

# Extreme DADASMs

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## Permutation matrices

Binary matrices s.t. each row/column contains precisely one 1.

$$\begin{pmatrix} 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 \end{pmatrix}$$

There are  $n!$  permutation matrices of size  $n$ .

## Permutation triangles

Triangular binary arrays with  $n$  rows such that

- each row contains precisely one 1,
- each column contains at most one 1.

```
0 0 0 0 0 0 1 0 0
  1 0 0 0 0 0 0 0
    0 0 0 1 0
      1 0 0
        1
```

There are  $n!$  permutation triangles of size  $n$ .

## ASM=Alternating Sign Matrix

Quadratic  $0, 1, -1$  matrix such that in each row and each column

- the non-zero entries appear with alternating signs and
- the sum of entries is 1, that is the first and the last non-zero entry is a 1.

$$\begin{pmatrix} 0 & 1 & 0 & 0 & 0 \\ 1 & -1 & 0 & 1 & 0 \\ 0 & 1 & 0 & -1 & 1 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \end{pmatrix}$$

Generalize permutation matrices!

## AST = Alternating Sign Triangle

Triangular 0, 1, -1 array such that

- in each row and column the non-zero elements alternate,
- the sum of entries in each **row** is 1,
- the first non-zero entry of each **column** is 1 ( $\Rightarrow$  c-sums = 0, 1).

$$\begin{array}{cccccccc} 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ & 1 & -1 & 0 & 0 & 1 & 0 & 0 \\ & & 0 & 0 & 1 & -1 & 1 & \\ & & & 1 & -1 & 1 & & \\ & & & & 1 & & & \end{array}$$

Generalize permutation triangles!

This is a good generalization in the following sense:

Conjecture (A.-F. 2012). There is the same number of  $n \times n$  ASMs as there is of ASTs with  $n$  rows.

Refinement by Matjaž:

Conjecture (K. 2014). Let  $n, k$  be non-negative integers. There is the same number of  $n \times n$  ASMs with  $k$  occurrences of  $-1$  as there is of ASTs with  $n$  rows and  $k$  occurrences of  $-1$ .

We started the talk by proving the conjecture for  $k = 0$ .

## $k = 1$ : ASMs

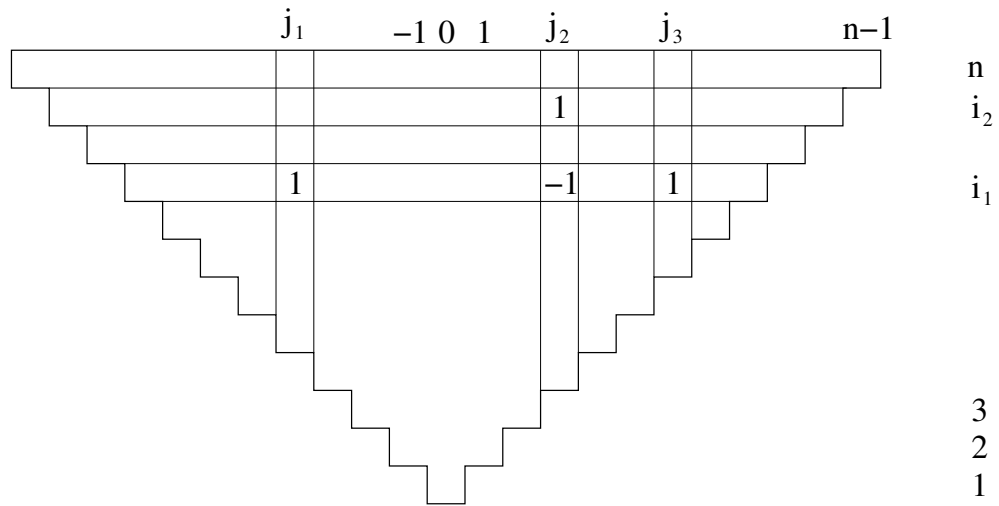
Number of  $n \times n$  ASMs with precisely one  $-1$ :

$$\binom{n}{3}^2 (n-3)!$$

- $\binom{n}{3}$  choices for the **rows** of  $-1$  and the two  $1$ s in the same column.
- $\binom{n}{3}$  choices for the **columns** of  $-1$  and the two  $1$ s in the same row.
- $(n-3)!$  choices for the permutation matrix obtained after deleting the three rows and the three columns.

			1			
	1		-1		1	
			1			

# $k = 1$ : ASTs





Notation:

$$p(a, b) = \begin{cases} a(a+1) \cdots b & \text{if } a \leq b, \\ 1 & \text{otherwise.} \end{cases}$$

$$A(j_1, j_3, i_1) = p(1, m)p(m, M-1)p(M-1, i_1-3)$$

$$B(j_1, j_2, j_3, i_1) = p(1, \min)p(\min, \text{mid}-1) \\ \times p(\text{mid}-1, \max-2)p(\max-2, i_1-4)$$

where  $m = \min(|j_1|, |j_3|)$ ,  $M = \max(|j_1|, |j_3|)$ ,  $\min = \min(|j_1|, |j_2|, |j_3|)$ ,  $\max = \max(|j_1|, |j_2|, |j_3|)$ ,  $\text{mid} = |j_1| + |j_2| + |j_3| - \min - \max$ .

The number of ASTs with  $n$  rows and one  $-1$  is

$$\sum B(j_1, j_2, j_3, i_1)p(i_1-1, i_2-3)p(i_2, n-1) \\ + (A(j_1, j_3, i_1) - B(j_1, j_2, j_3, i_1))p(i_1, i_2-2)p(i_2+1, n),$$

where the sum is over all  $j_1, j_2, j_3$  with  $-n+1 \leq j_1 < j_2 < j_3 < n-1$  and all  $i_1, i_2$  with  $\max(|j_1|, |j_2|, |j_3|) + 1 \leq i_1 < i_2 \leq n$ .

## Outline

- Symmetry classes of ASMs, DADASMs
- Behavior along diagonals and antidiagonals of DADASMs
- Conjectures on the numbers of extreme configurations
- Characterization of extreme configurations
- Operator expressions for the numbers
- Dimension-halving theorems (provide **half** of the information)

## ASM-Theorem (Zeilberger, 1995)

The number of  $n \times n$  ASMs is  $\prod_{j=0}^{n-1} \frac{(3j+1)!}{(n+j)!}$ .

David Robbins conjectured nice product formulas for many symmetry classes of ASMs.

## Symmetry classes of ASMs

- **Vertically symmetric ASMs:**  $a_{i,j} = a_{i,n+1-j}$   
 $n$  odd: Kuperberg (2002)
- **Half-turn symmetric ASMs:**  $a_{i,j} = a_{n+1-i,n+1-j}$   
 $n$  even: Kuperberg (2002)  
 $n$  odd: Razumov/Stroganov (2005)
- **Diagonally symmetric ASMs:**  $a_{i,j} = a_{j,i}$   
no formula ?
- **Quarter-turn symmetric ASMs:**  $a_{i,j} = a_{j,n+1-i}$   
 $n$  even: Kuperberg (2002)  
 $n$  odd: Razumov/Stroganov (2005)

## Symmetry classes of ASMs (Part 2)

- **Horizontally and vertically symmetric ASMs:**  $a_{i,j} = a_{i,n+1-j} = a_{n+1-i,j}$   
 $n$  odd: Okada (2004)
- **Diagonally and antidiagonally symmetric ASMs:**  $a_{i,j} = a_{j,i} = a_{n+1-j,n+1-i}$   
 $n$  odd: **Conjecture** by Robbins (1980s)
- **All symmetries:**  $a_{i,j} = a_{j,i} = a_{i,n+1-j}$   
no formula ?

## DADASM<sub>s</sub>(=DASASM<sub>s</sub>)

Example:

$$\begin{pmatrix} 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & -1 & 0 & 1 & 0 & 0 \\ 1 & -1 & 0 & 1 & -1 & 1 & 0 \\ 0 & 0 & 1 & -1 & 1 & 0 & 0 \\ 0 & 1 & -1 & 1 & 0 & -1 & 1 \\ 0 & 0 & 1 & 0 & -1 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 \end{pmatrix}$$

$d(n)$  = number of  $n \times n$  DADASM<sub>s</sub>

Conjecture (Robbins, 1980s):  $d(2n + 1) = \prod_{i=1}^n \frac{\binom{3i}{i}}{\binom{2i-1}{i}}$

Sequence starts as follows: 1, 3, 15, 126, 1782, 42471, 1706562 ...

## Behavior along diagonals and antidiagonals

An  $n \times n$  DADASM  $A = (a_{i,j})$  is uniquely determined by its **fundamental domain**  $\{a_{i,j} | 1 \leq i \leq (n+1)/2, i \leq j \leq n+1-i\}$ .

$$\begin{pmatrix} 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & -1 & 0 & 1 & 0 & 0 \\ 1 & -1 & 0 & 1 & -1 & 1 & 0 \\ 0 & 0 & 1 & -1 & 1 & 0 & 0 \\ 0 & 1 & -1 & 1 & 0 & -1 & 1 \\ 0 & 0 & 1 & 0 & -1 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 \end{pmatrix}$$

$\alpha \in \{-1, 0, 1\}$ :

$n_\alpha(A)$  = Number of  $\alpha$ 's along the diagonal and the antidiagonal of the fundamental domain

## Bounds

Computer experiments: suppose  $A$  is a  $(2n + 1) \times (2n + 1)$  DADASM. Then the statistics  $n_\alpha(A)$  range in the following intervals.

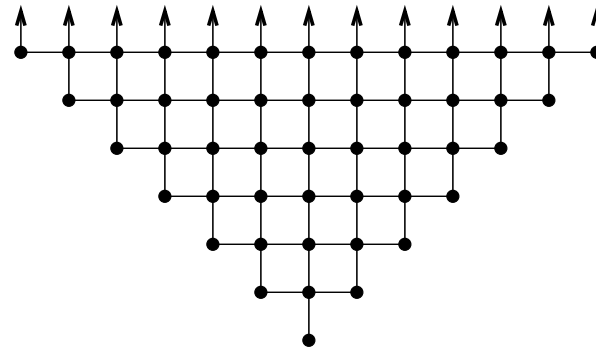
- $n \leq n_0(A) \leq 2n$
- $0 \leq n_1(A) \leq n + 1$
- $0 \leq n_{-1}(A) \leq n$

All inequalities are sharp.

Why do there have to be so many zeros?



## Translation into six vertex model:

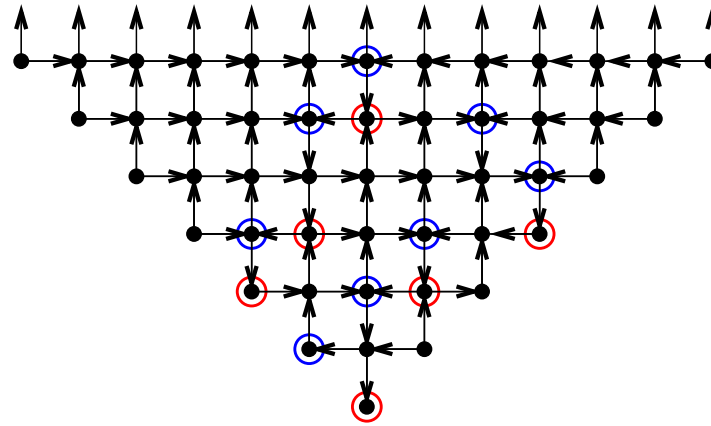


Orient edges such that

- all degree-4 vertices are “balanced”, and
- all external edges at the top are oriented upward.

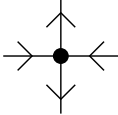
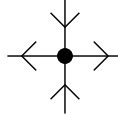
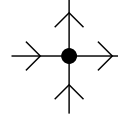
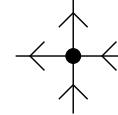
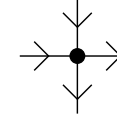
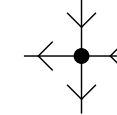








1-1 correspondence with fundamental domains of DADASMs

# Example



0	0	0	0	0	0	1	0	0	0	0	0	0
	0	0	0	0	1	-1	0	1	0	0	0	
		0	0	0	0	0	0	0	1	0		
			0	1	-1	0	1	0	-1			
				-1	0	1	-1	0				
					1	0	0					
						-1						

## Dictionary

degree-4 vertices						
	1	-1	0	0	0	0
left boundary						
	1	-1	0			0
right boundary						
	1	-1		0	0	
bottom vertex						
	1	-1				

- Sum of indegrees = sum of outdegrees
- Inner vertices are balanced
- There have to be enough **outdegree-2 zeros** to compensate for the **indegree-1 vertices** on the top boundary.

## Enumerating extreme configurations

Minimal number of zeros:

**Conjecture 1.** The number of  $(2n + 1) \times (2n + 1)$  DADASMs  $A$  with  $n_0(A) = n$  is equal to the total number of  $(n + 1) \times (n + 1)$  ASMs.

Maximal number of zeros:

**Conjecture 2.** The number of  $(2n + 1) \times (2n + 1)$  DADASMs  $A$  with  $n_0(A) = 2n$  is equal to the number of  $(2n + 3) \times (2n + 3)$  vertically and horizontally symmetric ASMs (VHASMs).

Are numbers round as well if  $n_0(A) = 2n - 1$  or  $n_0(A) = 2n - 2$ ?

## Cases $\alpha = \pm 1$

Maximal number of 1s:

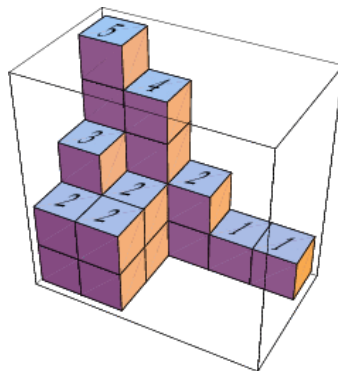
**Conjecture 3.** The number of  $(2n + 1) \times (2n + 1)$  DADASMs with  $n_1(A) = n + 1$  is equal to the number of cyclically symmetric plane partitions (CSPP) in an  $n \times n \times n$  box.

Maximal number of  $-1$ s:

**Conjecture 4.** The number of  $(2n + 1) \times (2n + 1)$  DADASMs with  $n_{-1}(A) = n$  is equal to the total number of  $n \times n$  ASMs.

Conjecture 4 is equivalent to the conjecture on ASTs!

## Plane Partitions



A plane partition in an  $a \times b \times c$  box is a subset

$$PP \subseteq \{1, 2, \dots, a\} \times \{1, 2, \dots, b\} \times \{1, 2, \dots, c\}$$

with

$$(i, j, k) \in PP \Rightarrow (i', j', k') \in PP \quad \forall (i', j', k') \leq (i, j, k).$$

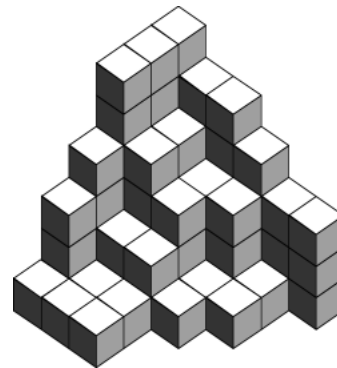
## Cyclically symmetric plane partitions

An  $n \times n \times n$  PP is cyclically symmetric if

$$(i, j, k) \in PP \Rightarrow (j, k, i) \in PP.$$

In 1979, George Andrews proved that the number of  $n \times n \times n$  cyclically symmetric plane partitions is

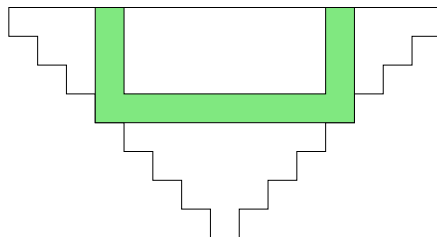
$$\prod_{i=0}^{n-1} \frac{(3i+2)(3i)!}{(n+i)!}.$$



## Characterization of extreme configurations

- **$s$ -alternating sequence** = seq of 0/1/-1, non-zero elements alternate and elements sum to  $s$
- **DADASM-triangle** = fundamental region of a DADASM

$$\begin{array}{cccccccccccc}
 a_{n,-n} & a_{n,-n+1} & \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots & a_{n,n-1} & a_{n,n} \\
 & \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots \\
 & & \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots \\
 & & & a_{2,-2} & a_{2,-1} & a_{2,0} & a_{2,1} & a_{2,2} & & & & & \\
 & & & & a_{1,-1} & a_{1,0} & a_{1,1} & & & & & & \\
 & & & & & a_{0,0} & & & & & & & 
 \end{array}$$





**Proposition.** Let  $A$  be a DADASM-triangle of order  $n$ .

- (1)  $n_1(A) = n + 1$  iff each row is 1-alternating.
- (2)  $n_{-1}(A) = n$  iff, after deleting all diagonal and antidiagonal entries, each row is 1-alternating.
- (3)  $n_0(A) = n$  iff  $a_{1,1} = 1$  and, after deleting all diagonal and antidiagonal  $-1$ s, each row is 1-alternating.

Example for (3):

$$\begin{array}{cccccccccccc}
 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\
 & 0 & 0 & 0 & 0 & 1 & -1 & 0 & 1 & 0 & \\
 & & 1 & 0 & 0 & -1 & 0 & 1 & -1 & & \\
 & & & 0 & 0 & 1 & 0 & -1 & & & \\
 & & & & 1 & -1 & 1 & & & & \\
 & & & & & 1 & & & & & 
 \end{array}$$

Six-vertex model formulation:

(1): The leftmost and rightmost **vertical** edges of each row are oriented towards the **top**.

(2): The leftmost and rightmost **horizontal** edges of each row are oriented **inwards**.

## Equivalence of Conj. 1 and Conj. 4

**Theorem.** There is the same number of DADASM-triangles of order  $n$  with  $n_0(A) = n$  as there is of DADASM-triangles of order  $n + 1$  with  $n_{-1}(A) = n + 1$ .

**Proof by example:** DADASM-triangle of order 5 with  $n_0(A) = 5$

$$\begin{array}{ccccccccccc} 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ & 0 & 0 & 0 & 0 & 1 & -1 & 0 & 1 & 0 & \\ & & 1 & 0 & 0 & -1 & 0 & 1 & -1 & & \\ & & & 0 & 0 & 1 & 0 & -1 & & & \\ & & & & 1 & -1 & 1 & & & & \\ & & & & & & 1 & & & & \end{array}$$

Perform the following procedure:

- Replace the  $-1$ s among the diagonal-antidiagonal entries by 0s and put  $-1$ s below these zeros.
- Put a 0 below each diagonal-antidiagonal 0.
- Put a  $-1$  below each diagonal-antidiagonal 1.
- Put 0s at the beginning and end of the top row.

$$\begin{array}{cccccccccccc}
0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\
& 0 & 0 & 0 & 0 & 1 & -1 & 0 & 1 & 0 & \\
& & 1 & 0 & 0 & -1 & 0 & 1 & -1 & & \\
& & & 0 & 0 & 1 & 0 & -1 & & & \\
& & & & 1 & -1 & 1 & & & & \\
& & & & & 1 & & & & & 
\end{array}$$

⇓

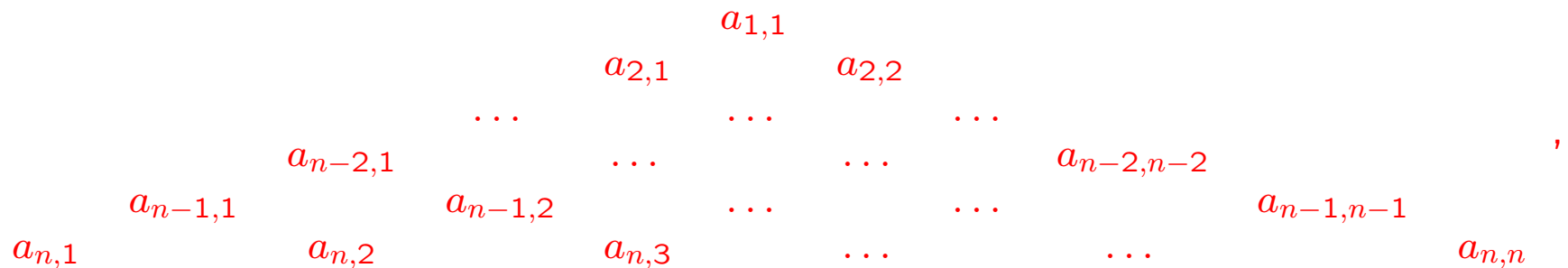
$$\begin{array}{cccccccccccccc}
0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\
& 0 & 0 & 0 & 0 & 0 & 1 & -1 & 0 & 1 & 0 & 0 \\
& & 0 & 1 & 0 & 0 & -1 & 0 & 1 & 0 & 0 \\
& & & -1 & 0 & 0 & 1 & 0 & 0 & -1 \\
& & & & 0 & 1 & -1 & 1 & -1 \\
& & & & & -1 & 1 & -1 \\
& & & & & & -1
\end{array}$$

This is a DADASM-triangle of order 6 with  $n_{-1}(A) = 6$ . □

## Operator expressions

First explain the tool to derive the formulas.

A **monotone triangle** is a triangular array  $(a_{i,j})_{1 \leq j \leq i \leq n}$  of integers



monotone increasing in  $\nearrow$  and  $\searrow$  direction and strictly increasing along rows, except for the last row.



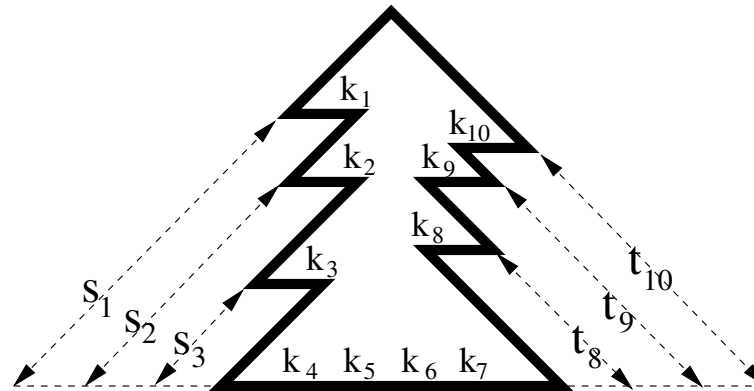
Define

$$\alpha(n; k_1, \dots, k_n) = \prod_{1 \leq p < q \leq n} (\text{id} + \Delta_{k_p} \Delta_{k_q} + \Delta_{k_q}) \prod_{1 \leq i < j \leq n} \frac{k_j - k_i}{j - i},$$

where  $\Delta_x p(x) = p(x + 1) - p(x)$ .

Let  $\mathbf{s} = (s_1 \geq s_2 \geq \dots \geq s_l \geq 0)$  and  $\mathbf{t} = (0 \leq t_{n-r+1} \leq t_{n-r+2} \leq \dots \leq t_n)$ : An  $(\mathbf{s}, \mathbf{t})$ -tree of order  $n$  is a monotone triangle with  $n$  rows where

- the bottom  $s_i$  elements are **deleted** from the  $i$ -th **NE-diagonal**,
- the bottom  $t_i$  elements are **deleted** from the  $i$ -th **SE-diagonal**.





Backward difference:  $\delta_x p(x) = p(x) - p(x - 1)$

**Theorem (F. 2009).** Let  $k_1, \dots, k_n$  be an increasing sequence of integers. Then

$$(-\Delta_{k_1})^{s_1} (-\Delta_{k_2})^{s_2} \cdots (-\Delta_{k_l})^{s_l} \delta_{k_{n-r+1}}^{t_{n-r+1}} \delta_{k_{n-r+2}}^{t_{n-r+2}} \cdots \delta_{k_n}^{t_n} \alpha(n; k_1, \dots, k_n)$$

is the number of  $(s, t)$ -trees with the following properties:

- The bottom row is  $k_{l+1}, \dots, k_{n-r}$ .
- For  $1 \leq i \leq l$ , the bottom entry of the  $i$ -th NE-diagonal is  $k_i$ . \*
- For  $n - r + 1 \leq i \leq n$ , the bottom entry of the  $i$ -th SE-diagonal is  $k_i$ . †

\*This entry does not have to be strictly smaller than its right neighbor.

†This entry does not have to be strictly greater than its left neighbor.

## How to apply this in our setting

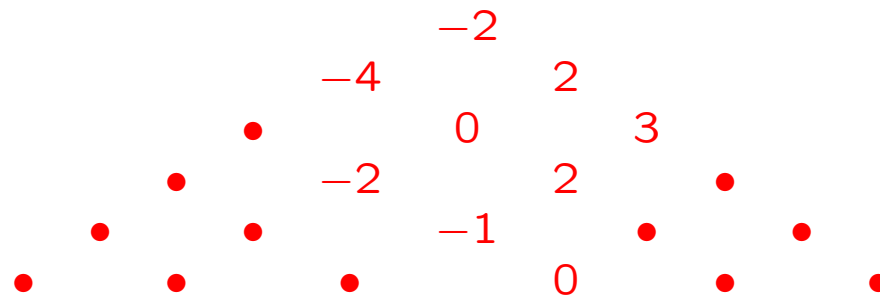
DADASM-triangle with a maximal number of  $-1$ s on the diagonal/antidiagonal:

$$\begin{array}{cccccccccccc}
 (0) & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & (0) \\
 & (0) & 1 & 0 & -1 & 0 & 0 & 0 & 1 & 0 & 0 & (0) & \\
 & & (-1) & 0 & 0 & 0 & 1 & 0 & -1 & 1 & (0) & & \\
 & & & (0) & 1 & 0 & -1 & 0 & 1 & (-1) & & & \\
 & & & & (-1) & 1 & 0 & 0 & (-1) & & & & \\
 & & & & & (-1) & 1 & (0) & & & & & \\
 & & & & & & (-1) & & & & & & \\
 & & & & & & & & & & & & 
 \end{array}$$

Partial columnsums:

$$\begin{array}{cccccccccccc}
 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
 & 1 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & & & \\
 & & 0 & 0 & 0 & 1 & 0 & 0 & 1 & & & & \\
 & & & 1 & 0 & 0 & 0 & 1 & & & & & \\
 & & & & 1 & 0 & 0 & & & & & & \\
 & & & & & 1 & & & & & & & \\
 & & & & & & 1 & & & & & & 
 \end{array}$$

Corresponds to the following  $((4, 2, 1), (2, 3))$ -tree:



## Maximal number of $-1$ s

The number of DADASM-triangles  $A$  of size  $n$  with  $n_{-1}(A) = n$  is

$$\sum_{m=1}^n \frac{\prod_{i=1}^{m-1} (-\delta_{k_i})^{m-i} \prod_{i=m+1}^n \Delta_{k_i}^{i-m}}{\prod_{i=1}^{m-1} (1 - \prod_{j=1}^i (-\delta_{k_j})) \prod_{i=0}^{n-m} (1 - \prod_{j=n-i}^n \Delta_{k_i})} \times \prod_{1 \leq p < q \leq n} (\text{id} + \Delta_{k_p} \Delta_{k_q} + \Delta_{k_q}) \prod_{1 \leq i < j \leq n} \frac{k_j - k_i}{j - i} \Bigg|_{(k_1, \dots, k_n) = (0, \dots, 0)} .$$

## Maximal number of 1s

The number of DADASM-triangles  $A$  of size  $n$  with  $n_1(A) = n + 1$  is

$$\sum_{m=0}^n \frac{\prod_{i=1}^m (-\delta_{k_i})^{m-i} \prod_{i=m+1}^n \Delta_{k_i}^{i-m-1}}{\prod_{i=1}^m (1 - \prod_{j=1}^i (-\delta_{k_j})) \prod_{i=0}^{n-m} (1 - \prod_{j=n-i}^n \Delta_{k_j})} \times \prod_{1 \leq p < q \leq n} (\text{id} + \Delta_{k_p} \Delta_{k_q} + \Delta_{k_q}) \prod_{1 \leq i < j \leq n} \frac{k_j - k_i}{j - i} \Bigg|_{(k_1, \dots, k_n) = (0, \dots, 0)} .$$

## Maximal number of 0s, even case

The number of DADASM-triangles  $A$  of size  $2N$  with  $n_0(A) = 4N$  is

$$\sum_{m=0}^N (-1)^m \frac{\prod_{i=1}^m \delta_{k_{2i-1}}^{m-i+1} \delta_{k_i}^{m-i} \prod_{i=m+1}^N \Delta_{k_{2i-1}}^{N-m-1} \Delta_{k_{2i}}^{N-m}}{\prod_{i=1}^m (1 - \prod_{j=1}^i \delta_{k_{2j-1}} \delta_{k_{2j}}) \prod_{i=m+1}^N (1 - \prod_{j=i}^N \Delta_{k_{2j-1}} \Delta_{k_{2j}})}$$

$$\times \prod_{1 \leq p < q \leq 2N} (\text{id} + \Delta_{k_p} \Delta_{k_q} + \Delta_{k_q}) \prod_{1 \leq i < j \leq 2N} \frac{k_j - k_i}{j - i} \Big|_{(k_1, \dots, k_{2N}) = (0, \dots, 0)} .$$

## Maximal number of 0s, odd case

The number of DADASM-triangles  $A$  of size  $2N + 1$  with  $n_0(A) = 4N + 2$  is

$$\sum_{m=0}^N (-1)^m \frac{\prod_{i=1}^m \delta_{k_{2i-1}}^{m-i+1} \delta_{k_i}^{m-i} \prod_{i=m+1}^N \Delta_{k_{2i}}^{N-m-1} \Delta_{k_{2i+1}}^{N-m}}{\prod_{i=1}^m (1 - \prod_{j=1}^i \delta_{k_{2j-1}} \delta_{k_{2j}}) \prod_{i=m+1}^N (1 - \prod_{j=i}^N \Delta_{k_{2j}} \Delta_{k_{2j+1}})}$$

$$\times \prod_{1 \leq p < q \leq 2N+1} (\text{id} + \Delta_{k_p} \Delta_{k_q} + \Delta_{k_q}) \prod_{1 \leq i < j \leq 2N+1} \frac{k_j - k_i}{j - i} \Bigg|_{(k_1, \dots, k_{2N+1}) = (0, \dots, 0)} .$$

All four formulas are equivalent to **constant term identities!**

## Dimension-halving theorems

What do I mean by that?

Another proof of the refined alternating sign matrix theorem:

- Consider  $a_n = (A_{n,1}, A_{n,2}, \dots, A_{n,n})^T$  where  $A_{n,i}$  is the number of  $n \times n$  ASMs with  $a_{1,i} = 1$ .

- Prove that  $a_n$  is an eigenvector of the upper triangular matrix  $\left( (-1)^{j+n} \binom{2n-1-i}{j-i} \right)_{1 \leq i, j \leq n}$  with respect to the eigenvalue 1.

- $a_n$  lies in the  $\lceil n/2 \rceil$ -dimensional eigenspace  $S_n$ .

If we assume that we started with no information on the vector  $a_n$ , i.e. we only know that it has to lie in  $\mathbb{Z}^n$ , then this (approximately) **halves** the dimension of the subspace of  $\mathbb{R}^n$  the vector  $a_n$  has to be contained in.



This is half of the information necessary:

- Symmetry  $A_{n,i} = A_{n,n+1-i}$ , i.e.  $a_n$  is also an eigenvector of the matrix

$$\begin{pmatrix} 0 & 0 & 0 & \dots & 0 & 0 & 1 \\ 0 & 0 & 0 & \dots & 0 & 1 & 0 \\ 0 & 0 & 0 & \dots & 1 & 0 & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots \\ 0 & 0 & 1 & \dots & 0 & 0 & 0 \\ 0 & 1 & 0 & \dots & 0 & 0 & 0 \\ 1 & 0 & 0 & \dots & 0 & 0 & 0 \end{pmatrix}$$

with respect to the eigenvalue 1.

- $a_n$  lies in the  $\lceil n/2 \rceil$ -dimensional eigenspace  $T_n$  of this matrix.
- **We are lucky:**  $S_n \cap T_n$  is 1-dimensional!  $a_n$  is determined up to a constant.

**Conclusion:** The fact that  $a_n \in S_n$  gives half of the information necessary to compute  $a_n$ . The other half comes from the obvious symmetry.

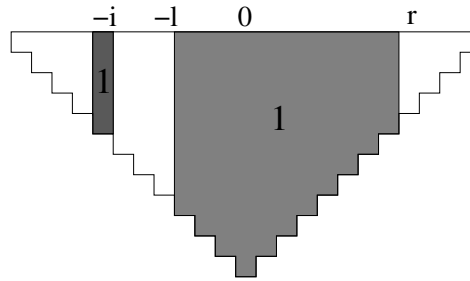
We will consider two refined enumerations of ASTs and derive “dimension-halving” results.

Unfortunately we have no symmetry in this case (or something that is as useful) – so we lack the “other” half of the information necessary to compute these quantities.

## First quantity

$AST_L(n; i, l, r)$  = Number ASTs of order  $n$  where

- $-i$  is the leftmost 1-alternating column,
- all other 1-alternating columns range between  $-l + 1$  and  $r - 1$ .



The total number of ASTs of order  $n$  is

$$\sum_{i=0}^{n-1} AST_L(n; i, i, n).$$

$n = 5$

$(l, r)$	$i = 0$	$i = 1$	$i = 2$	$i = 3$	$i = 4$	
(1, 4)	14	28	20	7	1	$-7 + 8 \cdot 1 = 1$
(1, 5)	22	41	26	8	1	$-8 + 8 \cdot 1 = 0$
(2, 3)	-28	28	72	44	9	$-44 + 8 \cdot 9 = 28$
(2, 4)	-49	91	156	79	14	$-79 + 8 \cdot 14 = 33$
(2, 5)	-51	114	177	84	14	$-84 + 8 \cdot 14 = 28$
(3, 2)	2	-16	16	28	9	$-28 + 8 \cdot 9 = 44$
(3, 3)	-86	-44	116	112	28	$-112 + 8 \cdot 28 = 112$
(3, 4)	-177	-28	212	161	35	$-161 + 8 \cdot 35 = 119$
(3, 5)	-198	-17	235	168	35	$-168 + 8 \cdot 35 = 112$
(4, 1)	-1	1	-1	1	1	$-1 + 8 \cdot 1 = 7$
(4, 2)	51	-30	-5	33	14	$-33 + 8 \cdot 14 = 79$
(4, 3)	-42	-121	65	119	35	$-119 + 8 \cdot 35 = 161$
(4, 4)	-155	-141	149	168	42	$-168 + 8 \cdot 42 = 168$
(4, 5)	-180	-136	170	175	42	$-175 + 8 \cdot 42 = 161$
(5, 1)	-3	3	-2	0	1	$-0 + 8 \cdot 1 = 8$
(5, 2)	74	-32	-19	28	14	$-28 + 8 \cdot 14 = 84$
(5, 3)	-18	-141	39	112	35	$-112 + 8 \cdot 35 = 168$
(5, 4)	-135	-167	121	161	42	$-161 + 8 \cdot 42 = 175$
(5, 5)	-160	-162	142	168	42	$-168 + 8 \cdot 42 = 168$

## Theorem.

- $AST_L(n; i, l, r) = \sum_{j=i}^{n-1} (-1)^{n+j+1} \binom{j+n-1}{j-i} AST_L(n; j, r, l)$
- $AST_L(n; n-1, l, r) = \sum_{p=1}^l AST_L(n-1; p, p, r) + [r \geq n-1]$

Matrix:  $M_n = \left( (-1)^{n+j+1} \binom{j+n-1}{j-i} \right)_{0 \leq i, j \leq n-1}$

Define

$$AST_L^+(n; i, l, r) = AST_L(n; i, l, r) + AST_L(n; i, r, l),$$
$$AST_L^-(n; i, l, r) = AST_L(n; i, l, r) - AST_L(n; i, r, l)$$

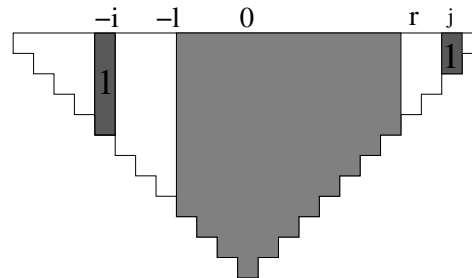
- $(AST_L^+(n; i, l, r))_{0 \leq i \leq n-1}$  is an eigenvector of  $M_n$  w.r.t to 1.
- $(AST_L^-(n; i, l, r))_{0 \leq i \leq n-1}$  is an eigenvector of  $M_n$  w.r.t to  $-1$ .

Again the dimensions of the eigenspaces are approximately  $n/2$ .

## Second quantity

$AST_{LR}(n; i, l, r, j)$  = Number ASTs of order  $n$  where

- $-i$  is the leftmost 1-alternating column,
- $j$  is the rightmost 1-alternating column,
- all other 1-alternating columns range between  $-l + 1$  and  $r - 1$ .



The total number of ASTs of order  $n$  is

$$\sum_{i=0}^{n-1} \sum_{j=0}^{n-1} AST_{LR}(n; i, i, j, j).$$

## Theorem.

- $AST_{LR}(n; i, l, r, j) + AST_{LR}(n; i + 1, l, r, j) + AST_{LR}(n; i, l, r, j + 1)$

$$= (-1)^{i+j+1} \sum_{p=0}^{\infty} \sum_{q=0}^{\infty} \binom{-n-1-i}{p} \binom{-n-1-j}{q}$$

$$(AST_{LR}(n; j + q, l, r, i + p) + AST_{LR}(n; j + q, l, r, i + p + 1) + AST_{LR}(n; j + q + 1, l, r, i + p))$$

- $AST_{LR}(n; n - 1, l, r, j) = \sum_{l_1=1}^l AST_{LR}(n - 1; l_1, l_1, r, j) + [r \geq n - 2][j = n - 2]$

Also this is a dimension-halving theorem in the above mentioned sense.

## Refined ASM-Theorem

Observation: There is a unique 1 in the first row of an ASM.

Theorem (Zeilberger, 1996): The number of  $n \times n$  ASMs with a 1 in position  $(1, i)$  is

$$\binom{n+i-2}{n-1} \frac{(2n-i-1)!}{(n-i)!} \prod_{j=0}^{n-2} \frac{(3j+1)!}{(n+j)!}.$$



## Refined enumeration of DADASMs with respect to the position of the 1 in the first row

$d(n, i)$  = Number of  $n \times n$  DADASMs with a 1 in position  $(1, i)$ .

Observations:

- $d(n, 1) = d(n - 2) = \sum_{i=1}^{n-2} d(n - 2, i)$
- $d(n, 2) = d(n, 1)$
- $d(n, k) = d(n, n + 1 - k)$

Conjecture (Ayyer, Fischer, 2012)

$$d(2n + 1, 3) = 2 d(2n - 1) - 3 d(2n - 3),$$

$$d(2n + 1, 4) = 4 d(2n - 1) - 3(n + 1) d(2n - 3)$$

$$d(2n + 1, 5) = 8 d(2n - 1) - \frac{3(-36 + 11n + 3n^2 + 4n^3)}{4(2n - 3)} d(2n - 3)$$

$$d(2n + 1, 6) = 16 d(2n - 1) - \frac{(n + 2)(-90 + 51n + n^2 + 4n^3)}{4(2n - 3)} d(2n - 3)$$

General form ?

$$d(2n + 1, k) = 2^{k-2} d(2n - 1) + (\text{rational function in } n) \cdot d(2n - 3)$$

## The center of DADASMs

The central entry  $a_{n+1,n+1}$  of a  $(2n + 1) \times (2n + 1)$  DADASM  $(a_{i,j})$  is either 1 or  $-1$ .

$dc^+(2n + 1) =$  Number of DADASMs with  $a_{n+1,n+1} = 1$

$dc^-(2n + 1) =$  Number of DADASMs with  $a_{n+1,n+1} = -1$

Conjecture (Stroganov, 2008).

$$\frac{dc^+(2n + 1)}{dc^-(2n + 1)} = \frac{n + 1}{n}$$

## From Wikipedia on DADAISM

Dadaists expressed their rejection of the **bourgeois capitalist society** in artistic expression that appeared to **reject logic and embrace chaos and irrationality.**

I hope I did not embrace irrationality in this talk.

**Thank you for your attention!**