

Several complex variables

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Let $\Omega \subseteq \mathbb{C}^n$ be an open subset and let $f : \Omega \rightarrow \mathbb{C}$ be a \mathcal{C}^1 -function. We write $z_j = x_j + iy_j$ and consider for $P \in \Omega$ the differential

$$df_P = \sum_{j=1}^n \left(\frac{\partial f}{\partial x_j}(P) dx_j + \frac{\partial f}{\partial y_j}(P) dy_j \right).$$

We use the complex differentials

$$dz_j = dx_j + idy_j \quad , \quad d\bar{z}_j = dx_j - idy_j$$

and the derivatives

$$\frac{\partial}{\partial z_j} = \frac{1}{2} \left(\frac{\partial}{\partial x_j} - i \frac{\partial}{\partial y_j} \right) \quad , \quad \frac{\partial}{\partial \bar{z}_j} = \frac{1}{2} \left(\frac{\partial}{\partial x_j} + i \frac{\partial}{\partial y_j} \right)$$

and rewrite the differential df_P in the form

$$df_P = \sum_{j=1}^n \left(\frac{\partial f}{\partial z_j}(P) dz_j + \frac{\partial f}{\partial \bar{z}_j}(P) d\bar{z}_j \right) = \partial f_P + \bar{\partial} f_P.$$

A general differential form is given by

$$\omega = \sum_{|J|=p, |K|=q}' a_{J,K} dz_J \wedge d\bar{z}_K,$$

where $\sum'_{|J|=p, |K|=q}$ denotes the sum taken only over all increasing multiindices $J = (j_1, \dots, j_p)$, $K = (k_1, \dots, k_q)$ and

$$dz_J = dz_{j_1} \wedge \dots \wedge dz_{j_p} \quad , \quad d\bar{z}_K = d\bar{z}_{k_1} \wedge \dots \wedge d\bar{z}_{k_q}.$$

We call ω a (p, q) -form and we write $\omega \in \mathcal{C}^k_{(p,q)}(\Omega)$ if ω is a (p, q) -form with coefficients belonging to $\mathcal{C}^k(\Omega)$.

The derivative $d\omega$ of ω is defined by

$$d\omega = \sum'_{|J|=p, |K|=q} da_{J,K} \wedge dz_J \wedge d\bar{z}_K = \sum'_{|J|=p, |K|=q} (\partial a_{J,K} + \bar{\partial} a_{J,K}) \wedge dz_J \wedge d\bar{z}_K,$$

and we set

$$\partial\omega = \sum'_{|J|=p, |K|=q} \partial a_{J,K} \wedge dz_J \wedge d\bar{z}_K \text{ and } \bar{\partial}\omega = \sum'_{|J|=p, |K|=q} \bar{\partial} a_{J,K} \wedge dz_J \wedge d\bar{z}_K.$$

We have $d = \partial + \bar{\partial}$ and since $d^2 = 0$ it follows that

$$0 = (\partial + \bar{\partial}) \circ (\partial + \bar{\partial})\omega = (\partial \circ \partial)\omega + (\partial \circ \bar{\partial} + \bar{\partial} \circ \partial)\omega + (\bar{\partial} \circ \bar{\partial})\omega,$$

which implies $\partial^2 = 0$, $\bar{\partial}^2 = 0$ and $\partial \circ \bar{\partial} + \bar{\partial} \circ \partial = 0$, by comparing the types of the differential forms involved.

Let $\Omega \subseteq \mathbb{C}^n$ be open. A function $f : \Omega \rightarrow \mathbb{C}$ is called holomorphic on Ω if $f \in \mathcal{C}^1(\Omega)$ and f satisfies the system of partial differential equations

$$\frac{\partial f}{\partial \bar{z}_j}(z) = 0 \quad \text{for } 1 \leq j \leq n \text{ and } z \in \Omega, \quad (1)$$

equivalently, if f satisfies $\bar{\partial}f = 0$.

Let $P = P(a, r) = \{\zeta \in \mathbb{C} : |\zeta - a_j| < r_j\}$ be a polydisc in \mathbb{C}^n . Suppose that $f \in \mathcal{C}^1(\bar{P})$ and that f is holomorphic on P , i.e. for each $z \in P$ and $1 \leq j \leq n$, the function

$$\zeta \mapsto f(z_1, \dots, z_{j-1}, \zeta, z_{j+1}, \dots, z_n)$$

is holomorphic on $P(a, r)$. Then

$$f(z) = \frac{1}{(2\pi i)^n} \int_{\gamma_1} \cdots \int_{\gamma_n} \frac{f(\zeta)}{(\zeta_1 - z_1) \cdots (\zeta_n - z_n)} d\zeta_1 \cdots d\zeta_n, \quad (2)$$

for $z \in P$, where $\gamma_j(t) = a_j + r_j e^{it}$, for $t \in [0, 2\pi]$ and $j = 1, \dots, n$.

Let $f \in \mathcal{H}(P(a, r))$. Then the Taylor series of f at a converges to f uniformly on all compact subsets of $P(a, r)$, that is

$$f(z) = \sum_{\alpha \in \mathbb{N}_0^n} \frac{D^\alpha f(a)}{\alpha!} (z - a)^\alpha, \quad (3)$$

for $z \in P(a, r)$.

In addition, we get the Cauchy estimates: for $f \in \mathcal{H}(P(a, r))$ and $\alpha = (\alpha_1, \dots, \alpha_n) \in \mathbb{N}_0^n$: let $|\alpha| = \alpha_1 + \dots + \alpha_n$ and $\alpha! = \alpha_1! \dots \alpha_n!$, furthermore set $r^\alpha = r_1^{\alpha_1} \dots r_n^{\alpha_n}$, then

$$|D^\alpha f(a)| = \left| \frac{\partial^{|\alpha|} f}{\partial z_1^{\alpha_1} \dots \partial z_n^{\alpha_n}}(a) \right| \leq \frac{\alpha!}{r^\alpha} \sup\{|f(z)| : z \in P(a, r)\}. \quad (4)$$

Let $\Omega \subseteq \mathbb{C}^n$ be a domain and let

$$g = \sum_{j=1}^n g_j d\bar{z}_j$$

be a $(0, 1)$ -form with coefficients $g_j \in \mathcal{C}^1(\Omega)$, for $j = 1, \dots, n$. We want to find a function $f \in \mathcal{C}^1(\Omega)$ such that

$$\bar{\partial}f = g, \tag{5}$$

in other words

$$\frac{\partial f}{\partial \bar{z}_j} = g_j, \quad j = 1, \dots, n. \tag{6}$$

f is called a solution to the inhomogeneous CR equation $\bar{\partial}f = g$.

Since $\bar{\partial}^2 = 0$, a necessary condition for solvability of (5) is that the right hand side g satisfies $\bar{\partial}g = 0$. So, the $(0, 2)$ -form $\bar{\partial}g$ satisfies

$$\bar{\partial}g = \sum_{k=1}^n \sum_{j=1}^n \frac{\partial g_j}{\partial \bar{z}_k} d\bar{z}_k \wedge d\bar{z}_j = 0,$$

which means that

$$\frac{\partial g_j}{\partial \bar{z}_k} = \frac{\partial g_k}{\partial \bar{z}_j}, \quad j, k = 1, \dots, n.$$

Theorem Let $n \geq 2$ and let $g = \sum_{j=1}^n g_j d\bar{z}_j$ be a $(0, 1)$ -form with coefficients $g_j \in \mathcal{C}_0^k(\mathbb{C}^n)$, $j = 1, \dots, n$, where $1 \leq k \leq \infty$ and suppose that $\bar{\partial}g = 0$. Then there exists $f \in \mathcal{C}_0^k(\mathbb{C}^n)$ such that $\bar{\partial}f = g$.

$\mathcal{C}_0^k(\mathbb{C}^n)$ is the space of \mathcal{C}^k -functions with compact support.

For $n = 1$ the above theorem is false:

Suppose that $\int_{\mathbb{C}} g(\zeta) d\lambda(\zeta) \neq 0$ and that there is a compactly supported solution f of the equation $\frac{\partial f}{\partial \bar{z}} = g$. Then there exists $R > 0$ such that $f(\zeta) = 0$ for $|\zeta| \geq R$. Applying Stokes' Theorem we obtain for $\gamma(t) = Re^{it}$, $t \in [0, 2\pi]$

$$\begin{aligned} 0 &= \int_{\gamma} f(\zeta) d\zeta \\ &= \int_{D_R(0)} \frac{\partial f}{\partial \bar{\zeta}} d\bar{\zeta} \wedge d\zeta \\ &= 2i \int_{D_R(0)} g(\zeta) d\lambda(\zeta) \\ &\neq 0, \end{aligned}$$

whenever $D_R(0)$ contains the support of g . That is a contradiction.

Distributions

Let $\Omega \subseteq \mathbb{R}^n$ be an open subset and $\mathcal{D}(\Omega) = \mathcal{C}_0^\infty(\Omega)$ the space of \mathcal{C}^∞ -functions with compact support (test functions).

A sequence $(\phi_j)_j$ tends to 0 in $\mathcal{D}(\Omega)$ if there exists a compact set $K \subset \Omega$ such that $\text{supp}(\phi_j) \subset K$ for every j and

$$\frac{\partial^{|\alpha|} \phi_j}{\partial x_1^{\alpha_1} \dots \partial x_n^{\alpha_n}} \rightarrow 0$$

uniformly on K for each $\alpha = (\alpha_1, \dots, \alpha_n)$.

A distribution is a linear functional u on $\mathcal{D}(\Omega)$ such that for every compact subset $K \subset \Omega$ there exists $k \in \mathbb{N}_0 = \mathbb{N} \cup \{0\}$ and a constant $C > 0$ with

$$|u(\phi)| \leq C \sum_{|\alpha| \leq k} \sup_{x \in K} \left| \frac{\partial^{|\alpha|} \phi(x)}{\partial x_1^{\alpha_1} \dots \partial x_n^{\alpha_n}} \right|,$$

for each $\phi \in \mathcal{D}(\Omega)$ with support in K . We denote the space of distributions on Ω by $\mathcal{D}'(\Omega)$.

It is easily seen that $u \in \mathcal{D}'(\Omega)$ if and only if $u(\phi_j) \rightarrow 0$ for every sequence $(\phi_j)_j$ in $\mathcal{D}(\Omega)$ converging to 0 in $\mathcal{D}(\Omega)$.

Examples

Let $f \in L^1_{loc}(\Omega)$, where

$$L^1_{loc}(\Omega) = \{f : \Omega \longrightarrow \mathbb{C} \text{ measurable} : f|_K \in L^1(K) \forall K \subset \Omega, K \text{ compact}\}.$$

The mapping $T_f(\phi) = \int_{\Omega} f(x)\phi(x) d\lambda(x)$, $\phi \in \mathcal{D}(\Omega)$, is a distribution.

Let $a \in \Omega$ and $\delta_a(\phi) := \phi(a)$, which is the point evaluation in a . The distribution δ_a is called Dirac delta distribution.

Let

$$D_k = \frac{\partial}{\partial x_k} \quad \text{and} \quad D^\alpha = \frac{\partial^{|\alpha|}}{\partial x_1^{\alpha_1} \dots \partial x_n^{\alpha_n}},$$

where $\alpha = (\alpha_1, \dots, \alpha_n)$ is a multi-index. The partial derivative of a distribution $u \in \mathcal{D}'(\Omega)$ is defined by

$$(D_k u)(\phi) := -u(D_k \phi), \quad \phi \in \mathcal{D}(\Omega);$$

higher order mixed derivatives are defined as

$$(D^\alpha u)(\phi) := (-1)^{|\alpha|} u(D^\alpha \phi), \quad \phi \in \mathcal{D}(\Omega).$$

This definition stems from integrating by parts:

$$\int_{\Omega} (D_k f) \phi \, d\lambda = - \int_{\Omega} f (D_k \phi) \, d\lambda,$$

where $f \in \mathcal{C}^1(\Omega)$ and $\phi \in \mathcal{D}(\Omega)$.

Let $\Omega = \{z \in \mathbb{C}^n : r(z) < 0\}$, where r is a real-valued \mathcal{C}^1 -function with

$$\nabla_z r := \left(\frac{\partial r}{\partial z_1}, \dots, \frac{\partial r}{\partial z_n} \right) \neq 0$$

on $b\Omega = \{z : r(z) = 0\}$. Then r is called a defining function for Ω .

Without loss of generality we can suppose that $|\nabla_z r| = |\nabla r| = 1$ on $b\Omega$.

For $u, v \in \mathcal{C}^\infty(\overline{\Omega})$ and

$$(u, v) = \int_{\Omega} u(z) \overline{v(z)} \, d\lambda(z)$$

we have

$$(u_{x_k}, v) = -(u, v_{x_k}) + \int_{b\Omega} u(z) \overline{v(z)} r_{x_k}(z) \, d\sigma(z),$$

where $d\sigma$ is the surface measure on $b\Omega$.

This follows from the Gauß–Green Theorem: for $\omega \subseteq \mathbb{R}^n$ we have

$$\int_{\omega} \nabla \cdot F(x) d\lambda(x) = \int_{b\omega} (F(x), \nu(x)) d\sigma(x),$$

where $\nu(x) = \nabla r(x)$ is the normal to $b\omega$ at x , and F is a \mathcal{C}^1 vector field on $\bar{\omega}$, and

$$\nabla \cdot F(x) = \sum_{j=1}^n \frac{\partial F_j}{\partial x_j}.$$

For $k = 1$ and $F = (u\bar{v}, 0, \dots, 0)$ one gets

$$(u_{x_1}, v) = -(u, v_{x_1}) + \int_{b\Omega} u(z) \overline{v(z)} r_{x_1}(z) d\sigma(z),$$

similarly one obtains

$$\left(\frac{\partial u}{\partial z_k}, v \right) = - \left(u, \frac{\partial v}{\partial \bar{z}_k} \right) + \int_{b\Omega} u(z) \overline{v(z)} \frac{\partial r}{\partial z_k}(z) d\sigma(z). \quad (7)$$

Let

$$L^2_{(0,1)}(\Omega) := \left\{ u = \sum_{j=1}^n u_j d\bar{z}_j : u_j \in L^2(\Omega), j = 1, \dots, n \right\}$$

be the space of $(0, 1)$ -forms with coefficients in $L^2(\Omega)$. For $u, v \in L^2_{(0,1)}(\Omega)$ we define the inner product by

$$(u, v) = \sum_{j=1}^n (u_j, v_j).$$

In this way $L^2_{(0,1)}(\Omega)$ becomes a Hilbert space. $(0, 1)$ -forms with compactly supported C^∞ coefficients are dense in $L^2_{(0,1)}(\Omega)$.

Let $f \in C_0^\infty(\Omega)$ and set

$$\bar{\partial}f := \sum_{j=1}^n \frac{\partial f}{\partial \bar{z}_j} d\bar{z}_j,$$

then

$$\bar{\partial} : C_0^\infty(\Omega) \longrightarrow L^2_{(0,1)}(\Omega).$$

$\bar{\partial}$, with $C_0^\infty(\Omega)$ as domain, is a densely defined unbounded operator on $L^2(\Omega)$.

We have to extend the domain to get a densely defined unbounded operator $\bar{\partial}$ with closed graph: the domain $\text{dom}(\bar{\partial})$ of $\bar{\partial}$ consists of all functions $f \in L^2(\Omega)$ such that $\bar{\partial}f$, in the sense of distributions, belongs to $L^2_{(0,1)}(\Omega)$, i.e. $\bar{\partial}f = g = \sum_{j=1}^n g_j d\bar{z}_j$, and for each $\phi \in C_0^\infty(\Omega)$ we have

$$\int_{\Omega} f \left(\frac{\partial \phi}{\partial z_j} \right)^{-} d\lambda = - \int_{\Omega} g_j \bar{\phi} d\lambda, \quad j = 1, \dots, n. \quad (8)$$

For $f_k \in \text{dom}(\bar{\partial})$ and $f_k \rightarrow f$ in $L^2(\Omega)$ and $\bar{\partial}f_k \rightarrow g$ in $L^2_{(0,1)}(\Omega)$, we have to show that $f \in \text{dom}(\bar{\partial})$ and $\bar{\partial}f = g$.

By Cauchy-Schwarz we have

$$\left| \int_{\Omega} (f - f_k) \left(\frac{\partial \phi}{\partial z_j} \right)^{-} d\lambda \right| \leq \|f - f_k\|_2 \left\| \left(\frac{\partial \phi}{\partial z_j} \right)^{-} \right\|_2, \quad (9)$$

which implies that

$$\begin{aligned} \int_{\Omega} f \left(\frac{\partial \phi}{\partial z_j} \right)^{-} d\lambda &= \lim_{k \rightarrow \infty} \int_{\Omega} f_k \left(\frac{\partial \phi}{\partial z_j} \right)^{-} d\lambda = \lim_{k \rightarrow \infty} (-1) \int_{\Omega} \frac{\partial f_k}{\partial \bar{z}_j} \bar{\phi} d\lambda \\ &= (-1) \int_{\Omega} g_j \bar{\phi} d\lambda, \end{aligned}$$

which gives $f \in \text{dom}(\bar{\partial})$ and from (9) we have $\bar{\partial} f_k \rightarrow \bar{\partial} f$ in $L^2_{(0,1)}(\Omega)$, so we have finally $\bar{\partial} f = g$.

Unbounded operators

Let H_1, H_2 be Hilbert spaces and $T : \text{dom}(T) \rightarrow H_2$ be a densely defined linear operator, i.e. $\text{dom}(T)$ is a dense linear subspace of H_1 . Let $\text{dom}(T^*)$ be the space of all $y \in H_2$ such that $x \mapsto (Tx, y)_2$ defines a continuous linear functional on $\text{dom}(T)$. Since $\text{dom}(T)$ is dense in H_1 there exists a uniquely determined element $T^*y \in H_1$ such that $(Tx, y)_2 = (x, T^*y)_1$. The map $y \mapsto T^*y$ is linear and $T^* : \text{dom}(T^*) \rightarrow H_1$ is the adjoint operator to T . T is called a closed operator, if the graph

$$\mathcal{G}(T) = \{(f, Tf) \in H_1 \times H_2 : f \in \text{dom}(T)\}$$

is a closed subspace of $H_1 \times H_2$.

The inner product in $H_1 \times H_2$ is

$$((x, y), (u, v)) = (x, u)_1 + (y, v)_2.$$

If \tilde{V} is a linear subspace of H_1 which contains $\text{dom}(T)$ and $\tilde{T}x = Tx$ for all $x \in \text{dom}(T)$ then we say that \tilde{T} is an extension of T .

Some basic results:

Let $T : \text{dom}(T) \rightarrow H_2$ be a densely defined linear operator and define $V : H_1 \times H_2 \rightarrow H_2 \times H_1$ by $V((x, y)) = (y, -x)$. Then

$$\mathcal{G}(T^*) = [V(\mathcal{G}(T))]^\perp = V(\mathcal{G}(T)^\perp);$$

in particular T^* is always closed.

Let $T : \text{dom}(T) \rightarrow H_2$ be a densely defined, closed linear operator. Then $\text{dom}(T^*)$ is dense in H_2 and $T^{**} = T$.

Let $T : \text{dom}(T) \rightarrow H_2$ be a densely defined linear operator. Then $\ker T^* = (\text{im } T)^\perp$, which means that $\ker T^*$ is closed.

Let $T : \text{dom}(T) \rightarrow H_2$ be a densely defined, closed linear operator. Then $\ker T$ is a closed linear subspace of H_1 .

For our applications to the $\bar{\partial}$ -equation it will be important to know whether the differential operators involved have closed range or are even surjective.

Let $T : H_1 \rightarrow H_2$ be a bounded linear operator. $T(H_1)$ is closed if and only if $T|_{(\ker T)^\perp}$ is bounded from below, i.e.

$$\|Tf\| \geq C\|f\|, \quad \forall f \in (\ker T)^\perp.$$

Let $T : H_1 \rightarrow H_2$ be a densely defined closed operator. $\operatorname{im} T$ is closed in H_2 if and only if $T|_{\operatorname{dom}(T) \cap (\ker T)^\perp}$ is bounded from below, i.e.

$$\|Tf\| \geq C\|f\|, \quad \forall f \in \operatorname{dom}(T) \cap (\ker T)^\perp.$$

Let $T : H_1 \rightarrow H_2$ be a densely defined closed operator. $\operatorname{im} T$ is closed if and only if $\operatorname{im} T^*$ is closed.

Let $T : H_1 \rightarrow H_2$ be a densely defined closed operator and G a closed subspace of H_2 with $G \supseteq \operatorname{im} T$. Suppose that $T^*|_{\operatorname{dom}(T^*) \cap G}$ is bounded from below, i.e. $\|f\| \leq C\|T^*f\|$ for all $f \in \operatorname{dom}(T^*) \cap G$, where $C > 0$ is a constant. Then $G = \operatorname{im} T$.

In the following we introduce the fundamental concept of an unbounded self-adjoint operator, which will be crucial for both spectral theory and its applications to complex analysis.

Let $T : \text{dom}(T) \rightarrow H$ be a densely defined linear operator. T is symmetric if $(Tx, y) = (x, Ty)$ for all $x, y \in \text{dom}(T)$. We say that T is self-adjoint if T is symmetric and $\text{dom}(T) = \text{dom}(T^*)$. This is equivalent to requiring that $T = T^*$ and implies that T is closed.

Let T be a densely defined, symmetric operator.

- (i) If $\text{dom}(T) = H$, then T is self-adjoint and T is bounded.
- (ii) If T is self-adjoint and injective, then $\text{im}(T)$ is dense in H , and T^{-1} is self-adjoint.
- (iii) If $\text{im}(T)$ is dense in H , then T is injective.
- (iv) If $\text{im}(T) = H$, then T is self-adjoint, and T^{-1} is bounded.

Theorem

Let T be a densely defined closed operator, $\text{dom}(T) \subseteq H_1$ and $T : \text{dom}(T) \rightarrow H_2$. Then $B = (I + T^*T)^{-1}$ and $C = T(I + T^*T)^{-1}$ are everywhere defined and bounded, $\|B\| \leq 1$, $\|C\| \leq 1$; in addition B is self-adjoint and positive, i.e. $(Bu, u) > 0$, for all $u \in H_1$.

Now we consider the $\bar{\partial}$ -complex

$$L^2(\Omega) \xrightarrow{\bar{\partial}} L^2_{(0,1)}(\Omega) \xrightarrow{\bar{\partial}} \dots \xrightarrow{\bar{\partial}} L^2_{(0,n)}(\Omega) \xrightarrow{\bar{\partial}} 0, \quad (10)$$

where $L^2_{(0,q)}(\Omega)$ denotes the space of $(0, q)$ -forms on Ω with coefficients in $L^2(\Omega)$. The $\bar{\partial}$ -operator on $(0, q)$ -forms is given by

$$\bar{\partial} \left(\sum_J ' a_J d\bar{z}_J \right) = \sum_{j=1}^n \sum_J ' \frac{\partial a_J}{\partial \bar{z}_j} d\bar{z}_j \wedge d\bar{z}_J, \quad (11)$$

where $\sum_J '$ means that the sum is only taken over strictly increasing multi-indices $J = (j_1, \dots, j_q)$.

The derivatives are taken in the sense of distributions, and the domain of $\bar{\partial}$ consists of those $(0, q)$ -forms for which the right hand side belongs to $L^2_{(0,q+1)}(\Omega)$. So $\bar{\partial}$ is a densely defined closed operator, and therefore has an adjoint operator from $L^2_{(0,q+1)}(\Omega)$ into $L^2_{(0,q)}(\Omega)$ denoted by $\bar{\partial}^*$.

We consider the $\bar{\partial}$ -complex

$$L^2_{(0,q-1)}(\Omega) \begin{array}{c} \xrightarrow{\bar{\partial}} \\ \xleftarrow{\bar{\partial}^*} \end{array} L^2_{(0,q)}(\Omega) \begin{array}{c} \xrightarrow{\bar{\partial}} \\ \xleftarrow{\bar{\partial}^*} \end{array} L^2_{(0,q+1)}(\Omega), \quad (12)$$

for $1 \leq q \leq n - 1$.

Theorem

The complex Laplacian $\square = \bar{\partial}\bar{\partial}^ + \bar{\partial}^*\bar{\partial}$, defined on the domain $\text{dom}(\square) = \{u \in L^2_{(0,q)}(\Omega) : u \in \text{dom}(\bar{\partial}) \cap \text{dom}(\bar{\partial}^*), \bar{\partial}u \in \text{dom}(\bar{\partial}^*), \bar{\partial}^*u \in \text{dom}(\bar{\partial})\}$ acts as an unbounded, densely defined, closed and self-adjoint operator on $L^2_{(0,q)}(\Omega)$, for $1 \leq q \leq n$, which means that $\square = \square^*$ and $\text{dom}(\square) = \text{dom}(\square^*)$.*

We demonstrate the method for the $\bar{\partial}$ -Neumann problem first in its finite dimensional analog: let E, F, G denote finite dimensional vector spaces over \mathbb{C} with inner product. We consider an exact sequence of linear maps

$$E \xrightarrow{S} F \xrightarrow{T} G,$$

which means that $\text{im}S = \ker T$, hence $TS = 0$.

Given $f \in \text{im}S = \ker T$, we want to solve $Su = f$ with $u \perp \ker S$, then u will be called the canonical solution.

For this purpose we investigate

$$E \xrightarrow{S} F \xrightarrow{T} G$$

$$\leftarrow S^* \quad \leftarrow T^*$$

and observe that $\ker T = (\text{im} T^*)^\perp$ and $\ker T^* = (\text{im} T)^\perp$. We claim that the operator $SS^* + T^*T : F \rightarrow F$ is bijective. Let $N = (SS^* + T^*T)^{-1}$.

Then

$$u = S^* N f$$

is the canonical solution to $Su = f$.

We return to the \square -operator on $(0, q)$ -forms and suppose now that Ω is a smoothly bounded pseudoconvex domain in \mathbb{C}^n . It will be shown that

$$\|\bar{\partial}u\|^2 + \|\bar{\partial}^*u\|^2 \geq c \|u\|^2, \quad (13)$$

for each $u \in \text{dom}(\bar{\partial}) \cap \text{dom}(\bar{\partial}^*)$, $c > 0$, (basic estimate).

Theorem

Let $\Omega \subset \mathbb{C}^n$ be a smoothly bounded pseudoconvex domain. Then $\bar{\partial}$ and $\bar{\partial}^$ have closed range.*

Theorem

Let $\Omega \subset \mathbb{C}^n$ be a smoothly bounded pseudoconvex domain. Then $\square : \text{dom}(\square) \rightarrow L^2_{(0,q)}(\Omega)$ is bijective and has a bounded inverse

$$N : L^2_{(0,q)}(\Omega) \rightarrow \text{dom}(\square).$$

N is called $\bar{\partial}$ -Neumann¹ operator. In addition

$$\|Nu\| \leq \frac{1}{c} \|u\|. \tag{14}$$

We consider the embedding

$$j : \text{dom}(\bar{\partial}) \cap \text{dom}(\bar{\partial}^*) \longrightarrow L^2_{(0,q)}(\Omega),$$

where $\text{dom}(\bar{\partial}) \cap \text{dom}(\bar{\partial}^*)$ is endowed with the graph-norm

$$u \mapsto (\|\bar{\partial}u\|^2 + \|\bar{\partial}^*u\|^2)^{1/2}.$$

The graph-norm stems from the inner product

$$Q(u, v) = (u, v)_Q = (\square u, v) = (\bar{\partial}u, \bar{\partial}v) + (\bar{\partial}^*u, \bar{\partial}^*v).$$

The basic estimates (13) imply that j is a bounded operator with operator norm

$$\|j\| \leq \frac{1}{\sqrt{c}} \quad \text{and} \quad N = j \circ j^*.$$

Finally we give a few examples of the so-called Kohn calculus:

The operators

$$\bar{\partial}N : L^2_{(0,q)}(\Omega) \longrightarrow L^2_{(0,q+1)}(\Omega) \text{ and } \bar{\partial}^*N : L^2_{(0,q)}(\Omega) \longrightarrow L^2_{(0,q-1)}(\Omega)$$

are both bounded.

Let N_q denote the $\bar{\partial}$ -Neumann operator on $L^2_{(0,q)}(\Omega)$. Then

$$N_{q+1}\bar{\partial} = \bar{\partial}N_q, \tag{15}$$

on $\text{dom}(\bar{\partial})$ and

$$N_{q-1}\bar{\partial}^* = \bar{\partial}^*N_q, \tag{16}$$

on $\text{dom}(\bar{\partial}^*)$.

The main results are the following

Theorem

Let $\alpha \in L^2_{(0,q)}(\Omega)$, with $\bar{\partial}\alpha = 0$. Then $u_0 = \bar{\partial}^* N_q \alpha$ is the canonical solution of $\bar{\partial}u = \alpha$, this means $\bar{\partial}u_0 = \alpha$ and $u_0 \perp \ker \bar{\partial}$, and

$$\|\bar{\partial}^* N_q \alpha\| \leq c^{-1/2} \|\alpha\|. \quad (17)$$

Theorem

Let $P_q : L^2_{(0,q)}(\Omega) \rightarrow \ker \bar{\partial}$ denote the orthogonal projection, which is the Bergman projection for $q = 0$. Then

$$P_q = I - \bar{\partial}^* N_{q+1} \bar{\partial}, \quad (18)$$

on $\text{dom}(\bar{\partial})$.