## Gap results for biharmonic submanifolds in Euclidean spheres

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Article

### Gap results for biharmonic submanifolds in spheres

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### Harmonic and biharmonic maps

Let  $\varphi: (M,g) \to (N,h)$  be a smooth map.

Energy functional

$$E(\varphi) = E_1(\varphi) = \frac{1}{2} \int_M |d\varphi|^2 \ \overline{v}_g$$

Euler-Lagrange equation

$$\tau(\varphi) = \operatorname{trace} \nabla d\varphi$$
$$= 0$$

Critical points of E: harmonic maps **Bienergy** functional

$$E_2(\varphi) = \frac{1}{2} \int_M |\tau(\varphi)|^2 \ \overline{v}_g$$

Euler-Lagrange equation

$$\tau_2(\varphi) = -\Delta^{\varphi}\tau(\varphi) - \operatorname{trace} R^N(d\varphi(\cdot), \tau(\varphi))d\varphi(\cdot) = 0$$

Critical points of  $E_2$ : biharmonic maps

Any harmonic map is biharmonic. We will say that a map is proper biharmonic if it is biharmonic but not harmonic.

Sign Conventions:

- $\Delta \sigma = -\operatorname{trace}(\nabla^2 \sigma),$
- $R(X,Y)Z = \nabla_X \nabla_Y Z \nabla_Y \nabla_X Z \nabla_{[X,Y]} Z.$

For a submanifold  $M^m$  in  $N^n$  we will use the following notations:

- B is the second fundamental form of M in N,
- $H = \frac{1}{m}$  trace B is the mean curvature vector field. If  $M^m$  is a hypersurface in  $N^{m+1}$  we also have:
  - A is the shape operator of M in the direction of  $\eta \in C(NM)$ ,  $|\eta| = 1$ ,
  - $f = \frac{1}{m}$  trace A is the mean curvature function.

#### Definitions

Let  $\varphi: M^m \to N^n$  be an immersion. Then  $\varphi$  is said to have

• constant mean curvature (CMC) if |H| = constant;

② parallel mean curvature vector field (PMC) if  $\nabla^{\perp} H = 0$ .

We say that  $\varphi$  is  $\lambda$ -biharmonic if there is  $\lambda \in \mathbb{R}$  such that

 $\tau_2(\varphi) = \lambda \tau(\varphi).$ 

#### Remark

Any PMC submanifold is CMC.

## The biharmonic equation for submanifolds in space forms

Let  $\varphi: M^m \to N^n(c)$  be a submanifold in a space form. Then

$$\tau(\varphi) = mH, \quad \tau_2(\varphi) = -m\Delta^{\varphi}H + m^2cH,$$

thus  $\varphi$  is biharmonic if and only if  $\Delta^{\varphi} H = mcH$ .

**1** The submanifold  $\varphi$  is biharmonic if and only if

$$\begin{cases} \Delta^{\perp} H + \operatorname{trace} B(\cdot, A_H(\cdot)) - mcH = 0\\ 2\operatorname{trace} A_{\nabla_{(\cdot)}^{\perp} H}(\cdot) + \frac{m}{2}\operatorname{grad} |H|^2 = 0 \end{cases}$$

**2** If  $M^m$  is a PMC submanifold of  $N^n(c)$ , then M is biharmonic if and only if

trace 
$$B(\cdot, A_H(\cdot)) = mcH$$
.

## Main examples of biharmonic submanifolds in $\mathbb{S}^n$ (Caddeo, Montaldo, Oniciuc-2001, 2002)

The composition property



#### Properties

- M has parallel mean curvature vector field, and |H| = 1.
- M is pseudo-umbilical in  $\mathbb{S}^n$ , i.e.  $A_H = |H|^2 \operatorname{Id}; \nabla A_H = 0$ .

# Main examples of biharmonic submanifolds in $\mathbb{S}^n$ (Jiang-1986)

The product composition property

 $\mathbb{S}^{n_1}(r_1) \times \mathbb{S}^{n_2}(r_2) \xrightarrow{p. \ biharmonic} \mathbb{S}^n$ €  $r_1 = r_2 = \frac{1}{\sqrt{2}}$  and  $n_1 \neq n_2$  $n_1 + n_2 = n - 1, \quad r_1^2 + r_2^2 = 1$ Properties  $M_1^{m_1} \times M_2^{m_2} \xrightarrow{\text{minimal}} \mathbb{S}^{n_1} \left( \frac{1}{\sqrt{2}} \right) \times \mathbb{S}^{n_2} \left( \frac{1}{\sqrt{2}} \right)$ •  $M_1 \times M_2$  has parallel mean  $\varphi$ curvature vector field and p. biharmonic  $|H| \in (0,1).$ •  $M_1 \times M_2$  is not  $n_1 + n_2 = n - 1$  and  $m_1 \neq m_2$ pseudo-umbilical in  $\mathbb{S}^n$ ;

 $\nabla A_H = 0.$ 

Let  $\varphi: M^m \to \mathbb{S}^n$  be a CMC proper biharmonic immersion. Then

 $|H|\in (0,1].$ 

Moreover, |H| = 1 if and only if M is minimal in the hypersphere  $\mathbb{S}^{n-1}(1/\sqrt{2}) \subset \mathbb{S}^n$ .

### Gap Result (Balmuş, Oniciuc-2012)

#### Theorem

Let  $\varphi: M^m \to \mathbb{S}^n$  be a PMC proper biharmonic immersion. Suppose that m > 2 and  $|H| \in (0, 1)$ . Then

$$|H| \in \left(0, \frac{m-2}{m}\right]$$

and |H| = (m-2)/m if and only if locally  $\varphi(M)$  is an open subset of a product

$$M_1 \times \mathbb{S}^1\left(\frac{1}{\sqrt{2}}\right) \subset \mathbb{S}^n,$$

where  $M_1$  is a minimal submanifold embedded in  $\mathbb{S}^{n-2}(1/\sqrt{2})$  and the splitting  $\mathbb{R}^{n+1} = \mathbb{R}^{n-1} \oplus \mathbb{R}^2$  does not depend on the point of M. Moreover, if M is complete, then the previous decomposition of  $\varphi(M)$ is global, where  $M_1$  is a complete minimal submanifold in  $\mathbb{S}^{n-2}(1/\sqrt{2})$ . Next, we aim to further enlarge the gap for the range of the mean curvature of PMC biharmonic submanifolds in spheres, imposing additional properties to the submanifold. More precisely, we consider the submanifold M in  $\mathbb{S}^n$  to be the extrinsic product, with respect to some splitting of  $\mathbb{R}^{n+1}$ , of two submanifolds, i.e.

We consider  $M = M_1 \times M_2$  in  $\mathbb{S}^n$  such that  $M_1$  is PMC in  $\mathbb{S}^{n_1}(r_1)$  and  $M_2$  is PMC in  $\mathbb{S}^{n_2}(r_2)$ , where  $n_1 + n_2 = n - 1$  and  $r_1^2 + r_2^2 = 1$ .

Let  $\varphi_1: M_1^{m_1} \to \mathbb{S}^{n_1}(r_1)$  and  $\varphi_2: M_2^{m_2} \to S^{n_2}(r_2)$  be two immersions, where  $r_1^2 + r_2^2 = 1$  and  $n_1 + n_2 = n - 1$ . Then  $M = M_1 \times M_2$  is a proper biharmonic submanifold in  $\mathbb{S}^n$  if and only if

$$\begin{aligned} |\tau(\varphi_1)| &> 0 \quad or \quad |\tau(\varphi_2)| > 0 \quad or \quad \frac{m_1}{r_1^2} \neq \frac{m_2}{r_2^2} \\ \tau_2(\varphi_1) &= 2r_2^2 \left(\frac{m_1}{r_1^2} - \frac{m_2}{r_2^2}\right) \tau(\varphi_1) \\ \tau_2(\varphi_2) &= 2r_1^2 \left(\frac{m_2}{r_2^2} - \frac{m_1}{r_1^2}\right) \tau(\varphi_2) \\ &\frac{|\tau(\varphi_1)|^2}{r_1^2} - \frac{|\tau(\varphi_2)|^2}{r_2^2} + \left(r_2^2 - r_1^2\right) \left(\frac{m_2}{r_2^2} - \frac{m_1}{r_1^2}\right)^2 = 0 \end{aligned}$$

#### Remark

• Note that  $\varphi_1$  is  $\lambda_1$ -biharmonic and  $\varphi_2$  is  $\lambda_2$ -biharmonic, where  $\lambda_1 = 2r_2^2 \left(\frac{m_1}{r_1^2} - \frac{m_2}{r_2^2}\right)$  and  $\lambda_2 = 2r_1^2 \left(\frac{m_2}{r_2^2} - \frac{m_1}{r_1^2}\right)$ .

• Moreover,  $\varphi_1$  and  $\varphi_2$  are CMC.

## Range of the mean curvature for $CMC \lambda$ -biharmonic submanifolds

#### Theorem

Let  $\varphi: M^m \to \mathbb{S}^n(r)$  be a CMC proper  $\lambda$ -biharmonic immersion. Then

$$\lambda < rac{m}{r^2} \quad and \quad |H| \in \left(0, \sqrt{rac{1}{r^2} - rac{\lambda}{m}}
ight]$$

Moreover,  $|H| = \sqrt{1/r^2 - \lambda/m}$  if and only if M is minimal in the small hypersphere  $\mathbb{S}^{n-1}\left(\sqrt{mr^2/(2m-\lambda r^2)}\right) \subset \mathbb{S}^n(r)$ .

Let  $\varphi: M^m \to \mathbb{S}^n(r)$  be a PMC proper  $\lambda$ -biharmonic immersion. Suppose that m > 2 and  $|H|^2 \in (0, 1/r^2 - \lambda/m)$ . Then

$$\lambda \le \frac{m - 2\sqrt{m - 1}}{r^2}$$

and

$$|H|^{2} \in \begin{cases} (0, x_{2}], & \text{if } \lambda \leq 0\\ [x_{1}, x_{2}], & \text{if } \lambda \in \left(0, \frac{m - 2\sqrt{m-1}}{r^{2}}\right)\\ \{x_{2}\}, & \text{if } \lambda = \frac{m - 2\sqrt{m-1}}{r^{2}} \end{cases}$$

where  $x_1$  and  $x_2$  are the positive solutions of the following second degree equation

$$m^{4}x^{2} + m^{2}\left(m\lambda - \frac{(m-2)^{2}}{r^{2}}\right)x + (m-1)\lambda^{2} = 0.$$

Moreover, we have

•  $|H|^2 = x_2$  if and only if locally  $M = L \times I$ ,  $\varphi = \varphi_1 \times \varphi_2$  is an extrinsic product,  $\varphi_1 : L \to \mathbb{S}^{n-2}(r_1)$  is minimal and  $\varphi_2 : I \to \mathbb{S}^1(r_2)$  is (an open subset of) the circle parametrized by arc length, where  $I = (-\varepsilon, \varepsilon)$  and the radii are given by

$$\begin{cases} r_1^2 = \frac{3m - 2 - \lambda r^2 - \sqrt{(m - \lambda r^2)^2 - 4(m - 1)}}{2(2m - \lambda r^2)} r^2 \\ r_2^2 = \frac{m + 2 - \lambda r^2 + \sqrt{(m - \lambda r^2)^2 - 4(m - 1)}}{2(2m - \lambda r^2)} r^2 \end{cases}$$

If M is complete, the above decompositions of M and  $\varphi$  hold globally,  $M = L \times \mathbb{R}$  and  $\varphi_2(\mathbb{R}) = \mathbb{S}^1(r_2)$ .

• if  $\lambda > 0$ , then  $|H|^2 = x_1$  if and only if locally  $M = L \times I$ ,  $\varphi = \varphi_1 \times \varphi_2$  is an extrinsic product,  $\varphi_1 : L \to \mathbb{S}^{n-2}(r_1)$  is minimal and  $\varphi_2 : I \to \mathbb{S}^1(r_2)$  is (an open subset of) the circle parametrized by arc length, where  $I = (-\varepsilon, \varepsilon)$  and the radii are given by

$$\begin{cases} r_1^2 = \frac{3m - 2 - \lambda r^2 + \sqrt{(m - \lambda r^2)^2 - 4(m - 1)}}{2(2m - \lambda r^2)} r^2 \\ r_2^2 = \frac{m + 2 - \lambda r^2 - \sqrt{(m - \lambda r^2)^2 - 4(m - 1)}}{2(2m - \lambda r^2)} r^2 \end{cases}$$

If M is complete, the above decompositions of M and  $\varphi$  hold globally,  $M = L \times \mathbb{R}$  and  $\varphi_2(\mathbb{R}) = \mathbb{S}^1(r_2)$ .

Recall that our main target is to find a gap for the range of the mean curvature of proper biharmonic submanifolds M of  $\mathbb{S}^n$  such that

 $M = M_1 \times M_2$ ,  $M_1$  is *PMC* in  $\mathbb{S}^{n_1}(r_1)$  and  $M_2$  is *PMC* in  $\mathbb{S}^{n_2}(r_2)$ , where  $n_1 + n_2 = n$  and  $r_1^2 + r_2^2 = 1$ .

Let  $\varphi_1: M_1^{m_1} \to \mathbb{S}^{n_1}(r_1)$  and  $\varphi_2: M_2^{m_2} \to S^{n_2}(r_2)$  be two immersions, where  $r_1^2 + r_2^2 = 1$  and  $n_1 + n_2 = n - 1$ . Then  $M = M_1 \times M_2$  is a proper biharmonic submanifold in  $\mathbb{S}^n$  if and only if

$$\begin{aligned} &|\tau(\varphi_1)| > 0 \quad or \quad |\tau(\varphi_2)| > 0 \quad or \quad \frac{m_1}{r_1^2} \neq \frac{m_2}{r_2^2} \\ &\tau_2(\varphi_1) = 2r_2^2 \left(\frac{m_1}{r_1^2} - \frac{m_2}{r_2^2}\right) \tau(\varphi_1) \\ &\tau_2(\varphi_2) = 2r_1^2 \left(\frac{m_2}{r_2^2} - \frac{m_1}{r_1^2}\right) \tau(\varphi_2) \\ &\frac{|\tau(\varphi_1)|^2}{r_1^2} - \frac{|\tau(\varphi_2)|^2}{r_2^2} + \left(r_2^2 - r_1^2\right) \left(\frac{m_2}{r_2^2} - \frac{m_1}{r_1^2}\right)^2 = 0 \end{aligned}$$

#### Remark

• Note that  $\varphi_1$  is  $\lambda_1$ -biharmonic and  $\varphi_2$  is  $\lambda_2$ -biharmonic, where  $\lambda_1 = 2r_2^2 \left(\frac{m_1}{r_1^2} - \frac{m_2}{r_2^2}\right)$  and  $\lambda_2 = 2r_1^2 \left(\frac{m_2}{r_2^2} - \frac{m_1}{r_1^2}\right)$ .

• Moreover,  $\varphi_1$  and  $\varphi_2$  are CMC.

The radii  $r_1$  and  $r_2$  must obey the following constraints

$$\frac{m_1}{2m} < r_1^2 < \frac{2m_1 + m_2}{2m}$$
 and  $\frac{m_2}{2m} < r_2^2 < \frac{m_1 + 2m_2}{2m}$ 

Moreover, if  $M_1$  and  $M_2$  are PMC, we obtain even more restrictive ranges for the radii  $r_1$  and  $r_2$ , that is

$$\begin{cases} \frac{m_1 + 2\sqrt{m_1 - 1}}{2m} \le r_1^2 \le \frac{2m_1 + m_2 - 2\sqrt{m_2 - 1}}{2m} \\ \frac{m_2 + 2\sqrt{m_2 - 1}}{2m} \le r_2^2 \le \frac{m_1 + 2m_2 - 2\sqrt{m_1 - 1}}{2m} \end{cases}$$

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Let  $\varphi_1: M_1^{m_1} \to \mathbb{S}^{n_1}(r_1)$  and  $\varphi_2: M_2^{m_2} \to \mathbb{S}^{n_2}(r_2)$  be two non-minimal immersions such that  $M^m = M_1^{m_1} \times M_2^{m_2}$  is proper biharmonic in  $\mathbb{S}^n$ , where  $n_1 + n_2 = n - 1$ . Then

$$|H^{\varphi_1}|^2 = \frac{1}{r_1^2} - \frac{\lambda_1}{m_1}$$
 if and only if  $|H^{\varphi_2}|^2 = \frac{1}{r_2^2} - \frac{\lambda_2}{m_2}$ 

In this case, 
$$|H^{\iota\circ\varphi}|^2 = 1$$
.

#### Remark

Since  $\varphi_1$  and  $\varphi_2$  are CMC, then  $\frac{1}{r_1^2} - \frac{\lambda_1}{m_1}$  and  $\frac{1}{r_2^2} - \frac{\lambda_2}{m_2}$  are their respective maximum values.

Let  $\varphi_1: M_1^{m_1} \to \mathbb{S}^{n_1}(r_1)$  and  $\varphi_2: M_2^{m_2} \to \mathbb{S}^{n_2}(r_2)$  be two PMC and non-pseudo-umbilical immersions,  $m_1 > 2$ ,  $m_2 > 2$ , such that  $M^m = M_1^{m_1} \times M_2^{m_2}$  is proper biharmonic in  $\mathbb{S}^n$ ,  $r_1^2 + r_2^2 = 1$  and  $n_1 + n_2 = n - 1$ . Then

$$|H^{\iota\circ\varphi}| \in \left(0, \frac{m-4}{m}\right]$$

and  $|H^{\iota\circ\varphi}| = (m-4)/m$  if and only if  $r_1^2 = (3m_1 + m_2 - 4)/(4(m-2))$  and locally, up to isometries of  $\mathbb{S}^n$ ,  $\varphi(M)$  is an open subset of the extrinsic product

$$\tilde{M}_1 \times \mathbb{S}^1\left(\frac{1}{2}\right) \times \mathbb{S}^1\left(\frac{1}{2}\right) \subset \mathbb{S}^{n-4}\left(\frac{1}{\sqrt{2}}\right) \times \mathbb{S}^3\left(\frac{1}{\sqrt{2}}\right),$$

where  $\tilde{M}_1$  is minimal in  $\mathbb{S}^{n-4}(1/\sqrt{2})$  and the splitting of  $\mathbb{R}^{n+1} = \mathbb{R}^{n-3} \times \mathbb{R}^4$ does not depend on the point of M. Moreover, if M is complete, then the previous decomposition of  $\varphi(M)$  is global, where  $\tilde{M}_1$  is a complete minimal submanifold in  $\mathbb{S}^{n-4}(1/\sqrt{2})$ . Using a previous theorem, the expression of  $|H^{\iota\circ\varphi}|$  and the fact that  $M_1$  is *PMC*  $\lambda_1$ -biharmonic and  $M_2$  is *PMC*  $\lambda_2$ -biharmonic, we deduce that

$$|H^{\varphi_1}|^2 \le x_{2,1}, \quad |H^{\varphi_2}|^2 \le x_{2,2}$$

and  $|H^{\iota\circ\varphi}|$  reaches its maximum when  $M_1 = L_1 \times \mathbb{S}^1(b_1)$  and  $M_2 = L_2 \times \mathbb{S}^1(b_2)$ , where  $L_1$  is minimal in  $\mathbb{S}^{n_1-2}(a_1)$  and  $L_2$  is minimal in  $\mathbb{S}^{n_2-2}(a_2)$ , and  $a_1, b_1, a_2, b_2$  satisfy the conditions  $a_i^2 + b_i^2 = r_i^2$ ,  $i \in \{1, 2\}$  and satisfy certain conditions. Thus, it is sufficient to search for

$$M = L_1 \times \mathbb{S}^1(b_1) \times L_2 \times \mathbb{S}^1(b_2),$$

where  $r_1^2 + r_2^2 = 1$ .

From the biharmonic equation for PMC submanifolds, we obtain the following set of algebraic equations.

$$\begin{cases} \alpha_1 \left( d - 2m^2 \right) + 2m - \frac{1}{\alpha_1} = 0 \\ \alpha_2 \left( d - 2m^2 \right) + 2m - \frac{1}{\alpha_2} = 0 \\ \alpha_3 \left( d - 2m^2 \right) + 2m - \frac{1}{\alpha_3} = 0 \\ \alpha_4 \left( d - 2m^2 \right) + 2m - \frac{1}{\alpha_4} = 0 \end{cases},$$

where

$$\alpha_1 = \frac{a_1^2}{m_1 - 1}, \quad \alpha_2 = b_1^2, \quad \alpha_3 = \frac{a_2^2}{m_2 - 1}, \quad \alpha_4 = b_2^2$$

and

$$d = \frac{(m_1 - 1)^2}{a_1^2} + \frac{1}{b_1^2} + \frac{(m_2 - 1)^2}{a_2^2} + \frac{1}{b_2^2}.$$

Solving that system of equations, we obtain the following solutions.

Taking into account that  $M_1$  and  $M_2$  are not minimal, i.e.  $\alpha_1 \neq \alpha_2$  and  $\alpha_3 \neq \alpha_4$ , this list narrows down to 3 and 4.

In case 3, we obtain

$$r_1^2 = \frac{3m_1 + m_2 - 4}{4(m-2)}$$
 and  $|H^{\iota \circ \varphi}|^2 = \frac{(m-4)^2}{m^2}$ .

In case 4, we obtain

$$r_1^2 = \frac{m_1 m_2 - m_2 + m_1}{2m_1 m_2}$$
 and  $|H^{\iota \circ \varphi}|^2 = \frac{(m_1 - m_2)^2}{m^2}.$ 

Next, we show that  $\frac{(m-4)^2}{m^2}$  is the maximum value of  $|H^{\iota\circ\varphi}|^2$  for any  $r_1$ .

Using the characterization of biharmonic submanifolds, the expression of  $|H^{\iota\circ\varphi}|^2$  and the bounds of  $|H^{\varphi_1}|^2$  and  $|H^{\varphi_2}|^2$  we obtain that

$$|H^{\iota\circ\varphi}|^2 \le h_1\left(r_1^2\right) \quad \text{and} \quad |H^{\iota\circ\varphi}|^2 \le h_2\left(r_1^2\right),$$

where  $h_1$  is an increasing function and  $h_2$  is a decreasing function and satisfy

$$h_1\left(\frac{3m_1+m_2-4}{4(m-2)}\right) = h_2\left(\frac{3m_1+m_2-4}{4(m-2)}\right) = \frac{(m-4)^2}{m^2}.$$

From here we find a better upper bound, that is, for any  $r_1$  we have

$$|H^{\iota \circ \varphi}|^2 \le \min\left\{h_1\left(r_1^2\right), h_2\left(r_1^2\right)\right\} \le \frac{(m-4)^2}{m^2}.$$





Using the classification of parallel surfaces in Euclidean spheres, we can treat the cases when  $M_1$  or  $M_2$  is a surface. Thus, we obtain the following theorem which summarizes the results presented above.

#### Theorem

Let  $\varphi_1: M_1^{m_1} \to \mathbb{S}^{n_1}(r_1), m_1 \geq 2$ , and  $\varphi_2: M_2^{m_2} \to \mathbb{S}^{n_2}(r_2), m_2 \geq 2$ , be two non-minimal and PMC submanifolds such that  $M^m = M_1^{m_1} \times M_2^{m_2}$  is proper biharmonic in  $\mathbb{S}^n, r_1^2 + r_2^2 = 1$  and  $n_1 + n_2 = n - 1$ .

• If 
$$m_1 = m_2 = 2$$
, then  $\varphi_1$  and  $\varphi_2$  are pseudo-umbilical, that is

$$|H^{\iota\circ\varphi}|=1.$$

**2** If  $m_1 > 2$  or  $m_2 > 2$ , then

$$|H^{\iota\circ\varphi}|\in \left(0,\frac{m-4}{m}\right]\cup\{1\}.$$

Prove that for any  $r_1$  such that

$$r_1^2 \in \left[\frac{m_1 + 2\sqrt{m_1 - 1}}{2m}, \frac{2m_1 + m_2 - 2\sqrt{m_2 - 1}}{2m}\right]$$

there exists  $M = M_1 \times M_2$  proper biharmonic in  $\mathbb{S}^n$  such that  $M_i$  are PMC and non-pseudo-umbilical in  $\mathbb{S}^{n_i}(r_i), i \in \{1, 2\}, r_1^2 + r_2^2 = 1, m_1 > 2, m_2 > 2.$ 

## Thank you!