

# Spacelike hypersurfaces in the light cone of the Lorentz-Minkowski spacetime

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The results I am going to introduce in this talk have been obtained in collaboration with the following colleagues:

- ★ Verónica L. Cánovas, from Universidad de Murcia (Spain).
- ★ Marco Rigoli, from Università degli Studi di Milano (Italy).
- They were motivated by previous work by Palomo and Romero (On spacelike surfaces in four-dimensional Lorentz-Minkowski spacetime through a light cone, Proc. R. Soc. Edinb. A 143 (2013), 881–892)
- Our results can be found in the following paper: Codimension two spacelike submanifolds of the Lorentz-Minkowski spacetime into the light cone, Proc. R. Soc. Edinb. A 149 (2019), 1523–1553.
- They were also part of Veronica's PhD thesis.

# The Lorentz-Minkowski spacetime

- Let  $\mathbb{L}^{n+2}$  be the  $(n+2)$ -dimensional Lorentz-Minkowski space, endowed with the Lorentzian metric

$$\langle , \rangle = -(dx_1)^2 + (dx_2)^2 + \cdots + (dx_{n+2})^2, \quad x = (x_1, x_2, \dots, x_{n+2})$$

- Consider on  $\mathbb{L}^{n+2}$  the **time-orientation** induced by the globally defined unit timelike vector field

$$\mathbf{e}_1 = (1, 0, \dots, 0).$$

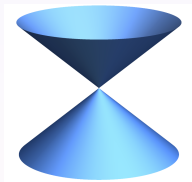
- Let  $\Sigma$  be a **codimension-two** spacelike submanifold immersed in  $\mathbb{L}^{n+2}$ . That is,  $\Sigma$  is an  $n$ -dimensional connected manifold admitting a smooth immersion  $\psi : \Sigma \rightarrow \mathbb{L}^{n+2}$  such that the induced metric on  $\Sigma$  is Riemannian.
- In this talk, we are interested in the case where  $\Sigma$  is contained into the **light cone** of  $\mathbb{L}^{n+2}$ .

# The light cone of the Lorentz-Minkowski space

## Light cone of the Lorentz-Minkowski space

The **light cone** in  $\mathbb{L}^{n+2}$  is the degenerate hyperquadric

$$\Lambda = \{x = (x_1, \dots, x_{n+2}) \in \mathbb{L}^{n+2} : \langle x, x \rangle = 0, x \neq \mathbf{0}\}.$$



- Geometrically,  $\Lambda$  corresponds to the subset of all points of the Lorentz-Minkowski space which can be reached from the origin  $\mathbf{0}$  through a null geodesic starting at  $\mathbf{0}$ .
- The **future** component of  $\Lambda$  is

$$\Lambda^+ = \{x \in \mathbb{L}^{n+2} : \langle x, x \rangle = 0, x_1 > 0\}.$$

# Spacelike hypersurfaces of the light cone

- Let  $\psi : \Sigma^n \rightarrow \mathbb{L}^{n+2}$  be a codimension-two spacelike submanifold and assume that  $\psi(\Sigma)$  is contained into the **future** connected component of the **light cone**. When this happens, we will refer to  $\Sigma$  as a spacelike hypersurface of the light cone  $\Lambda^+$ .
- In this case, there always exists a globally defined future-pointing normal null frame  $\{\mathbf{k}_+, \mathbf{k}_-\}$  on  $\Sigma$ .
- Define the positive function  $u : \Sigma \rightarrow (0, +\infty)$  by

$$u = -\langle \psi, \mathbf{e}_1 \rangle = \psi_1 > 0.$$

## Future-pointing normal null frame for a hypersurface of the light cone

In these conditions

$$\mathbf{k}_+ = \psi \quad \text{and} \quad \mathbf{k}_- = -\frac{1 + \|\nabla u\|^2}{2u^2} \mathbf{k}_+ + \frac{1}{u} \mathbf{e}_1^\perp$$

gives two **future-pointing null normal** vector fields globally defined on  $\Sigma$  with  $\langle \mathbf{k}_+, \mathbf{k}_+ \rangle = \langle \mathbf{k}_-, \mathbf{k}_- \rangle = 0$  and  $\langle \mathbf{k}_+, \mathbf{k}_- \rangle = -1$ , where

$$\mathbf{e}_1 = \mathbf{e}_1^\top(p) + \mathbf{e}_1^\perp(p), \quad p \in \Sigma.$$

# The second fundamental form

## Second fundamental form and mean curvature vector

Let  $\mathbb{II} : \mathfrak{X}(\Sigma) \times \mathfrak{X}(\Sigma) \rightarrow \mathfrak{X}^\perp(\Sigma)$  be the vector valued **second fundamental form** of the submanifold, that is the symmetric tensor

$$\mathbb{II}(X, Y) = -(\bar{\nabla}_X Y)^\perp.$$

The **mean curvature vector field** of  $\Sigma$  is  $\mathbf{H} = \frac{1}{n} \text{trace}(\mathbb{II}) \in \mathfrak{X}^\perp(\Sigma)$ .

- As usual in relativity, we may decompose the second fundamental form into two scalar valued **null second fundamental forms**, the **Weingarten (or shape) operators** associated to  $\mathbf{k}_+$  and  $\mathbf{k}_-$ .
- That is, the symmetric operators  $A_{\mathbf{k}_+}, A_{\mathbf{k}_-} : \mathfrak{X}(\Sigma) \rightarrow \mathfrak{X}(\Sigma)$  given by

$$\langle A_{\mathbf{k}_+} X, Y \rangle = \langle \mathbb{II}(X, Y), \mathbf{k}_+ \rangle, \text{ and } \langle A_{\mathbf{k}_-} X, Y \rangle = \langle \mathbb{II}(X, Y), \mathbf{k}_- \rangle.$$

- Therefore, in terms of  $\{\mathbf{k}_+, \mathbf{k}_-\}$  we have  $\mathbf{H} = -\theta_- \mathbf{k}_+ - \theta_+ \mathbf{k}_-$ , where

$$\theta_+ = \frac{1}{n} \text{trace}(A_{\mathbf{k}_+}) \quad \text{and} \quad \theta_- = \frac{1}{n} \text{trace}(A_{\mathbf{k}_-})$$

define the **null mean curvatures** (or **null expansion scalars**) of  $\Sigma$ .

# Mean curvature vector for a hypersurface of the light cone

## Null shape operators for a submanifold into the light cone

The corresponding null second forms associated to the global null frame  $\{\mathbf{k}_+, \mathbf{k}_-\}$  are given by

$$A_{\mathbf{k}_+} = I \quad \text{and} \quad A_{\mathbf{k}_-} = -\frac{1 + \|\nabla u\|^2}{2u^2} I + \frac{1}{u} \nabla^2 u,$$

where  $\nabla^2 u$  is the Hessian operator of  $u$ .

- In particular, the null expansions are

$$\theta_+ = \frac{1}{n} \text{tr}(A_{\mathbf{k}_+}) = 1 > 0$$

and

$$\theta_- = \frac{1}{n} \text{tr}(A_{\mathbf{k}_-}) = \frac{2u\Delta u - n(1 + \|\nabla u\|^2)}{2nu^2},$$

where  $\Delta u$  is the Laplacian of  $u$ .

- Therefore, the mean curvature vector field of  $\Sigma$  is given by

$$H = -\frac{2u\Delta u - n(1 + \|\nabla u\|^2)}{2nu^2} \mathbf{k}_+ - \mathbf{k}_-.$$

# Totally umbilical hypersurfaces in $\Lambda^+$

- As a first main application of our approach, we derive a classification of the spacelike hypersurfaces of the light cone which are totally umbilical in  $\mathbb{L}^{n+2}$ .
- Recall that a submanifold  $\Sigma$  is said to be totally umbilical if it is umbilical with respect to all possible normal directions  $\zeta \in \mathfrak{X}^\perp(\Sigma)$ .
- Let  $\psi : \Sigma \rightarrow \Lambda^+ \subset \mathbb{L}^{n+2}$  be a spacelike hypersurface and consider  $\{\mathbf{k}_+, \mathbf{k}_-\}$  the associated normal null frame.
- We already know that  $A_{\mathbf{k}_+} = I$ , so that  $\Sigma$  is totally umbilical if and only if it is umbilical with respect to the normal direction  $\mathbf{k}_-$ .
- That is, if and only if there exists a smooth function  $\lambda$  such that  $A_{\mathbf{k}_-} = \lambda I$ , where  $I = \text{identity}$ .
- A standard computation using Codazzi equation implies that  $\lambda$  is constant.
- Define  $\mathbf{Q} = -\mathbf{k}_- + \lambda \mathbf{k}_+$ . Then for every  $X \in \mathfrak{X}(\Sigma)$

$$\bar{\nabla}_X \mathbf{Q} = -A_{\mathbf{k}_-} X + \lambda A_{\mathbf{k}_+} X = -\lambda X + \lambda X = 0,$$

which implies that  $\mathbf{Q} \in \mathbb{L}^{n+2}$  is a constant vector,  $\mathbf{Q} \neq 0$  with  $\langle \mathbf{Q}, \mathbf{Q} \rangle = 2\lambda$ .



- If  $\lambda \neq 0$ , define  $\tau = 1/\sqrt{2|\lambda|} > 0$  and let  $\mathbf{a} = \tau \mathbf{Q} \in \mathbb{L}^{n+2}$ .
- Then  $\langle \mathbf{a}, \mathbf{a} \rangle = c = \pm 1$  and  $\langle \psi, \mathbf{a} \rangle = \tau$ , which means that

$$\psi(\Sigma) \subset \Sigma(\mathbf{a}, \tau) = \{x \in \Lambda^+ : \langle x, \mathbf{a} \rangle = \tau\}.$$

- On the other hand, if  $\lambda = 0$ , let  $\mathbf{a} = \mathbf{Q} = -\mathbf{k}_- \in \mathbb{L}^{n+2}$ .
- Then  $\langle \mathbf{a}, \mathbf{a} \rangle = c = 0$  and  $\langle \psi, \mathbf{a} \rangle = 1$ , which means that

$$\psi(\Sigma) \subset \Sigma(\mathbf{a}, 1) = \{x \in \Lambda^+ : \langle x, \mathbf{a} \rangle = 1\}.$$

### Theorem 1

The only spacelike hypersurfaces of the light cone  $\Lambda^+$  which are totally umbilical in  $\mathbb{L}^{n+2}$  are open pieces of the following submanifolds

$$\Sigma(\mathbf{a}, \tau) = \{x \in \Lambda^+ : \langle x, \mathbf{a} \rangle = \tau\}$$

with  $\mathbf{a} \in \mathbb{L}^{n+2}$ ,  $\mathbf{a} \neq 0$ ,  $\langle \mathbf{a}, \mathbf{a} \rangle = c \in \{-1, 0, 1\}$ , with  $\tau \in (0, +\infty)$  if  $c = \pm 1$  and  $\tau = 1$  if  $c = 0$ .

- It is not difficult to see that if  $c = 0$  then  $\Sigma(\mathbf{a}, 1)$  is isometric to the flat Euclidean space  $\mathbb{R}^n$ .
- On the other hand, when  $c = -1$ ,  $\Sigma(\mathbf{a}, \tau)$  is isometric to the Euclidean sphere  $\mathbb{S}^n(\tau)$  with constant sectional curvature  $1/\tau^2$ .
- Finally, when  $c = 1$ ,  $\Sigma(\mathbf{a}, \tau)$  is isometric to the hyperbolic space  $\mathbb{H}^n(\tau)$  with constant sectional curvature  $-1/\tau^2$ .
- In particular, the only compact spacelike hypersurfaces of  $\Lambda^+$  which are totally umbilical in  $\mathbb{L}^{n+2}$  are the submanifolds  $\Sigma(\mathbf{a}, \tau)$  with  $\langle \mathbf{a}, \mathbf{a} \rangle = -1$ .

# Compactness of hypersurfaces into the light cone

- We have the following compactness criteria for a spacelike hypersurface of the light cone, under an appropriate bound on the growth of the function  $u$ .

## Proposition 1

Let  $\psi : \Sigma^n \rightarrow \Lambda^+ \subset \mathbb{L}^{n+2}$  be a spacelike hypersurface of the light cone  $\Lambda^+$ . Assume that  $\Sigma$  is complete and that the positive function  $u = -\langle \psi, \mathbf{e}_1 \rangle$  satisfies

$$u(p) \leq C r(p) \log(r(p)), \quad r(p) \gg 1$$

where  $C$  is a positive constant and  $r$  denotes the Riemannian distance function from a fixed origin  $o \in \Sigma$ . Then  $\Sigma$  is compact and conformally diffeomorphic to the sphere  $\mathbb{S}^n$ . In particular, this holds if  $\sup_{\Sigma} u < +\infty$  and, more generally, if  $\limsup_{r \rightarrow +\infty} \frac{u}{r \log(r)} < +\infty$ .

- For the proof of Proposition 1 we need the following technical lemma about completeness of conformal metrics, which is a slight generalization of Lemma 5.2 in [AMc]<sup>2</sup>

### Lemma 1

Let  $g$  be a complete metric on a Riemannian manifold  $\Sigma$  and let  $r$  denote the Riemannian distance function from a fixed origin  $o \in \Sigma$ . If a function  $w$  satisfies

$$w^{2/(n-2)}(p) \geq \frac{C}{r(p) \log(r(p))}, \quad r(p) \gg 1,$$

with  $C$  a positive constant, then the conformal metric  $\tilde{g} = w^{4/(n-2)}g$  is also complete.

- In [AMc] the result is stated under the stronger hypothesis

$$w^{2/(n-2)}(p) \geq \frac{C}{r(p)}, \quad r(p) \gg 1,$$

but the proof is similar under our weaker hypothesis.

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<sup>2</sup>[AMc] P. Aviles and R.C. McOwen, Conformal deformations to constant negative scalar curvature on noncompact Riemannian manifolds. JDG 27 (1988), 225–239

# Proof of Proposition 1

- Let  $\psi : \Sigma^n \rightarrow \Lambda^+ \subset \mathbb{L}^{n+2}$  be a spacelike hypersurface of  $\Lambda^+$ .
- Then  $\psi(p) = (u(p), \psi_2(p), \dots, \psi_{n+2}(p))$  with

$$\sum_{i=2}^{n+2} \psi_i^2(p) = u^2(p) > 0.$$

- Define the function  $\Psi : \Sigma^n \rightarrow \mathbb{S}^n$  by

$$\Psi(p) = \frac{1}{u(p)}(\psi_2(p), \dots, \psi_{n+2}(p)).$$

- Then we can see that

$$\langle d\Psi_p(\mathbf{v}), d\Psi_p(\mathbf{w}) \rangle_0 = \frac{1}{u^2(p)} \langle \mathbf{v}, \mathbf{w} \rangle$$

for every  $p \in \Sigma$  and  $\mathbf{v}, \mathbf{w} \in T_p\Sigma$ , where  $\langle \cdot, \cdot \rangle_0$  denotes the standard metric of the round sphere.

- In particular,  $\Psi : (\Sigma, \langle, \rangle) \rightarrow (\mathbb{S}^n, \langle, \rangle_0)$  is **conformal map** and a **local diffeomorphism**.
- Assume now that  $\Sigma$  is **complete** (that is,  $\langle, \rangle$  is a complete Riemannian metric on  $\Sigma$ ) and  $u \leq Cr \log(r)$ ,  $r \gg 1$ .
- Therefore, by Lemma 1 applied to the function  $w = u^{-(n-2)/2}$ , we know that the conformal metric  $\widetilde{\langle, \rangle} = \frac{1}{u^2} \langle, \rangle$  is also complete on  $\Sigma$ .
- Then, the map

$$\Psi : (\Sigma^n, \widetilde{\langle, \rangle}) \rightarrow (\mathbb{S}^n, \langle, \rangle_0)$$

is a **local isometry** between **complete** Riemannian manifolds.

- Hence,  $\Psi$  is a **covering map**, but  $\mathbb{S}^n$  being **simply connected** this means that  $\Psi$  is in fact a **global diffeomorphism**.

# Compact spacelike hypersurfaces in $\Lambda^+$

## Example 1

- For each **positive** smooth function  $f : \mathbb{S}^n \rightarrow (0, +\infty)$ , consider the embedding  $\psi_f : \mathbb{S}^n \rightarrow \Lambda^+ \subset \mathbb{L}^{n+2}$  given by

$$\psi_f(p) = (f(p), f(p)p).$$

- It is not difficult to see that for every  $\mathbf{v}, \mathbf{w} \in T_p \mathbb{S}^n$

$$\langle d(\psi_f)_p(\mathbf{v}), d(\psi_f)_p(\mathbf{w}) \rangle = f^2(p) \langle \mathbf{v}, \mathbf{w} \rangle_0.$$

- That is  $\psi_f^*(\langle, \rangle) = f^2 \langle, \rangle_0$ , which means that  $\psi_f$  defines a **spacelike immersion** of  $\mathbb{S}^n$  into  $\Lambda^+$  with induced metric **conformal to**  $\langle, \rangle_0$ .
- We already know that  $\theta_{\mathbf{k}_+} = 1$ .
- Moreover, we can also compute  $\theta_{\mathbf{k}_-}$  to see that

$$\theta_{\mathbf{k}_-} = \frac{2f \Delta_0 f + (n-4) \|\nabla^0 f\|_0^2 - nf^2}{2nf^4},$$

where  $\|\cdot\|_0^2$ ,  $\nabla^0$  and  $\Delta_0$  denote the norm, the gradient and the Laplacian on  $\mathbb{S}^n$  with respect to the standard metric  $\langle, \rangle_0$ .

As a consequence of Proposition 1, we observe that every compact spacelike hypersurface in  $\Lambda^+$  is, up to a conformal diffeomorphism, as in Example 1.

## Theorem 2

Let  $\psi : \Sigma^n \rightarrow \Lambda^+ \subset \mathbb{L}^{n+2}$  be a compact spacelike hypersurface of the light cone  $\Lambda^+$ . There exists a **conformal diffeomorphism**

$$\Psi : (\Sigma^n, \langle, \rangle) \rightarrow (\mathbb{S}^n, \langle, \rangle_0) \quad \text{such that} \quad \langle, \rangle = u^2 \Psi^*(\langle, \rangle_0),$$

with  $u = -\langle \psi, \mathbf{e}_0 \rangle = \psi_1 > 0$ , and  $\psi = \psi_f \circ \Psi$  where  $f = u \circ \Psi^{-1}$ .

$$\begin{array}{ccc} \Sigma^n & \xrightarrow{u} & (0, +\infty) \\ \Phi \updownarrow \Psi & \nearrow f & \\ \mathbb{S}^n & & \end{array}$$

$$\begin{array}{ccc} \Sigma^n & \xrightarrow{\psi} & \Lambda^+ \subset \mathbb{L}^{n+2} \\ \Phi \updownarrow \Psi & \nearrow \psi_f & \\ \mathbb{S}^n & & \end{array}$$

In particular, the immersion  $\psi$  is an **embedding**.



# Proof of Theorem 2

- For the proof of Theorem 2, simply consider  $u$  and  $\Psi$  as in the proof of Proposition 1, and recall that in this situation

$$\Psi : (\Sigma^n, \langle, \rangle) \rightarrow (\mathbb{S}^n, \langle, \rangle_0)$$

is a conformal diffeomorphism with

$$\Psi^*(\langle, \rangle_0) = \frac{1}{u^2} \langle, \rangle.$$

- Let  $\Phi : \mathbb{S}^n \rightarrow \Sigma^n$  be the inverse of  $\Psi$ .
- Then taking  $f = u \circ \Phi$  one has  $f \circ \Psi = u$  and  $\psi = \psi_f \circ \Psi$ , since

$$\begin{aligned} \psi_f \circ \Psi(p) &= (f(\Psi(p)), f(\Psi(p))\Psi(p)) \\ &= (u(p), \psi_2(p), \dots, \psi_{n+2}(p)) \\ &= \psi(p). \end{aligned}$$

# Trapped submanifolds in the Lorentz-Minkowski space

A codimension-two spacelike submanifold  $\Sigma$  in the Lorentz-Minkowski space is said to be

- **Future (past) trapped** if  $\mathbf{H}$  is **timelike and future-pointing** (past-pointing) on  $\Sigma$ .
- **Future (past) marginally trapped** if  $\mathbf{H}$  is **null and future-pointing** (past-pointing) on  $\Sigma$ .
- **Future (past) weakly trapped** if  $\mathbf{H}$  is **causal and future-pointing** (past-pointing) on  $\Sigma$ .
- The extreme case  $\mathbf{H} = 0$  corresponds to a **minimal** submanifold.

Recall that, in terms of a null frame  $\{\mathbf{k}_+, \mathbf{k}_-\}$  on  $\Sigma$ , we have

$$\mathbf{H} = -\theta_- \mathbf{k}_+ - \theta_+ \mathbf{k}_-.$$

In particular

$$\langle \mathbf{H}, \mathbf{H} \rangle = -2\theta_+ \theta_-.$$

Therefore, since  $\langle \mathbf{H}, \mathbf{H} \rangle = -2\theta_+\theta_-$ , we have that

- $\Sigma$  is a trapped submanifold if and only if
  - i) either both  $\theta_+ < 0$  and  $\theta_- < 0$  (future trapped),
  - ii) or both  $\theta_+ > 0$  and  $\theta_- > 0$  (past trapped).
- $\Sigma$  is a marginally trapped submanifold if and only if
  - i) either  $\theta_+ = 0$  and  $\theta_- \neq 0$  (marginally future trapped if  $\theta_- < 0$  and marginally past trapped if  $\theta_- > 0$ ),
  - ii) or  $\theta_+ \neq 0$  and  $\theta_- = 0$  (marginally future trapped if  $\theta_+ < 0$  and marginally past trapped if  $\theta_+ > 0$ ).
- $\Sigma$  is a weakly trapped submanifold if and only if
  - i) either both  $\theta_+ \leq 0$  and  $\theta_- \leq 0$  with  $\theta_+^2 + \theta_-^2 > 0$  (weakly future trapped),
  - ii) or both  $\theta_+ \geq 0$  and  $\theta_- \geq 0$  with  $\theta_+^2 + \theta_-^2 > 0$  (weakly past trapped).
- This was the original formulation of trapped surfaces given by Penrose (1965) in terms of the signs or the vanishing of the null expansions.

# Trapped submanifolds into $\Lambda^+$

Recall that for a spacelike hypersurface  $\Sigma$  of the light cone  $\Lambda^+$  we already know that  $\theta_+ = \frac{1}{n} \text{tr}(A_{\mathbf{k}_+}) = 1 > 0$  and

$$\theta_- = \frac{1}{n} \text{tr}(A_{\mathbf{k}_-}) = \frac{2u\Delta u - n(1 + \|\nabla u\|^2)}{2nu^2}.$$

## Corollary 1

Let  $\psi : \Sigma^n \rightarrow \Lambda^+ \subset \mathbb{L}^{n+2}$  be a spacelike hypersurface of the light cone of the Lorentz-Minkowski space.

- $\Sigma$  is (necessarily past) marginally trapped if and only if  $u = -\langle \psi, \mathbf{e}_0 \rangle$  satisfies the differential equation

$$2u\Delta u - n(1 + \|\nabla u\|^2) = 0 \quad \text{on } \Sigma.$$

- $\Sigma$  is (necessarily past) weakly trapped if and only if  $u = -\langle \psi, \mathbf{e}_0 \rangle$  satisfies the differential inequality

$$2u\Delta u - n(1 + \|\nabla u\|^2) \geq 0 \quad \text{on } \Sigma.$$

- On the other hand, it follows from the Gauss equation that the Ricci and the scalar curvatures of  $\Sigma$  are given by

$$\text{Ric}(X, Y) = (n-1)\langle \mathbf{H}, \mathbf{H} \rangle \langle X, Y \rangle + \frac{n-2}{nu} (\Delta u \langle X, Y \rangle - n \text{Hess } u(X, Y)),$$

and

$$\text{Scal} = n(n-1)\langle \mathbf{H}, \mathbf{H} \rangle = -(n-1) \frac{2u\Delta u - n(1 + \|\nabla u\|^2)}{u^2}.$$

### Corollary 2

Let  $\psi : \Sigma^n \rightarrow \Lambda^+ \subset \mathbb{L}^{n+2}$  be a spacelike hypersurface of the light cone of the Lorentz-Minkowski space. Let  $u$  be the positive function  $u = -\langle \psi, \mathbf{e}_1 \rangle$ . The following are equivalent:

- $\Sigma$  is marginally (resp. weakly) trapped.
- $u$  satisfies the differential equation (resp. inequality) on  $\Sigma$

$$2u\Delta u - n(1 + \|\nabla u\|^2) = 0 \quad (\text{resp. } \geq 0).$$

- $\Sigma$  has zero scalar curvature,  $\text{Scal} = 0$  (resp.  $\text{Scal} \leq 0$ ).

# Examples of trapped hypersurfaces in the light cone $\Lambda^+$

Example 2 (B.Y. Chen and J. Van der Veken, HJM 36 (2010), 421–449)

- Let  $\psi : \mathbb{R}^n \rightarrow \Lambda^+ \subset \mathbb{L}^{n+2}$  be the map given by

$$\psi(p) = \left( \frac{\|p\|^2 + 1}{2}, \frac{\|p\|^2 - 1}{2}, p \right), \quad u(p) = \frac{\|p\|^2 + 1}{2}.$$

- It is not difficult to see that for every  $\mathbf{v}, \mathbf{w} \in T_p\mathbb{R}^n$ ,

$$\langle d\psi_p(\mathbf{v}), d\psi_p(\mathbf{w}) \rangle = \langle \mathbf{v}, \mathbf{w} \rangle_{\mathbb{R}^n}.$$

- That is  $\psi^*(\langle, \rangle) = \langle, \rangle_{\mathbb{R}^n}$ , which means that  $\psi$  is an isometric immersion of  $(\mathbb{R}^n, \langle, \rangle_{\mathbb{R}^n})$  into  $\Lambda^+ \subset \mathbb{L}^{n+2}$ .
- In particular,  $\nabla u(p) = \nabla^{\mathbb{R}^n} u(p) = p$  and  $\Delta u(p) = \Delta_{\mathbb{R}^n} u(p) = n$ , and  $u$  satisfies

$$2u\Delta u - n(1 + \|\nabla u\|^2) = n(\|p\|^2 + 1) - n(1 + \|p\|^2) = 0$$

which means  $\psi$  is a marginally trapped immersion of  $\mathbb{R}^n$  into  $\Lambda^+$ .

Example 3 (B.Y. Chen and J. Van der Veken, HJM 36 (2010), 421–449)

- Let  $\phi : (0, +\infty) \times \mathbb{H}^{n-1} \rightarrow \Lambda^+ \subset \mathbb{L}^{n+2}$  be the map given by

$$\psi(t, p) = (p, \cos(t), \sin(t)), \quad u(p) = p_1.$$

- It is not difficult to see that  $\phi^*(\langle, \rangle) = dt^2 + \langle, \rangle_{\mathbb{H}^{n-1}}$ , which means that  $\phi$  gives an isometric immersion of the Riemannian product manifold  $(0, +\infty) \times \mathbb{H}^{n-1}$  into  $\Lambda^+ \subset \mathbb{L}^{n+2}$ .
- In particular, and after some computations, we have

$$\|\nabla u\|^2 = -1 + u^2 \quad \text{and} \quad \Delta u = (n-1)u,$$

which implies that

$$2u\Delta u - n(1 + \|\nabla u\|^2) = (n-2)u^2 \geq 0.$$

- Therefore,  $\Sigma$  is a weakly trapped submanifold, and it is marginally trapped if, and only if  $n = 2$ .

# Non-existence of weakly trapped hypersurfaces in $\Lambda^+$

## Proposition 2

There exists no compact hypersurface in  $\Lambda^+$  which is weakly trapped in  $\mathbb{L}^{n+2}$ .

- The proof of Proposition 2 follows from that fact that

$$\langle \mathbf{H}, \mathbf{H} \rangle = -\frac{2u\Delta u - n(1 + \|\nabla u\|^2)}{nu^2}.$$

- Assume that  $\Sigma$  is compact and let  $p_0 \in \Sigma$  a point where  $u$  attains its maximum.
- At the point  $p_0$  we have  $\|\nabla u(p_0)\| = 0$  and  $\Delta u(p_0) \leq 0$ , so that

$$\langle \mathbf{H}, \mathbf{H} \rangle(p_0) = \frac{n - 2u(p_0)\Delta u(p_0)}{nu^2(p_0)} \geq \frac{1}{u^2(p_0)} > 0$$

what implies that  $\mathbf{H}(p_0)$  is spacelike and  $\Sigma$  cannot be weakly trapped.



# Non-existence of weakly trapped hypersurfaces in $\Lambda^+$

As a consequence, using our compactness result for spacelike hypersurfaces of the light cone given in Proposition 1, we have

## Corollary 3

There exists no complete hypersurface in  $\Lambda^+$  which is weakly trapped in  $\mathbb{L}^{n+2}$  for which the positive function  $u = -\langle \psi, \mathbf{e}_1 \rangle$  satisfies

$$u \leq Cr \log r, \quad r \gg 1.$$

In particular, there is no complete hypersurface in  $\Lambda^+$  which is weakly trapped in  $\mathbb{L}^{n+2}$  for which the positive function  $u$  is bounded from above.

More generally, with the aid of the weak maximum principle we can extend this non-existence result to the case of **stochastically complete** hypersurfaces as follows

## Theorem 3

There exists no stochastically complete hypersurface in  $\Lambda^+$  which is weakly trapped in  $\mathbb{L}^{n+2}$  for which the positive function  $u$  is bounded from above.

# Stochastic completeness and the weak maximum principle

- The **weak maximum principle** is said to hold on  $\Sigma$  if, for any  $u \in \mathcal{C}^2(\Sigma)$  with  $\sup_{\Sigma} u = u^* < +\infty$  there is a sequence  $\{p_k\}_{k \in \mathbb{N}}$  in  $\Sigma$  with

$$(i) \quad u(p_k) > u^* - \frac{1}{k}, \quad \text{and} \quad (ii) \quad \Delta u(p_k) < \frac{1}{k}.$$

- Pigola, Rigoli and Setti (2003) proved that the weak maximum principle holds on a (non-necessarily complete) Riemannian manifold  $\Sigma$  if and only if  $\Sigma$  is **stochastically complete**.
- We recall that  $\Sigma$  is said to be stochastically complete if its Brownian motion is stochastically complete, i.e., the probability of a particle to be found in the state space is constantly equal to 1.
- This is equivalent (among other conditions) to the fact that for every  $\lambda > 0$ , the only non-negative bounded smooth solution  $u$  of  $\Delta u \geq \lambda u$  on  $\Sigma$  is the constant  $u = 0$ .
- In particular, every **parabolic** manifold is stochastically complete. Hence, the weak max principle holds on every parabolic manifold.

# Proof of Theorem 3

- Let  $\psi : \Sigma^n \rightarrow \Lambda^+ \subset \mathbb{L}^{n+2}$  be a stochastically complete hypersurface in  $\Lambda^+$  which is weakly trapped in  $\mathbb{L}^{n+2}$ .
- Consider  $u = -\langle \psi, \mathbf{e}_1 \rangle$  as usual, which satisfies

$$2u\Delta u - n(1 + \|\nabla u\|^2) \geq 0. \quad (1)$$

- Suppose that  $u^* = \sup_{\Sigma} u < +\infty$ . Since  $\Sigma$  is stochastically complete, by the weak maximum principle there exists a sequence  $\{p_k\}_{k \in \mathbb{N}} \subset \Sigma$  with

$$\Delta u(p_k) < \frac{1}{k} \quad \text{for every } k \in \mathbb{N}$$

- Putting this into (1) we obtain

$$n \leq n(1 + \|\nabla u(p_k)\|^2) \leq 2u(p_k)\Delta u(p_k) < 2\frac{u(p_k)}{k},$$

and making  $k \rightarrow +\infty$  we get

$$n \leq 0$$

which is not possible.

That's all !!

Thank you very much for your attention