Sequences of labeled trees related to Gelfand-Tsetlin patterns

or

Towards a combinatorial proof of the ASM-Theorem?

ASM=Alternating sign matrix

Quadratic $0,1,-1\ matrix$ such that in each row and each column

- the non-zero entries appear with alternating signs and
- the sum of entries is 1, that is the first and the last non-zero entry is a 1.

(0	1	0	0	0	
	1	-1	0	1	0	
	0	1	0	-1	1	
	0	0	1	0	0	
	0	0	0	1	0	

Equivalent to square ice:

Monotone triangles

Triangular arrays of integers with monotonicity requirements:



Monotone triangles with bottom row $1, 2, \ldots, n \Leftrightarrow n \times n$ ASMs

ASM-Theorem

of
$$n \times n$$
 ASMs $= \prod_{j=0}^{n-1} \frac{(3j+1)!}{(n+j)!} =: A_n$

Refined ASM-Theorem

of $n \times n$ ASMs with 1 in position (1, i)= $\binom{n+i-2}{n-1} \frac{(2n-i-1)!}{(n-i)!} \prod_{j=0}^{n-2} \frac{(3j+1)!}{(n+j)!} =: A_{n,i}$

A theorem that implies the refined ASM-Theorem

Fix $n \ge 2$ and assume that $(A_{n-1,i})_{1 \le i \le n-1}$ is known. Then the numbers $A_{n,i}$ are uniquely determined by the following system of linear equations:

$$A_{n,1} = A_{n-1} = \sum_{i=1}^{n-1} A_{n-1,i}$$
(1)

$$A_{n,i} = A_{n,n+1-i} \qquad 1 \le i \le n \tag{2}$$

$$A_{n,i} = \sum_{k=1}^{n} {\binom{2n-1-i}{k-i}} (-1)^{k+n} A_{n,k} \qquad 1 \le i \le n \qquad (3)$$

Remarks

- 1. It is obvious that the number $A_{n,i}$ satisfy (1) and (2).
- 2. To show that the system of linear equations has a unique solution is more than a complicated linear algebra exercise it involves a determinant evaluation of Andrews related to descending plane partitions.
- 3. Combinatorial proof of (3) \Rightarrow combinatorial proof of the refined ASM-Theorem.

Non-combinatorial proof of (3)

Everything is in terms of monotone triangles:



$$\# = \alpha(n; k_1, \ldots, k_n)$$

How is the position of the unique 1 in the first row of an ASM reflected in the corresponding monotone triangle?



Position of 1 in the last row



Partial monotone triangles with truncated first \nearrow -diagonal, respectively truncated last \searrow -diagonal!

Lemma. $k_1 \le k_2 < ... < k_n$, $1 \le i \le n$



 $\Delta_x p(x) = p(x+1) - p(x)$

Idea of the proof

Recursion:

$$lpha(n;k_1,\ldots,k_n) = \sum_{k_1 \leq l_1 \leq k_2 \leq l_2 \leq \ldots \leq l_{n-1} \leq k_n \atop l_i \neq l_{i+1}} lpha(n-1;l_1,\ldots,l_{n-1})$$

Now

$$-\Delta_{k_{1}}\alpha(n;k_{1},\ldots,k_{n}) = \sum_{\substack{k_{1} \leq l_{1} \leq k_{2} \leq l_{2} \leq \ldots \leq l_{n-1} \leq k_{n} \\ l_{i} \neq l_{i+1}}} \alpha(n-1;l_{1},\ldots,l_{n-1})$$
$$-\sum_{\substack{k_{1}+1 \leq l_{1} \leq k_{2} \leq l_{2} \leq \cdots \leq l_{n-1} \leq k_{n} \\ l_{i} \neq l_{i+1}}} \alpha(n-1;l_{1},\ldots,l_{n-1})$$
$$=\sum_{\substack{k_{2} \leq l_{2} \leq k_{3} \leq \cdots \leq l_{n-1} \leq k_{n} \\ l_{i} \neq l_{i+1}}} \alpha(n-1;k_{1},l_{2},\ldots,l_{n-1}).$$

Ingredients for the proof of (3)

Corollary.

$$\begin{aligned} A_{n,i} &= (-1)^{i-1} \Delta_{k_1}^{i-1} \alpha(n; k_1, \dots, k_n) \Big|_{(k_1, \dots, k_n) = (2, 2, 3, \dots, n)} \\ &= \delta_{k_n}^{i-1} \alpha(n; k_1, \dots, k_n) \Big|_{(k_1, \dots, k_n) = (1, 2, 3, \dots, n-1, n-1)} \\ \text{where } \delta_x p(x) &= p(x) - p(x-1). \end{aligned}$$

Lemma.

$$\alpha(n; k_1, \ldots, k_n) = (-1)^{n-1} \alpha(n; k_2, \ldots, k_n, k_1 - n)$$

$$\begin{aligned} A_{n,i} &= (-1)^{i-1} \Delta_{k_1}^{i-1} \alpha(n; k_1, \dots, k_n) \Big|_{(k_1, \dots, k_n) = (1, 1, 2, \dots, n-1)} \\ &= (-1)^{i+n} \Delta_{k_1}^{i-1} \alpha(n; k_2, \dots, k_n, k_1 - n) \Big|_{(k_1, \dots, k_n) = (1, 1, 2, \dots, n-1)} \\ &= (-1)^{i+n} \Delta_{k_1}^{i-1} E_{k_1}^{-2n+2} \alpha(n; k_2, \dots, k_n, k_1) \Big|_{(k_2, \dots, k_n, k_1) = (1, 2, \dots, n-1, n-1)} \\ &= (-1)^{i+n} \delta_{k_n}^{i-1} E_{k_n}^{-2n+1+i} \alpha(n; k_1, k_2, \dots, k_n) \Big|_{(k_1, \dots, k_{n-1}, k_n) = (1, 2, \dots, n-1, n-1)} \end{aligned}$$

Binomial Theorem: $E_x^{-m} = (\operatorname{id} - \delta_x)^m = \sum_{j=0}^m {m \choose j} (-1)^j \delta_x^j$

$$A_{n,i} = \sum_{j=0}^{2n-1-i} {\binom{2n-1-i}{j}} (-1)^{i+j+n} \delta_{k_n}^{i+j-1} \alpha(n;k_1,k_2,\dots,k_n) |_{(k_1,\dots,k_{n-1},k_n)=(1,2,\dots,n-1,n-1)}$$
$$= \sum_{j=0}^{2n-1-i} {\binom{2n-1-i}{j}} (-1)^{i+j+n} A_{n,i+j} = \sum_{k=i}^n {\binom{2n-1-i}{k-i}} (-1)^{k+n} A_{n,k}$$

A combinatorial proof of

$$\alpha(n; k_1, \dots, k_n) = (-1)^{n-1} \alpha(n; k_2, \dots, k_n, k_1 - n)$$

implies a combinatorial proof of the refined ASM-Theorem.

Problem: The right hand side has no combinatorial meaning if $k_1 < k_2 < \ldots < k_n$.

Formula for α :

 $\alpha(n; k_1, \dots, k_n) = \prod_{1 \le p < q \le n} (E_{k_p} + E_{k_q}^{-1} - E_{k_p} E_{k_q}^{-1}) \prod_{1 \le i < j \le n} \frac{k_j - k_i + j - i}{j - i}$ where $E_x p(x) = p(x + 1)$.

Combinatorial interpretation for all $(k_1, \ldots, k_n) \in \mathbb{Z}^n$

Example.

- Each entry $a_{i,j}$ lies between its SW-neighbor $a_{i+1,j}$ and its SE-neighbor $a_{i+1,j+1}$.
- The arrows indicate whether the inequalities are strict or not.

Arrow triangles (better name?)

Triangular arrays of integers of the following shape



together with a function $f : \{a_{i,j}\} \to \{\leftarrow, \rightarrow, \leftrightarrow\}$, such that for all $a_{i,j}$ with i < n the following is fulfilled:

Four cases:

$$\begin{array}{c} \leftarrow & a_{i,j} & \leftarrow, \leftrightarrow \\ a_{i+1,j} & a_{i+1,j+1} \end{array} : a_{i+1,j} \leq a_{i,j} < a_{i+1,j+1} \text{ or } a_{i+1,j} > a_{i,j} \geq a_{i+1,j+1} \\ \\ \leftarrow & a_{i,j} & \rightarrow \\ a_{i+1,j} & a_{i+1,j+1} \end{array} : a_{i+1,j} \leq a_{i,j} \leq a_{i+1,j+1} \text{ or } a_{i+1,j} > a_{i,j} > a_{i+1,j+1} \\ \\ \\ \leftrightarrow, \rightarrow & a_{i,j} & \leftarrow, \leftrightarrow \\ a_{i+1,j} & a_{i+1,j+1} \end{array} : a_{i+1,j} < a_{i,j} < a_{i+1,j+1} \text{ or } a_{i+1,j} \geq a_{i,j} \geq a_{i+1,j+1} \\ \\ \\ \Rightarrow, \rightarrow & a_{i+1,j} & a_{i+1,j+1} \end{array} : a_{i+1,j} < a_{i,j} \leq a_{i+1,j+1} \text{ or } a_{i+1,j} \geq a_{i,j} > a_{i+1,j+1} \\ \end{array}$$

Signed enumeration

If we are in the second case then $a_{i,j}$ is said to be an inversion. The sign of an "arrow triangle" is

 $(-1)^{\text{\# of inversions}}(-1)^{\text{\# of }\leftrightarrow}$.

Theorem. The signed enumeration of arrow triangles with bottom row k_1, \ldots, k_n is

$$\prod_{1 \le p < q \le n} (E_{k_p} + E_{k_q}^{-1} - E_{k_p} E_{k_q}^{-1}) \prod_{1 \le i < j \le n} \frac{k_j - k_i + j - i}{j - i}.$$

Remark

If $k_1 < k_2 < \ldots < k_n$ then an arrow triangle is not a monotone triangle!

But it is obvious that the signed enumeration of arrow triangles gives the number of monotone triangles in this case:

- All rows are strictly increasing in this case.
- Situation for $a_{i,j}$:

$$a_{i-1,j-1} \qquad a_{i-1,j}$$

If $f(a_{i,j}) = \leftarrow$ then $a_{i-1,j-1} < a_{i,j} \le a_{i-1,j}$. If $f(a_{i,j}) = \rightarrow$ then $a_{i-1,j-1} \le a_{i,j} < a_{i-1,j}$. If $f(a_{i,j}) = \leftrightarrow$ then $a_{i-1,j-1} < a_{i,j} < a_{i-1,j}$.

Try first for easier objects: Gelfand-Tsetlin patterns

Triangular array of integers of the following shape



with weak increase in \nearrow -direction and in \searrow -direction, i.e. $a_{i+1,j} \le a_{i,j} \le a_{i+1,j+1}$ for all i, j.

Semistandard tableaux of fixed shape



If $(k_1, k_2, ..., k_n)$ is the bottom row of the Gelfand-Tsetlin pattern then $(k_n, k_{n-1}, ..., k_1)$ is the shape of the respective semistandard tableau.

Enumeration

of semistandard tableau of shape $(k_n, k_{n-1}, \dots, k_1)$ = $\prod_{1 \le i < j \le n} \frac{k_j - k_i + j - i}{j - i} =: \beta(n; k_1, \dots, k_n)$

Proof. Rewrite Stanley's hook-content formula.

We also have

$$\beta(n; k_1, \ldots, k_n) = (-1)^{n-1} \beta(n; k_2, \ldots, k_n, k_1 - n).$$

In fact, this follows from

 $\beta(n; k_1, \dots, k_n) = -\beta(n; k_1, \dots, k_{i-1}, k_{i+1} + 1, k_i - 1, k_{i+2}, \dots, k_n),$ which is valid for all $i \in \{1, 2, \dots, n-1\}$. (Shift-antisymmetry) Give a combinatorial proof of the shift-antisymmetry! What is $\beta(n; k_1, ..., k_n)$ if $(k_1, ..., k_n)$ is not weakly increasing? An extended Gelfand-Tsetlin pattern is a triangular array of integers of the following shape



with $a_{i+1,j} \leq a_{i,j} \leq a_{i+1,j+1}$ or $a_{i+1,j} > a_{i,j} > a_{i+1,j+1}$ for all $a_{i,j}$. In the latter case, $a_{i,j}$ is said to be an inversion and the sign of an extended Gelfand-Tsetlin pattern is

$$(-1)^{\# \text{ of inversions}}.$$

Then $\beta(n; k_1, \ldots, k_n)$ is the signed enumeration of Gelfand-Tsetlin patterns with bottom row (k_1, \ldots, k_n) .

- Using this extension it is possible to give a combinatorial proof of the shift-antisymmetry.
- But everything is much nicer when using auxiliary objects: Gelfand-Tsetlin tree sequences.
- They provide a family of set of objects; the signed enumeration of each member of this family is given by

$$\prod_{1 \le i < j \le n} \frac{k_j - k_i + j - i}{j - i}.$$

• Gelfand-Tsetlin patterns are one special member of this family.

Where is the tree sequence in a Gelfand-Tsetlin pattern?



Rule. $\stackrel{x}{\bullet}$ z $\stackrel{y}{\bullet}$

• $\min(x, y) \le z < \max(x, y)$

• The edge is an inversion if the edge is directed from the maximum vertex label to the minimum vertex label.

Paths can be replaced by trees!

n-tree: directed tree on *n* vertices. Identify vertices with elements in $\{1, 2, ..., n\}$ and edges with elements $\{1', 2', ..., (n-1)'\}$.



Admissible labeling





- $\min(x, y) \le z < \max(x, y)$
- The edge is an inversion if its sink has the label min(x, y).

• The second summand of the labeling is always the "name" of the vertex/edge.

• Collect the first summands in a vector: vertices $\mathbf{k} := (4, 1, 7, 2, 4, 2, 6, 1)$; edges $\mathbf{l} := (6, 3, 9, 5, 1, 2, 1)$.

• The vector l is said to be admissible for the pair (T, \mathbf{k}) ; the sign is $(-1)^{\text{\# of inversions}}$.

Tree sequence of order n

 $\mathcal{T} = (T_1, \ldots, T_n)$, where T_i is an *i*-tree for $1 \leq i \leq n$.



Gelfand-Tsetlin tree sequence: definition

Given: tree sequence $\mathcal{T} = (T_1, \ldots, T_n)$ and a shifted labeling $\mathbf{k} \in \mathbb{Z}^n$ of the vertices of T_n .

A sequence $(l_1, l_2, ..., l_n)$ of vectors $l_i \in \mathbb{Z}^i$ with $l_n = k$ such that l_{i-1} is admissible for the pair (T_i, l_i) is called a Gelfand-Tsetlin tree sequence associated with \mathcal{T} and k.



Signed enumeration of GT tree sequences

Theorem. Let $\mathcal{T} = (T_1, \ldots, T_n)$ and $\mathbf{k} = (k_1, \ldots, k_n) \in \mathbb{Z}^n$. Then the signed enumeration of GT tree sequences associated with \mathcal{T} and \mathbf{k} is

$$\prod_{1 \le i < j \le n} \frac{k_j - k_i + j - i}{j - i} \operatorname{sgn}(\mathcal{T}),$$

where $sgn(\mathcal{T})$ is a certain sign associated with \mathcal{T} .

Idea of the proof.

 $L_n(\mathcal{T}, \mathbf{k}) := \operatorname{sgn}(\mathcal{T}) \\ \times \sum_{\text{GT tree sequences associated with } (\mathcal{T}, \mathbf{k})} (-1)^{\text{\# of inversions}}$

(1) $\Delta_{k_i}^n L_n(\mathcal{T}, k_1, \dots, k_n) = 0$: find a combinatorial interpretation for $\Delta_{k_i}^j L_n(\mathcal{T}, k_1, \dots, k_n)$ if $j \in \{0, 1, \dots, n-1\}$ and show that $\Delta_{k_i}^{n-1} L_n(\mathcal{T}, k_1, \dots, k_n)$ does not depend on k_i .

This implies that $L_n(\mathcal{T}, k_1, \ldots, k_n)$ is a polynomial in k_i of degree no greater than n-1.

(2)

 $L_n(\mathcal{T}, k_1, \ldots, k_n) = -L_n(\mathcal{T}, k_1, \ldots, k_{i-1}, k_{i+1}+1, k_i-1, k_{i+2}, \ldots, k_n)$

(A) $L_n(\mathcal{T}, \mathbf{k})$ does not depend on \mathcal{T} : the signed enumeration is invariant under the following two tree operations (reversing the orientation of an edge; sliding an edge along an adjacent edge):



The result follows as every *i*-tree can be obtained from every other by means of these operations.

(B) Find a tree sequence for which the shift-antisymmetry is obvious:



$$(2) \Rightarrow L_n(\mathcal{T}, k_1, \dots, k_n) = \prod_{1 \le i < j \le n} \frac{k_j - k_i + j - i}{j - i} P(k_1, \dots, k_n)$$

$$(1) \Rightarrow P(k_1, \dots, k_n) = C.$$
There is a unique Gelfand-Tsetlin pattern with bottom row $(1, 1, \dots, 1)$
 $\Rightarrow C = 1.$

Open problem

Bijective proof of

 $\alpha(n; k_1, \dots, k_n) = \prod_{1 \le p < q \le n} (E_{k_p} + E_{k_q}^{-1} - E_{k_p} E_{k_q}^{-1}) \prod_{1 \le i < j \le n} \frac{k_j - k_i + j - i}{j - i}$ if $k_1 < k_2 < \dots < k_n$.

Arrow pattern: Function $p: \{(i,j)|1 \le j \le i \le n\} \rightarrow \{\leftarrow, \rightarrow, \leftrightarrow\}$



Left hand side

$$\sum_{\substack{p:\{(i,j)\}\to\{\leftarrow,\rightarrow,\leftrightarrow\}}} (-1)^{\# \text{ of }\leftrightarrow}$$

×(#of Gelfand-Tsetlin patterns with arrow pattern p and bottom row (k_1,\ldots,k_n))

Right hand side

Given an arrow pattern $p : \{(i,j)\} \rightarrow \{\leftarrow, \rightarrow, \leftrightarrow\}$, associate a deformation of the bottom row (k_1, \ldots, k_n) as follows:



$$\sum_{\substack{p:\{(i,j)\}\to\{\leftarrow,\rightarrow,\leftrightarrow\}\\\times \text{ (\#of Gelfand-Tsetlin patterns with bottom row associated to }p\text{)}}$$

Non-combinatorial proof of

$$\alpha(n; k_1, \dots, k_n) = (-1)^{n-1} \alpha(n; k_2, \dots, k_n, k_1 - n)$$

Lemma. Let $V_{x,y} = E_x^{-1} + E_y - E_x^{-1}E_y$ and $i \in \{1, 2, ..., n-1\}$. Then

$$\alpha(n; k_1, \dots, k_{i-1}, k_{i+1} + 1, k_i - 1, k_{i+2}, \dots, k_n) = -V_{k_i, k_{i+1}} V_{k_{i+1}, k_i}^{-1} \alpha(n; k_1, \dots, k_n).$$

Remark. $V_{x,y}$ is invertible as $V_{x,y} = id + \delta_x \Delta_y$ and

$$V_{x,y}^{-1} = \sum_{i=0}^{\infty} (-1)^i \delta_x^i \Delta_y^i.$$

The lemma implies

$$(-1)^{n-1}\alpha(n;k_2,\ldots,k_n,k_1-n) = (-1)^{n-1}\alpha(n;k_2+1,\ldots,k_n+1,k_1-n+1) = \prod_{i=2}^n V_{k_1,k_i}V_{k_i,k_1}^{-1}\alpha(n;k_1,\ldots,k_n).$$

It suffices to show that

$$\left(\prod_{i=2}^{n} V_{k_1,k_i} - \prod_{i=2}^{n} V_{k_i,k_1}\right) \alpha(n;k_1,\ldots,k_n) = 0.$$

Lemma. Let

$$e_p(X_1, \dots, X_n) = \sum_{1 \le i_1 < i_2 < \dots < i_p \le n} X_{i_1} X_{i_2} \cdots X_{i_p}$$

denote the p-th elementary symmetric function. Then, for $p \geq 1$,

$$e_p(\Delta_{k_1},\ldots,\Delta_{k_n})\prod_{1\leq i< j\leq n}\frac{k_j-k_i+j-i}{j-i}=0$$

and

$$e_p(\delta_{k_1},\ldots,\delta_{k_n})\prod_{1\leq i< j\leq n}\frac{k_j-k_i+j-i}{j-i}=0.$$

Corollary. For
$$p \ge 1$$

$$e_p(\Delta_{k_1},\ldots,\Delta_{k_n})\alpha(n;k_1,\ldots,k_n)=0.$$

and

$$e_p(\delta_{k_1},\ldots,\delta_{k_n})\alpha(n;k_1,\ldots,k_n)=0.$$

Now

$$\prod_{i=2}^{n} V_{k_1,k_i} - \prod_{i=2}^{n} V_{k_i,k_1} = \prod_{i=2}^{n} (\operatorname{id} + \delta_{k_1} \Delta_{k_i}) - \prod_{i=2}^{n} (\operatorname{id} + \Delta_{k_1} \delta_{k_i})$$
$$= \sum_{r=0}^{n-1} \delta_{k_1}^r e_r(\Delta_{k_2}, \dots, \Delta_{k_n}) - \sum_{r=0}^{n-1} \Delta_{k_1}^r e_r(\delta_{k_2}, \dots, \delta_{k_n})$$

$$\sum_{r=0}^{n-1} \left(\delta_{k_1}^r \left(e_r(\Delta_{k_1}, \dots, \Delta_{k_n}) - \Delta_{k_1} e_{r-1}(\Delta_{k_2}, \dots, \Delta_{k_n}) \right) \right) \\ -\Delta_{k_1}^r \left(e_r(\delta_{k_1}, \dots, \delta_{k_n}) - \delta_{k_1} e_{r-1}(\delta_{k_2}, \dots, \delta_{k_n}) \right) \\ = \sum_{r=0}^{n-1} \left(\delta_{k_1}^r e_r(\Delta_{k_1}, \dots, \Delta_{k_n}) - \Delta_{k_1}^r e_r(\delta_{k_1}, \dots, \delta_{k_n}) \right) \\ -\sum_{r=1}^{n-1} \left(\delta_{k_1}^r \Delta_{k_1} e_{r-1}(\Delta_{k_2}, \dots, \Delta_{k_n}) - \Delta_{k_1}^r \delta_{k_1} e_{r-1}(\delta_{k_2}, \dots, \delta_{k_n}) \right) = \dots \\ = \sum_{s=1}^n \sum_{r=1}^{n-s} (-1)^s \left(\Delta_{k_1}^{r+s-1} \delta_{k_1}^{s-1} e_r(\delta_{k_1}, \dots, \delta_{k_n}) - \delta_{k_1}^{r+s-1} \Delta_{k_1}^{s-1} e_r(\Delta_{k_1}, \dots, \Delta_{k_n}) \right).$$