma} = 0$ , locally

 $\ddot{\gamma}^i(s)=\Gamma^i_{jk}\big(\gamma(s)\big)\,\dot{\gamma}^j(s)\,\dot{\gamma}^k(s)\qquad\text{with }\Gamma\sim g^{-1}\,\,\partial g\,\,\text{(curvature)}$ 

• For data  $p \in M$ ,  $v \in T_pM$  (here always v causal) there is a unique maximally extended solution on  $I_{p,v}$ 

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- In context of singularity Theorems
	- assumptions guarantee existence of maximisers
	- contradicts existence of conjugate points
	- geodesics have to stop existing before first conjugate point
	- $\bullet \rightsquigarrow I_{p,v}$  finite, hence incompleteness

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Task: Proof occurrence of conjugate points via geodesic focusing

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**Expansion**  $\theta$  of geodesics:  $|\theta \rightarrow -\infty \iff$  conjugate point

obeys Raychaudhuri equation along (causal) geodesic  $\gamma : I \rightarrow M$ 

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\dot{\theta} = -\text{Ric}(\dot{\gamma}, \dot{\gamma}) - \text{tr}(\sigma^2) - \frac{\theta^2}{3}
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Ric  $\sim \partial^2 g\,(\partial g)^2$  (Ricci curvature)

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- middle term is non-positive
- Assume energy condition:  $\left| \text{Ric}(\dot{\gamma}, \dot{\gamma}) \ge 0 \right|$  (SEC)
- Assume initial condition:  $\theta(0) < 0$

 $\Rightarrow \theta \rightarrow -\infty$  for some t in  $[0, -3/\theta(0)) \Rightarrow$  conjugate point

 $\implies \gamma$  stops maximising, hence existing before  $-3/\theta(0)$ 

Key argument: Estimate on Ricci curvature provides incompleteness

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- Past:
	- $g \in C^{1,1}$  √ [KSSV,15], [KSV,15], [GGKS,18]
	- $g \in C^1$   $\checkmark$  [Graf,20], [SS,21], [KOSS,22]
	- Synthetic setting: [GKS,19], [Cavalletti & Mondino,20], ...

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Main challenges: from distributional curvature of  $g \in \text{Lip}$  get

- (A) useful curvature bounds on regularisations via convergence,
- $(B)$  and put this into geometric machinery (focusing) without convergence of geodesics ( $\exists$  geos. for g)

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### Low regularity: How?

Basis: chartwise regularisation of metric by convolution

$$
g_{\varepsilon}(x) := g \star_M \rho_{\varepsilon}(x) := \sum \chi_i(x) \, \psi_i^* \Big( \big( \psi_{i*}(\zeta_i \cdot g) \big) \ast \rho_{\varepsilon} \Big) (x).
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#### Lipschitz-focusing: The rough guide

- **■** Formulate distributional (SEC) for  $g \in Lip$
- 2 Derive surrogate (SEC) for Ric $[\check{\varepsilon}_\varepsilon](X,X)$  (on K cp.) .... (A)
- **3** still show smooth focusing for  $\check{g}_z$ -geodesics
- **4** show that geodesics of g stop maximising/existing  $\ldots$  (B)

- Start with distributional condition Ric $[g] \geq 0$
- Problem: Ric $[\check{g}_{\varepsilon}] \rightarrow \text{Ric}[g]$  only distributionally  $\rightsquigarrow$  cannot carry Ric[g]  $\geq 0$  through construction

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\text{Ric}[\check{g}_{\varepsilon}] - \underbrace{\text{Ric}[g] \star_M \rho_{\varepsilon}}_{\geq 0} \quad \text{locally uniformly}
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Lemma (Compatibility of Lip. regularisations [CGHKS, 24]

- $\|\text{Ric}[\check{g}_{\varepsilon}] \text{Ric}[g] \star_M \rho_{\varepsilon}\|_{L^1(K)} \to 0$
- $\|\text{Ric}[\check{g}_{\varepsilon}] \text{Ric}[g] \star_M \rho_{\varepsilon}\|_{L^{\infty}(K)} \leq C_K$  on all compact K

This is issue  $(A)$ 

 $\dots$  to be fed into the geometric focusing machinery later on  $(B)$ 

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relevant terms in Ric $[\check{g}_{\varepsilon}]$  – Ric $[g] \star_M \rho_{\varepsilon}$ 

$$
\underbrace{\left[(\psi_{\beta})_*g_{\varepsilon}\right]^{\text{ij}}}_{=:a_{\varepsilon}}\big(\underbrace{\left[\xi\partial_{k}((\psi_{\beta})_*g)_{lm}\right]}_{=:f}*\rho_{\varepsilon}\big)-\big(\underbrace{\left[(\psi_{\beta})_*g\right]^{\text{ij}}}_{=:a}\underbrace{\xi\partial_{k}((\psi_{\beta})_*g)_{lm}}_{=f}\big)*\rho_{\varepsilon}
$$

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$$
\nProve

\n
$$
\underbrace{a_{\varepsilon} f_{\varepsilon} - (af)_{\varepsilon} \to 0 \text{ in } W^{1,1} \text{ & bounded in } W^{1,\infty} \text{ on } K \in M \text{ for } \text{ or } \text{ } a \in \text{Lip}, \quad f \in L^{\infty}, \quad C^{\infty} \ni a_{\varepsilon} \to a \text{ loc. unit}, \quad f_{\varepsilon} := f * \rho_{\varepsilon},
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\nWrite relevant term as integral op. [Braverman, Milatovic, Shubin,02]  
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K_{\varepsilon} f(x) = \int k_{\varepsilon}(x, y) f(y) dy = \int \partial_x \Big( (a(x) - a(y)) \rho_{\varepsilon}(x - y) \Big) f(y) dy
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 $\int \left| k_\varepsilon(x,y) \right| d x \leq C \, \mathsf{Lip}(a) \Longrightarrow \; \mathcal{K}_\varepsilon$  a unif. bd. family of ops. on  $L^1(\mathcal{K})$ 

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<span id="page-31-0"></span>relevant terms in Ric[ $\breve{g}_{\varepsilon}$ ] – Ric[g]  $\star_M \rho_{\varepsilon}$ 

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works since  $\int_K$ ∂a(x)  $\frac{\partial a(x)}{\partial x^j}$  $\int_{\mathbb{R}^n} |f(y) - f(x)| \; \rho_{\varepsilon}(x - y) \, dy \, dx$ splitting the t[a](#page-0-0)sk works  $\leq \varepsilon$  [Li](#page-36-0)[p\(](#page-0-0)a[\)](#page-36-0) $\|\nabla f\|_{L^{\infty}}$ 

### <span id="page-32-0"></span>Lemma (Curvature estimates) [CGHKS,24]

- $\mathsf{Ric}[\check{\mathsf{g}}_\varepsilon]_-(\dot{\gamma},\dot{\gamma})\to 0$  in  $\mathsf{L}^1(\mathsf{K})$
- Ric $[\check{g}_\varepsilon](\dot{\gamma}, \dot{\gamma}) \ge n\kappa$  for some  $\kappa < 0$

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Look at set of good points in Cauchy surface  $Re(z) \ni x$  if geo. starting at x max. up to T

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Look at set of good points in Cauchy surface  $Reg(T) \ni x$  if geo. starting at x max. up to T

Volume estimate [Treude, Grant, 13] & segment inequality: line- $\int$  from volume- $\int$ [Graf, Kontou, Ohanyan, Schinnerl, 24] inspired by [Cheeger & Colding, 96]  $\mathrm{area}_{\mathcal{g}}(\mathit{Reg}(\mathcal{T})) \leq \mathrm{limsup} \ \mathrm{area}_{\breve{\mathcal{g}}_{\varepsilon}}({}^{\breve{\varepsilon}} \mathit{Reg}(\mathcal{T}))$  $\leq C$ Ω  $|\mathsf{Ric}[\check{\mathsf{g}}_\varepsilon]_-(U,U)| \to 0$ 



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So  $\text{area}_{g}$  $\text{area}_{g}$  $\text{area}_{g}$  Reg(T) = 0; as good as a conjugate p[oin](#page-34-0)t



### <span id="page-36-0"></span>Some related Literature

- [GGKS,18] M. Graf, J. Grant, M. Kunzinger, R. Steinbauer, The Hawking-Penrose Singularity Theorem for  $C^{1,1}$ -Lorentzian Metrics. Commun. Math. Phys., 360(3): 1009–1042, 2018.
- [G,20] M. Graf, Singularity theorems for  $C^1$ -Lorentzian metrics. Commun. Math. Phys., 378(2):1417–1450, 2020.
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- [S,23] R. Steinbauer, The singularity theorems of General Relativity and their low regularity extensions. Jb. Dt. Math.-Ver. 125(2), 73–119, 2023

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