

Dimension groups, orbit equivalence and eigenvalues

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Workshop on substitutions and tiling spaces-Vienna

September, 26-30, 2017

Dye's theorem

Any two non atomic ergodic (invertible, bi-measurable) dynamical systems $(X_1, \mathcal{B}_1, \mu_1, T_1)$ and $(X_2, \mathcal{B}_2, \mu_2, T_2)$ are orbit equivalent:

There exists an invertible, bi-measurable, measure preserving map $\phi : X_1 \rightarrow X_2$ satisfying:

$$\phi(\mathcal{O}(T(x))) = \mathcal{O}(\phi(T(x)))$$

for μ_1 -a.e. $x \in X_1$.

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Corollary

There is an unique class of measurable orbit equivalence.

Topological framework

Framework : (X, T) minimal Cantor system.

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▶ (X, T) **O.E.** (Y, S) :

$$\exists h : X \xrightarrow{\text{homeo.}} Y; \quad h(\mathcal{O}_T(x)) = \mathcal{O}_S(h(x))$$

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- ▶ (X, T) **S.O.E.** (Y, S) :
the Cocycle map has **just one point of discontinuity**.

(Strong) Orbit Equivalence

[Giordano, Putnam, Skau, '95]

▶ (X, T) **S.O.E.** (Y, S) **iff**

$$(K^0(X, T), K^{0+}(X, T), [1_X]) \simeq (K^0(Y, S), K^{0+}(Y, S), [1_Y]).$$

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There is uncountably many class of (strong) orbit equivalence.

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Question:

What are the dynamical properties perserved under OE or SOE ?

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Theorem (Sugisaki96, Ormes97, Boyle-Handelman94)

Within a SOE class any entropy is possible.

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Corollary

Within a SOE class, if one system is uniquely ergodic, then all systems of this class are uniquely ergodic.

Eigenvalues

$\lambda = \exp(2i\pi\alpha)$ **eigenvalue** of (X, T, μ) :

$$f(Tx) = \lambda f(x), \mu - \text{a.e. } x \in X, \quad f \in L^2(\mu)$$

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Continuous eigenvalue if $f \in C(X)$

Group of eigenvalues

Theorem (Ormes97)

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Corollary

Within a SOE class, if some system has a non trivial root of unity as continuous eigenvalue, then this class has no weakly mixing systems.

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Theorem (Itza-Ortiz09, Bressaud-Durand-Maass10, Cortez-Durand-Petite16)

$$E(X, T) \subset I(X, T)$$

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Question: What are the subgroup of $I(X, T)$ that can be realized as a $E(Y, S)$?

For the sturmian case: Can we realize $\mathbb{Z} + 2\alpha\mathbb{Z}$?

Main results

Answer: NO!

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Theorem (Cortez-Durand-Petite16)

Let (X, T) be a minimal Cantor system such that infinitesimal $f \in C(X, \mathbb{Z})$ are coboundaries. Then

$$I(X, T)/E(X, T)$$

is torsion free.

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Theorem (Giordano-Handelman-Hosseini17)

They deleted the condition on infinitesimals.

Dimension Group: (G, G^+)

► **A Countable Partially Ordered Abelian Group with:**

(i) $G^+ + G^+ \subset G^+$,

(ii) $G^+ - G^+ = G$,

(iii) $G^+ \cap -G^+ = \{0\}$,

(iv) $a \in G$ and $na \in G^+$, $n \in \mathbb{N}$ then $a \in G^+$.

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▶ **and should satisfy the Riesz interpolation Property:**

$$\forall a_1, a_2, b_1, b_2, a_i \leq b_j, i, j = 1, 2 \quad \exists c; a_i \leq c \leq b_j.$$

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Example:

- any lattice ordered group, \mathbb{Z}^r .
- any countable dense subgroup of \mathbb{R}^n with the relative ordering.

Exotic example of dimension group

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Partial ordering on K :

Exotic example of dimension group

G : set of real algebraic numbers

Partial ordering on K : for $a, b \in K$, we set $a \prec b$ if and only if $a - b$ is a root of a polynomial $p(x) \in \mathbb{R}[x]$ which is a finite sum of squares of other polynomials, $p(x) = \sum_{i=1}^m q_i(x)^2$

$(G, G^+, 1)$ is a dimension group

- [G. Elliott, '76] Any Dimension group is a direct limit of lattice ordered groups and positive homomorphisms,

$$G = \varinjlim_n \mathbb{Z}^{r(n)} \xrightarrow{M_n} \mathbb{Z}^{r(n+1)}.$$

$$\dots \longrightarrow \mathbb{Z}^{r(i)} \xrightarrow{M_i} \mathbb{Z}^{r(i+1)} \xrightarrow{M_{i+1}} \dots \longrightarrow \mathbb{G}$$

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Direct limit:

$$G = \prod_i (\mathbb{Z}^{r(i)} \times \{i\}) / \sim \quad \text{and} \quad [g, i] \sim [h, j] \quad \text{iff}$$

$$\exists k > i, j; \quad M_i \circ M_{i+1} \circ \dots \circ M_k(g) = M_j \circ M_{j+1} \circ \dots \circ M_k(h).$$

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- ▶ So we have a **Bratteli diagram**.

Example:



$$\mathbb{Z} \xrightarrow{\times 2} \mathbb{Z} \xrightarrow{\times 2} \dots \longrightarrow \mathbb{G} \simeq \mathbb{Z}[\frac{1}{2}].$$

$$[b, m] \sim [a, n], m \leq n \Leftrightarrow 2^{k-m}b = 2^{k-n}a \Leftrightarrow b = \frac{a}{2^{n-m}}.$$

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$$\mathbb{Z}^2 \xrightarrow{\begin{bmatrix} a_1 & 1 \\ 1 & 0 \end{bmatrix}} \mathbb{Z}^2 \xrightarrow{\begin{bmatrix} a_2 & 1 \\ 1 & 0 \end{bmatrix}} \dots \longrightarrow \mathbb{G} \simeq \mathbb{Z} + \theta\mathbb{Z}.$$

where $\theta = [a_1, a_2, a_3, \dots]$.

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$$\text{Inf}(G) = \{g \in G : p(g) = 0, \forall p \in S(G, u)\}.$$

Example:

- ▶ $\theta = \frac{1+\sqrt{5}}{2} = [1, 1, 1, \dots]$.

$$\begin{array}{ccccccc} & & \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix} & & \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix} & & \\ & & \downarrow & & \downarrow & & \\ \mathbb{Z}^2 & \xrightarrow{\quad} & \mathbb{Z}^2 & \xrightarrow{\quad} & \dots & \xrightarrow{\quad} & \mathbb{G} = \mathbb{Z} + \theta\mathbb{Z} \\ & \searrow \tau_1 & \downarrow \tau_2 & & & \swarrow \tau & \\ & & \mathbb{R} & & & & \end{array}$$

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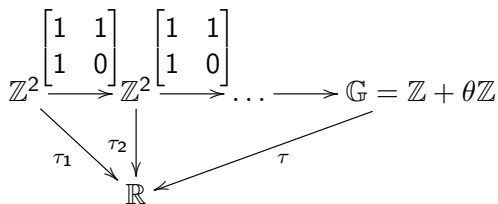
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- ▶ $\ker(\tau_k) = \{(0, 0)\}$.
- ▶ G is totally ordered and so with **unique** state. So

$$\text{Inf}(G) = \{0\}.$$

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- ▶ A **simple** Dimension group is a dimension group **without** non-trivial ordered ideal.
- ▶ A Dimension group is **simple** iff it is the direct limit of a Bratteli diagram with **positive incidence matrices**.

Associated to any **minimal Cantor** system, (X, T) , we have two **simple** dimension groups:

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In fact, $\forall \mu \in \mathcal{M}_T(X)$, $\tau : G \rightarrow \mathbb{R} : [f] \mapsto \int f \, d\mu$.

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- ▶ (ii) $K_m^0(X, T) = K^0(X, T) / \text{Inf}(K^0(X, T))$.

[Giordano, Putnam, Skau, '95]

▶ (X, T) **S.O.E.** (Y, S) iff

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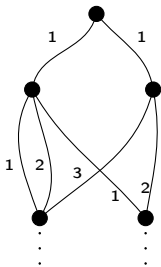
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► [Herman, Putnam, Skau, '92]:

i) \forall simple dimension group (G, u) , \exists CMS (X, T) ;

$$K^0(X, T) \simeq G, \quad u = [1_X].$$

ii) Using *Kakutani-Rokhlin partitions*, (X, T) is conjugate to a *Vershik system* on a properly ordered *Bratteli diagram*, (V, E, \leq) for G .



$$M(1) = \begin{bmatrix} 1 \\ 1 \end{bmatrix}$$

$$M(n) = \begin{bmatrix} 2 & 1 \\ 1 & 1 \end{bmatrix}$$

Example:

- ▶ Odometer based on $a = (a_1, a_2, \dots)$:

$$\mathbb{Z} \xrightarrow{\times a_1} \mathbb{Z} \xrightarrow{\times a_2} \dots \longrightarrow K^0(X, T) = \mathbb{Z}\left[\frac{1}{a}\right].$$

$$\mathbb{Z}\left[\frac{1}{a}\right] = \left\{ \frac{m}{a_1 a_2 \cdots a_k} : m \in \mathbb{Z}, k \in \mathbb{N} \right\}$$

- ▶ A Denjoy's with rotation number θ :

$$\mathbb{Z}^2 \xrightarrow{\begin{bmatrix} a_1 & 1 \\ 1 & 0 \end{bmatrix}} \mathbb{Z}^2 \xrightarrow{\begin{bmatrix} a_2 & 1 \\ 1 & 0 \end{bmatrix}} \dots \longrightarrow K^0(X, T) = \mathbb{Z} + \theta\mathbb{Z}.$$

where $\theta = [a_1, a_2, a_3, \dots]$.

Vershik map:

- ▶ An Odometer:

$$\{0, 1, 2\}^{\mathbb{N}} \rightarrow \{0, 1, 2\}^{\mathbb{N}}$$

$$(2, 2, 2, 0, a, \dots) \mapsto (0, 0, 0, 0 + 1, a, \dots).$$

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- ▶ Let (B, \leq) be an ordered Bratteli diagram and

$$x = (a_1, a_2, \dots, a_{i_0}, \dots)$$

be an infinite path on it. Suppose that i_0 is the first i that a_i is not the max edge. Then

$$T(a_1, a_2, \dots, a_{i_0}, \dots) = (0, 0, \dots, 0, a_{i_0} + 1, \dots).$$

Recall

Dimension group

$$\dots \longrightarrow \mathbb{Z}^{r(i)} \xrightarrow{M_i} \mathbb{Z}^{r(i+1)} \xrightarrow{M_{i+1}} \dots \longrightarrow \mathbb{G}$$

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For **minimal Cantor systems** (X, T)

$$K^0(X, T) = C(X, \mathbb{Z}) / \{f - f \circ T : f \in C(X, \mathbb{Z})\}$$

Kakutani-Rohlin partitions

$$(\mathcal{P}(n) = \{T^{-j}B_k(n); 1 \leq k \leq d(n), 0 \leq j < h_k(n)\} ; n \in \mathbb{N})$$

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(KR4) the sequence of partitions spans the topology of X

Theorem (Herman-Putnam-Skau '92)

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Incidence matrices : $M(n) = m_{l,k}(n)$

$$m_{l,k}(n) = \#\{0 \leq j < h_l(n); T^{-j} B_l(n) \subseteq B_k(n-1)\}.$$

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$$g - f = \beta h = h \circ T - h, \quad h \in C(X, \mathbb{Z})$$

$$\begin{array}{ccccccc}
 & & C(X, \mathbb{Z}) & & & & \\
 & & \uparrow & & & & \\
 \dots & \longrightarrow & C_n & \longrightarrow & C_{n+1} & \longrightarrow & \dots \\
 & & \downarrow & & \downarrow & & \\
 & & \mathbb{Z}^{d(n)} & & \mathbb{Z}^{d(n+1)} & &
 \end{array}$$

C_n : continuous functions constant on atoms of $\mathcal{P}(n)$.

$$\begin{array}{ccccccc}
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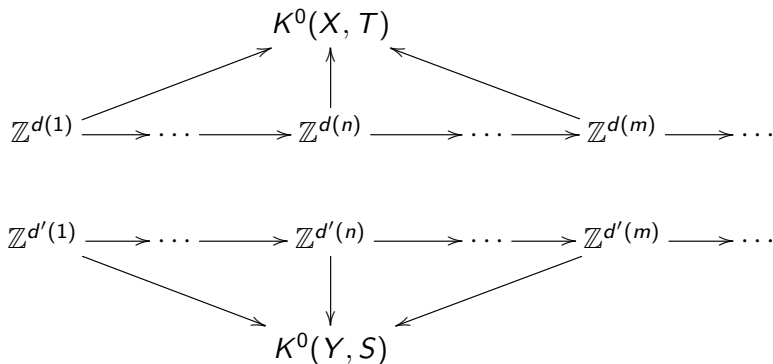
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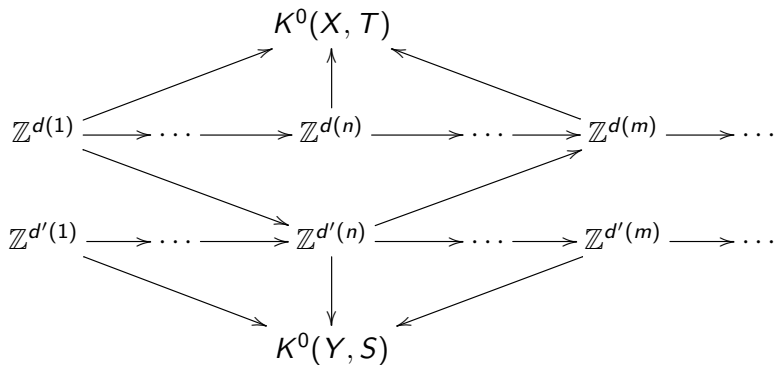
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Idea of the SOE proof

Suppose (X, T) and (Y, S) has the same dimension group



Idea of the SOE proof



How to construct a (continuous) eigenfunction

$\lambda = \exp(2i\pi\alpha)$ eigenvalue of (X, T, μ) .

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We “almost” have $r(x) - r(Tx) = 1$

Thus $f(x) = \lambda^{r(x)}$ “almost” satisfies $f \circ T = \lambda f(x)$.

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- ▶ the sequence $(\alpha r_n(x); n \geq 1)$ converges (mod \mathbb{Z}) uniformly in x .

Heights : $H(n) = (h_l(n); 1 \leq l \leq C(n))^T$.

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where

$$P(n) = M(n)M(n-1) \cdots M(2)$$

Numeration systems for minimal Cantor systems

For each $x \in X$ there exists a sequence $(s_n(x))_n$ such that

$$r_n(x) = \sum_{k=1}^{n-1} \langle s_k(x), H(k) \rangle$$

Conditions to be a continuous eigenvalue

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Theorem (Durand-Frank-Maass15)

λ is a continuous eigenvalue of (X, T) if and only if

$$\sum_n \max_{x \in X} |||\langle s_n(x), \alpha H_n \rangle||| < \infty.$$

Numeration for dynamical systems

Let $\alpha \in E(X, T) \cap [0, 1[$.

$$\alpha H(n) = \alpha P(n)H(1) = P(n)\alpha H(1) \rightarrow 0 \pmod{\mathbb{Z}}$$

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(We will suppose $n_0 = 1$.)

Invariant measures and eigenvalues

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$$\alpha = \alpha \mu(1)^t H(1) = \mu(1)^t (v + w) = \mu(1)^t w \in I(X, T)$$

Observation

Recall : $\alpha \in I(X, T) = \bigcap_{\mu \in \mathcal{M}(X, T)} \{ \mu(U) \mid U \subset X \text{ clopen set} \}$

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Let (X, T) be a minimal Cantor system such that infinitesimal $f \in C(X, \mathbb{Z})$ are coboundaries. Then

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- ▶ Characterization of continuous eigenvalues

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Thus $I/E = \mathbb{Z}/2\mathbb{Z}$ has torsion element ...

Giordano-Handelman-Hosseini theorem

Theorem (GHH17)

Let (X, T) be a minimal Cantor system. Then

$$K^0(X, T)/\Theta(E(X, T))$$

is torsion free where ...

Proposition

$\Theta : E(X, T) \rightarrow K^0(X, T)$ defined by

$$\Theta(\alpha) = \begin{cases} [\alpha][1_X] + [1_{U_{\{\alpha\}}}] & \text{if } \alpha \geq 0, \\ [\alpha][1_X] + [1_{U_{\{\alpha\}}}] & \text{if } \alpha < 0. \end{cases}$$

is an injective homomorphism where ...

Theorem

$\alpha \in E(X, T) \cap [0, 1]$ if and only if there exists a clopen set $U = U_\alpha$ such that

$$\mathbf{1}_{U_\alpha} - \alpha \cdot \mathbf{1}$$

is a real coboundary. Moreover, for every $\mu \in \mathcal{M}(X, T)$,

$$\mu(U_\alpha) = \alpha.$$

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(X_α, S) and (X_β, S) with α and β rationally independent with $0 < \alpha + \beta < 1$.

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Observation: in this case

$$\bigcap_n \mathbb{R}^d M(n)M(n-1) \cdots M(2) = \mathbb{R}(1 - (\alpha + \beta), \alpha, \beta)$$