Invariant λ -solitons

Irene Ortiz Sánchez (Centro Universitario de la Defensa de San Javier) Joint work with Antonio Bueno and Rafael López

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ALEXANDRU IOAN CUZA UNIVERSITY of IAŞI

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Summary

- 1. Introduction
- 2. Characterizations of λ -solitons
- 3. Classification of rotational λ -solitons
- 4. Classification of cylindrical λ -solitons
- 5. Stability results of $\lambda\text{-solitons.}$ Plateau-Rayleigh phenomenon

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- The mean and Gaussian curvature of $\boldsymbol{\Sigma},$ are defined, respectively, by

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• The shape operator of Σ is denoted by A and it is satisfied that

$$|A|^2 = \kappa_1^2 + \kappa_2^2 = H^2 - 2K.$$

Definition (Translating λ -soliton)

Let $v \in \mathbb{R}^3$, |v| = 1, called the *density vector*. An oriented surface Σ in \mathbb{R}^3 is a *translating* λ -soliton with respect to v if

$$H_{\Sigma}(p) = \langle N_{p}, v \rangle + \lambda \quad \forall p \in \Sigma.$$
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Observe that:

1. On the one hand, they are a particular case of prescribed mean curvature (PMC) surfaces.

 $H_{\Sigma}(p) = \mathcal{H}(N_p) \ \forall p \in \Sigma \text{ for a given } \mathcal{H} \in C^1(\mathbb{S}^2).$

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- 2. On the other hand, if $\lambda = 0$ they generalize self-translating solitons of the mean curvature flow.
- 3. Moreover, they are closely related to CMC surfaces.

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 - A. Bueno, I. Ortiz, Invariant hypersurfaces with linear prescribed mean curvature, J. Math. Anal. Appl. 487 (2020).

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 - Widely studied during the last decades: Hoffman, Huisken, Ilmanen, F. Martín, Spruck, White, Xiao.
 - ► They are minimal surfaces in (R³, e^{2x₃} (·, ·)). In particular, the tangency principle is satisfied.
 - Cylindrical solitons and rotationals. Non orientable examples.



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Remind that if Σ is a surface and $u \in C_0^{\infty}(\Sigma)$, u = 0 in $\partial \Sigma$, a normal variation of compact support is defined by

 $\psi(p,t) = p + tu(p)N(p), \quad |t| < \epsilon, \quad \Sigma_t = \{\psi(p,t) \colon p \in \Sigma\}.$

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CMC surfaces

• Area and volume functionals:

$$\mathcal{A}(t) = \int_{\Sigma} d\Sigma_t, \quad \mathcal{V}(t) = \int_{[0,t] imes \Sigma} |\mathrm{Jac} \psi| dV.$$

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Critical points of the area functional: minimal surfaces and CMC surfaces preserving the enclosed volume (i.e. ∫_Σ udΣ = 0).

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- Weighted area and volume functionals, \mathcal{A}_{ϕ} and \mathcal{V}_{ϕ} , are defined.

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- First variation of the weighted area and volume functionals:

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• Critical points of the weighted area functional: surfaces with $H_{\phi} = 0$ and surfaces with $H_{\phi} = \lambda$ under variations preserving the enclosed weighted volume (i.e. $\int_{\Sigma} u d\Sigma_{\phi} = 0$).

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First characterization of λ -solitons

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Definition (Weighted mean curvature)

The *weighted mean curvature* H_{ϕ} of an oriented surface Σ in \mathbb{R}^3 with respect to the density $e^{\phi} \in C^{\infty}(\mathbb{R}^3)$ is defined by

$$H_{\phi} := H_{\Sigma} - \langle N, D\phi \rangle,$$

where D is the gradient operator in \mathbb{R}^3 .

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• If we consider
$$\phi_{m{v}}(x) = \langle x, m{v}
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 Σ is a λ -soliton $\iff H_{\phi_v} = \lambda$

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Definition (Weighted area and weighted volume)

Let $\Omega \subset \mathbb{R}^3$ be a measurable set with $\Sigma = \partial \Omega$. Then, the *weighted area and volume* with respect to ϕ_v are

$$\mathcal{A}_{\phi_{v}}(\Sigma) := \int_{\Sigma} e^{\phi_{v}} d\Sigma, \quad \mathcal{V}_{\phi_{v}}(\Omega) := \int_{\Omega} e^{\phi_{v}} dV,$$

where $d\Sigma$ and dV are the usual area and volume elements in \mathbb{R}^3 .

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•
$$\Sigma$$
 is a λ -soliton \iff
 $\mathcal{A}'_{\phi_{\nu}}(0) = 0 \ \forall u \in C_0^{\infty}(\Sigma) \text{ s.t. } \int_{\Sigma} ud\Sigma_{\phi} = 0 \iff$
 Σ is a critical point under c.s.v of $L_{\phi_{\nu}} := \mathcal{A}_{\phi_{\nu}} - \lambda \mathcal{V}_{\phi_{\nu}}$.

Third characterization of λ -solitons

• Consider $\psi: \Sigma \to \mathbb{R}^3$ a λ -soliton.

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 Then, the family of translations of ψ in the v direction given by F(p, t) = ψ(p) + tv is the solution of the geometric flow

$$\left(\frac{\partial F}{\partial t}\right)^{\perp} = (H_{\Sigma} - \lambda)N,$$

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• Thus,

 Σ is a λ -soliton $\iff \Sigma$ is a self-translating soliton of the mean curvature flow with a constant forcing term.

Characterizations of $\lambda\text{-solitons}$

Summing up, we get the following result.

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Proposition

The following conditions are equivalent:

- 1. Σ is a λ -soliton.
- 2. Σ has constant weighted mean curvature $H_{\phi_v} = \lambda$ for the density $e^{\phi} \in C^{\infty}(\mathbb{R}^3)$, where $\phi_v(x) = \langle x, v \rangle$.
- 3. Σ is a critical point of \mathcal{A}_{ϕ_v} under compactly supported variations preserving the enclosed weighted volume.
- 4. Σ is a critical point under compactly supported variations of the functional $L_{\phi_{\nu}} := A_{\phi_{\nu}} \lambda \mathcal{V}_{\phi_{\nu}}$.
- 5. Σ is a self-translating soliton of the mean curvature flow with a constant forcing term.

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Classification of rotational λ -solitons

Theorem 1 (López, Bueno-Ortiz)

The rotational $\lambda\text{-solitons}$ are given by:

- Either a vertical cylinder of radius $r_{\lambda} = 1/\lambda$,
- or one of the resulting surfaces after rotate the following profile curves around the axis x₃.



Rotational $\lambda\text{-solitons}$ obtained rotating the profile curves



Rotational λ -solitons intersecting the rotation axis.

Rotational λ -solitons non-intersecting the rotation axis.

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Definition (Cylindrical surface)

A surface $\Sigma \subset \mathbb{R}^3$ is cylindrical if it can be parametrized by

$$\psi(s,t) = \alpha(s) + ta, \quad a \in \mathbb{R}^3, \ |a| = 1.$$
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where α is a curve, called the **base curve**, contained in a 2-dimensional plane Π orthogonal to the ruling direction *a*.

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- Thus, by (4.1) Σ can be parametrized as Ψ(s, t) = (α₁(s), t, α₃(s)).
- So, (4.2) can be written as

$$\kappa_{\alpha}(s) = \alpha'_1(s) + \lambda \Rightarrow \theta'(s) = \cos \theta(s) + \lambda.$$

Classification of cylindrical λ -solitons

Theorem 2 (López, Bueno-Ortiz)

The base curve of a cylindrical λ -soliton parametrized by $\Psi(s, t) = (\alpha_1(s), t, \alpha_3(s))$ has the following behavior:



- Not embedded, not closed.
- Periodic (x_1 -axis), $T = 2\pi/\sqrt{\lambda^2 - 1}$



- Symmetric (*x*₃-axis).
- Unique self-intersection point.
- $egin{array}{ll} n_lpha o (0,0,-1) \ {
 m as} \ |s| o \infty. \end{array}$



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- $n_{\alpha} \rightarrow (\pm \sqrt{1-\lambda^2}, 0, -\lambda).$

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The explicit parametrizations of the base curves of a cilyndrical $\lambda\text{-soliton}$ are:

• Case $\lambda > 1$:

$$\alpha(s) = \left(-\lambda s + 2\arctan\left(\sqrt{\frac{\lambda+1}{\lambda-1}}\tan(\frac{s}{2}\sqrt{\lambda^2-1})\right), 0, \log(\lambda - \cos(s\sqrt{\lambda^2-1}))\right).$$

• Case
$$\lambda = 1$$
:
 $\alpha(s) = \left(-s + 2 \arctan(s), 0, \log(1 + s^2)\right).$

• Case $\lambda < 1$: $\alpha(s) = \left(-\lambda s + 2 \arctan\left(\sqrt{\frac{1+\lambda}{1-\lambda}} \tanh(\frac{s}{2}\sqrt{1-\lambda^2})\right), 0, \log(-\lambda + \cosh(s\sqrt{1-\lambda^2})\right).$

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- A CMC surface Σ is strongly stable if $\mathcal{A}''(0) \ge 0, \forall u \in C_0^{\infty}(\Sigma)$.
- A CMC surface Σ is stable if $\mathcal{A}''(0) \ge 0, \forall u \in C_0^{\infty}(\Sigma)$ s.t. $\int_{\Sigma} u d\Sigma = 0.$

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Second variation of the weighted area functional:

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We define the stability for λ -solitons by **analogy with CMC surfaces**.

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Example

Planes are strongly stable since |A| = 0.

First stability results of λ -solitons

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• In the particular case $\lambda = 0$ we can drop the assumption on the constancy of the weighted volume.



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• It is known as Plateau-Rayleigh instability phenomenon.

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Given $[a, b] \subset I$, does there exist $L_0 > 0$ s.t. Σ^* is unstable for any $L > L_0$?

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Let Σ be a cylindrical translating λ -soliton.

1. Case $\lambda > 1$. Let be $s_0 = T/2$, where T denotes the period of α . Then, $\Sigma^* = \Sigma(-s_0 + \sigma, s_0 + \sigma, L)$ with $\sigma \in [0, s_0]$ is unstable if

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$$1 > 1_0 = \frac{4\pi}{4\pi}$$

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2. Case $\lambda = 1$. $\Sigma^* = \Sigma(-\sigma, \sigma, L)$ with $\sigma > s_0 \sim 1.0213$ is unstable if

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3. Case $\lambda < 1$. We have numerical evidences of instability.

• To get the results, we must find a test function $u \in C_0^\infty(\Sigma^*)$ s.t.

$$Q_{\phi}(u) < 0, \ u = 0 ext{ at } \partial \Sigma^* \ \int_{\Sigma^*} u d\Sigma_{\phi} = 0,$$

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Q_φ(u) = ½ ∫_a^b (f'² - ((α'₁ + λ)² - ^{4π²}/_{L²}) f²) e^{α₃(s)}ds, since
⟨∇u, v⟩ = f'g'α'₃,
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- How to define f(s)?



• α is periodic with $T = 2\pi/\sqrt{\lambda^2 - 1}$, $s_0 = T/2$.



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$$Q_{\phi}(u) = \frac{\pi}{8L\sqrt{\lambda^2-1}} \left(16\pi^2 - 3L^2\sqrt{\lambda^2-1}(\lambda + \cos(\sigma\sqrt{\lambda^2-1}))\right).$$



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• Equaling to 0 the above parenthesis we get the expected result.



• α is a graph in (-1, 1) and its projection on the x_1 -axis is $(1 - \frac{\pi}{2}, -1 + \frac{\pi}{2})$. Thus, for any $s_0 < 1$, $\Sigma(s_0; L)$ is stable.



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• We give a numerical analysis with $f(s) = \cos\left(\frac{\pi s}{2s_0}\right) e^{-\alpha_3(s)/2}$.

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Corollary 6 (Bueno, López, Ortiz)

Let Σ be a $\lambda\text{-soliton}.$ Then, for any case of $\lambda,\,\Sigma^*$ is strongly stable if

$$L > L_0/2$$
.

where L_0 is the corresponding bound of Theorem 5 depending on the value of λ .

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Thank you very much for your attention!