THE TOPOLOGICAL ENTROPY OF BANACH SPACES

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ABSTRACT. We investigate some properties of (universal) Banach spaces of real functions in the context of topological entropy. Among other things, we show that any subspace of C([0, 1]) which is isometrically isomorphic to ℓ_1 contains a functions with infinite topological entropy. Also, for any $t \in [0, \infty]$, we construct a (one-dimensional) Banach space in which any nonzero function has topological entropy equal to t.

1. INTRODUCTION

Let C([0,1]) denote the set of all continuous functions $f:[0,1] \to \mathbb{R}$ equipped with the supremum norm. A theorem of Banach and Mazur [2] states that the Banach space C([0,1]) is universal, *i.e.*, every real, separable Banach space X is isometrically isomorphic to a closed subspace of C([0,1]). It is known that one can require more properties of the functions of C([0,1]) in the image of X: a universal space containing only the zero function and nowhere differentiable functions [7], resp. consisting of the zero function and nowhere approximatively differentiable and nowhere Hölder functions [3] has been proved. On the other hand, no universal space can consist of functions of bounded variation [4] and every isometrically isomorphic copy of ℓ_1 (*i.e.*, the space of sequences with 1-norm) in C([0,1]) contains a function which is non-differentiable at every point of a perfect subset of [0, 1], see [6].

In this paper we are going to investigate some properties of (universal) Banach spaces of real functions of a real variable in the context of topological entropy. We show how to construct a universal Banach space using the zero function and functions with infinite topological entropy - Theorem 3, and as a supplement of the result from [6] we show that any subspace of C([0, 1]) which is isometrically isomorphic to ℓ_1 contains a functions with infinite topological entropy - Theorem 2. Finally, for any $t \in [0, \infty]$ we construct a (one-dimensional) Banach space in which any nonzero function has its topological entropy equal to t - Theorem 4.

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2. Preliminaries and auxiliary results

Let $C_b(X)$ denote the set of all *bounded* continuous functions $f: X \to \mathbb{R}$ equipped with the supremum norm. Clearly, $C_b(\mathbb{R})$ is a non-separable Banach space. Let [a, b]be a closed finite subinterval of \mathbb{R} . We identify $f: [a, b] \to \mathbb{R}$ with its extension

(1)
$$(\operatorname{Ex} f)(x) = \begin{cases} f(x) & \text{if } x \in [a, b];\\ f(b) & \text{if } x \ge b;\\ f(a) & \text{if } x \le a. \end{cases}$$

Under this identification, $C([a, b]) \subset C_b(\mathbb{R})$. We will deal with the topological entropy of maps from $C_b(\mathbb{R})$ defined as $h_{top}(f) := h_{top}(f|_{\overline{f(\mathbb{R})}})$ - see [1, Chapter 4].

The well known Banach - Mazur Theorem states that the Banach space C([0, 1]) is universal, *i.e.*, every real, separable Banach space \mathcal{D} is isometrically isomorphic to a closed subspace of C([0, 1]). Since by our convention, C([0, 1]) is a closed subspace of $C_b(\mathbb{R})$, the non-separable space $C_b(\mathbb{R})$ is also universal. In our paper we will restrict ourselves to separable universal Banach spaces only.

Following [1] we recall the notion of horseshoe.

Definition 1. A function $f \in C_b(\mathbb{R})$ is said to have a d-horseshoe if there exist d subintervals I_1, I_2, \ldots, I_d of \mathbb{R} with disjoint interiors such that $f(I_i) \supset I_j$ for all $1 \leq i, j \leq d$.

Proposition 1. [5] If $f \in C_b(\mathbb{R})$ has a d-horseshoe then $h_{top}(f) \ge \log d$.

In the next lemma we denote by F(X) a linear space of functions $f: X \to \mathbb{R}$.

Lemma 1. Given n linearly independent functions in F(X), there exist n points $x_1, \ldots, x_n \in X$ such that the vectors

$$\begin{pmatrix} f_1(x_1) \\ f_1(x_2) \\ \vdots \\ f_1(x_n) \end{pmatrix}, \begin{pmatrix} f_2(x_1) \\ f_2(x_2) \\ \vdots \\ f_2(x_n) \end{pmatrix}, \dots, \begin{pmatrix} f_n(x_1) \\ f_n(x_2) \\ \vdots \\ f_n(x_n) \end{pmatrix}$$

are linearly independent in \mathbb{R}^n .

Proof. This is clear if n = 1. Assume now by induction that the lemma holds for k < n and points x_1, \ldots, x_k . For the unique linear combination such that $f_{k+1}(x_i) = a_1 f_1(x_i) + \cdots + a_k f_k(x_i)$ for all $1 \le i \le k$. Now if $f_{k+1}(x) = a_1 f_1(x) + \cdots + a_k f_k(x)$ for all $x \in X$, then f_1, \ldots, f_{k+1} are linearly dependent, contrary to our assumption. So there must be some other point x_{k+1} for which $f_{k+1}(x_{k+1}) \ne a_1 f_1(x_{k+1}) + \cdots + a_k f_k(x_{k+1})$, which concludes the induction step. \Box

3. The Main Theorems

Definition 2. For a given set $\mathcal{B} \subset C_b(\mathbb{R})$, let

$$h_{top}^+(\mathcal{B}) = \sup\{h_{top}(f) : f \in \mathcal{B}\},\$$

 $h_{top}^{-}(\mathcal{B}) = \inf\{h_{top}(f) : f \in \mathcal{B}, f \text{ is non-zero}\}.$

Theorem 1. If a linear space $\mathcal{B} \subset C_b(\mathbb{R})$ has dimension n, then

$$h_{top}^+(\mathcal{B}) \ge \log(n-1).$$

In particular, $h_{top}^+(\mathcal{B}) = \infty$ if dim $(\mathcal{B}) = \infty$.

Proof. Take $f_1, \ldots, f_n \in \mathcal{B}$ linearly independent and find points x_1, \ldots, x_n as in Lemma 1. We can assume that $x_1 < x_2 < \cdots < x_n$. Form a linear combination $f = a_1 f_1 + \cdots + a_n f_n$ such that $f(x_i) = x_1$ if *i* is odd, and $f(x_i) = x_n$ if *i* is even. Then *f* has an (n-1)-horseshoe, so from Proposition 1 we get $h_{top}(f) \ge \log(n-1)$ as required. \Box

Example 1. (i) Let [a, b] be a closed subinterval of \mathbb{R} . Given a continuous function $f : \mathbb{R} \to \mathbb{R}$, let

$$(Cr_{[a,b]}f)(x) = \begin{cases} f(x) & \text{if } x \in [a,b];\\ f(b) & \text{if } x \ge b;\\ f(a) & \text{if } x \le a, \end{cases}$$

be the cropped version of f. Clearly $Cr_{[a,b]}f \in C([a,b]) \subset C_b(\mathbb{R})$. Let

$$P^{n-1} = \{ Cr_{[a,b]}p : p \in C(\mathbb{R}) \text{ is a polynomial of degree } \le n-1 \}.$$

Then P^{n-1} has dimension n, each $f \in P^{n-1}$ is at most n-2-modal, so our definition of the entropy $h_{top}(f)$ and [1, Theorem 4.2.4] imply that $h_{top}(f) \leq \log(n-1)$. This shows that the bound in Theorem 1 is sharp.

$$P = \{ Cr_{[a,b]}p : p \in C(\mathbb{R}) \text{ is a polynomial } \}.$$

Then P is a normed linear subspace of C([a, b]) and $\dim(P) = \infty$, hence by Theorem 1, $h_{top}^+(P) = \infty$. By the same argument as above, the entropy of any $p \in P$ satisfies $h_{top}(p) \leq \log \deg(p)$, so it is finite.

Theorem 1 does not answer the question whether every infinite dimensional Banach space $\mathcal{A} \subset C_b(\mathbb{R})$ contains a function with infinite entropy. Our next example shows that in general it is not the case.

Example 2. For $n \ge 1$ and $a \in \mathbb{R}$, let $f_{n,a} : \mathbb{R} \to \mathbb{R}$ be given by

$$f_{n,a}(x) = \begin{cases} a \cdot (x - 2 + \frac{1}{n}) \cdot (2 - \frac{1}{n+1} - x) & \text{if } x \in J_n := [2 - \frac{1}{n}, 2 - \frac{1}{n+1}]; \\ 0 & \text{otherwise.} \end{cases}$$

Clearly $f_{n,a}$ is unimodal, so its entropy $h_{top}(f_{n,a}) \leq \log 2$. Consider the smallest Banach space Q (subspace of $C_b(\mathbb{R})$ with supremum norm) containing all finite sums

 $f_{1,a_1} + f_{2,a_2} + \dots + f_{n,a_n}$. Then $dim(Q) = \infty$, $\lim_{x \to 2_-} f(x) = 0$ for each $f \in Q$ and if

$$\max\{x \in \mathbb{R} \colon f(x) = 1\} \in J_n,$$

then since the modality of $f|_{[1,1-\frac{1}{n+1}]}$ is at most 2n and $f^2(x) = 0$ for $x \notin [1,1-\frac{1}{n+1}]$, we conclude that $h_{top}(f) \leq \log(2n+1)$.

As a counterpart of the previous example we will prove the following theorem.

Theorem 2. Let $\mathcal{A} \subset C([0,1])$ be isometrically isomorphic to ℓ_1 . Then \mathcal{A} contains a function with infinite topological entropy.

Proof. Let Φ be an isometrical isomorphism ensured by the statement, so $\Phi(\ell_1) = \mathcal{A}$. For $i \in \mathbb{N}$ let $e_i = (e_{ij})_{j=1}^{\infty} \in \ell_1$ be defined by

$$e_{ij} = \delta_{ij},$$

where δ_{ij} is the Kronecker delta. Then for every $n \in \mathbb{N}$ and every choice of distinct positive integers $i(1), \ldots, i(n)$

$$\|\pm e_{i(1)}\pm\cdots\pm e_{i(n)}\|_{\ell_1}=n.$$

Denote $f_i = \Phi(e_i) \in \mathcal{A} \subset C([0,1]), i \in \mathbb{N}$. Clearly $||f_i|| = 1$; in particular for every $x \in [0,1]$,

$$|f_i(x)| \leqslant 1$$

Claim 1. For every $s = (s_i)_i \in \{1, -1\}^{\mathbb{N}}$ there exists a point $x \in [0, 1]$ such that the sequence $(f_i(x))_{i \in \mathbb{N}}$ is equal to either s or -s.

Proof. Assume that for some n,

$$\forall x \in [0,1]: (f_i(x))_{i=1}^n \neq (s_i)_{i=1}^n \text{ and } (f_i(x))_{i=1}^n \neq (-s_i)_{i=1}^n;$$

then (2) implies $|\sum_{i=1}^{n} s_i f_i(x)| < n$ for every $x \in [0, 1]$. This contradicts the equalities

(3)
$$\|\sum_{i=1}^{n} s_i e_i\|_{\ell_1} = \|\sum_{i=1}^{n} s_i f_i\| = n.$$

Thus, for each $n \in \mathbb{N}$ one can find a point $x_n \in [0, 1]$ for which either $(f_i(x_n))_{i=1}^n = (s_i)_{i=1}^n$ or $(f_i(x_n))_{i=1}^n = (-s_i)_{i=1}^n$. Taking a limit point x of the sequence $(x_n)_n$, from the continuity of the functions f_i we get either $(f_i(x)) = s$ or $(f_i(x)) = -s$. \Box

For n > 1 define the matrix $A_n = (a_{ij}^n)_{i,j=1}^n$ by $a_{ij} = (-1)^i$ for $1 \leq j < i$ and $a_{ij} = (-1)^{i+1}$ when $i \leq j \leq n$. For instance, the particular matrix A_8 is

(+1)	+1	+1	+1	+1	+1	+1	+1
+1	-1	-1	-1	-1	-1	-1	-1
-1	-1	+1	+1	+1	+1	+1	+1
+1	+1	+1	-1	-1	-1	-1	-1
-1	-1	-1	-1	+1	+1	+1	+1
+1	+1	+1	+1	+1	-1	-1	-1
-1	-1	-1	-1	-1	-1	+1	+1
$\setminus +1$	+1	+1	+1	+1	+1	+1	-1 /

One can easily verify the following fact.

Claim 2. For any $\beta \in \mathbb{R}^n$, the linear equation $A_n \alpha = \beta$ has a unique solution α given by the formulas

(4)
$$\alpha_i = \frac{\beta_i + \beta_{i+1}}{(-1)^{i+1}2}, \ i = 1, \dots, n-1, \quad \alpha_n = \frac{\beta_1 + (-1)^{n+1}\beta_n}{2}.$$

In particular, $\max |\alpha_i| \leq \max |\beta_i|$.

Let us denote the *i*-th row of the matrix A_n by $a_i^n = (a_{i1}^n, a_{i2}^n, \cdots, a_{in}^n)$. By Claim 1, for each n > 1 there are distinct points $x_1^n, \ldots, x_n^n \in [0, 1]$ such that either

(5)
$$f_1(x_i^n) = \dots = f_{2^n}(x_i^n) = 1, \ (f_{2^n+1}(x_i^n), f_{2^n+2}(x_i^n), \dots, f_{2^n+n}(x_i^n)) = a_i^n,$$

or

(6)
$$f_1(x_i^n) = \dots = f_{2^n}(x_i^n) = -1, \ (f_{2^n+1}(x_i^n), f_{2^n+2}(x_i^n), \dots, f_{2^n+n}(x_i^n)) = -a_i^n.$$

Put $X_n = \{x_1^n, \ldots, x_n^n\}$. Since $n = \operatorname{card}(X_n)$ is growing to infinity, one can consider subsets $X'_n \subset X_n$ satisfying

(7)
$$\lim_{n \to \infty} \operatorname{card}(X'_n) = \infty, \ \lim_{n \to \infty} \operatorname{diam}(X'_n) = 0.$$

Passing to a subsequence if necessary, we can assume that $X'_n \to x_0 \in [0, 1], i.e.$

(8)
$$\forall \varepsilon > 0 \exists n_0 \forall n > n_0 \colon X'_n \subset (x_0 - \varepsilon, x_0 + \varepsilon).$$

Now, using (5) and (6), we obtain that either $(f_i(x_0))_i = (1)_i$ or $(f_i(x_0))_i = (-1)_i$. Without loss of generality assume the first possibility. Notice that then

(9)
$$\forall n > 1: (f_{2^n+1}(x_0), f_{2^n+2}(x_0), \cdots, f_{2^n+n}(x_0)) = a_1^n.$$

We can formally put

(10)
$$e = x_0 e_1 + \sum_{n=2}^{\infty} \sum_{k=1}^n \alpha_k^n e_{2^n+k}, \ \Phi(e) = f(x) = x_0 f_1(x) + \sum_{n=2}^{\infty} \sum_{k=1}^n \alpha_k^n f_{2^n+k}(x),$$

where coefficients $\alpha^n = (\alpha_1^n, \alpha_2^n, \cdots, \alpha_n^n)$ satisfy a linear equation $A_n \alpha^n = \beta^n, \beta^n = (\beta_1^n, \beta_2^n, \cdots, \beta_n^n) \in \mathbb{R}^n$. It can be easily seen that $f \in \mathcal{A}$ if and only if

$$\sum_{n=2}^{\infty}\sum_{k=1}^{n}|\alpha_{k}^{n}|<\infty.$$

Moreover, if $\beta_1^n = 0$ for each n and $f \in C([0, 1])$ then $f(x_0) = x_0$ by the equation $x_0 f_1(x_0) = x_0$ and the property (9) implying

$$\sum_{k=1}^{n} \alpha_k^n f_{2^n + k}(x_0) = 0 \text{ for each } n.$$

Using Claim 2 we will show in the sequel that there exists a sequence $(\beta^n = (0, \beta_2^n, \dots, \beta_n^n))_n$ such that the corresponding function f given by (10) satisfies $f \in \mathcal{A}$ and $h_{top}(f) = \infty$. In what follows we denote

$$g_1(x) = x_0 f_1(x), \ g_m(x) = g_1(x) + \sum_{n=2}^m \sum_{k=1}^n \alpha_k^n f_{2^n+k}(x), \ m \ge 2$$

Let $\omega(f, X) = \sup_{x,y \in X} |f(x) - f(y)|$ denote the oscillation of a function f on a set X. For a positive $\varepsilon(i)$ we use the notation $J(i) = [x_0 - \varepsilon(i), x_0 + \varepsilon(i)]$. The zero element in \mathbb{R}^n is denoted by 0_n . Let $(\gamma_m)_{m \in \mathbb{N}}$ be a sequence of positive numbers satisfying for each m

(11)
$$\gamma_m > \sum_{i=m+1}^{\infty} 2(i+3)\gamma_i.$$

Step 0. n(0) = 1.

Step 1. We can find values $\varepsilon(1) > 0$ and n(1) > n(0) + 1 such that

(12)
$$\varepsilon(1) + \omega(g_{n(0)}, J(1)) < \gamma_1 - \sum_{i=2}^{\infty} 2(i+3)\gamma_i,$$
$$J(1) \cap X'_{n(1)} \supset \{x_{i(1)}^{n(1)} < x_{i(2)}^{n(1)} < x_{i(3)}^{n(1)} < x_{i(4)}^{n(1)}\}.$$

We put $\beta^n = 0_n$ for each n(0) < n < n(1); the coefficients $\alpha_k^{n(1)}$, $k = 1, \ldots, n(1)$ are gained as the unique solution of the linear equation $A_{n(1)}\alpha^{n(1)} = \beta^{n(1)}$, where (as we already know) $\beta_1^{n(1)} = 0$, $\beta_{i(j)}^{n(1)} = (-1)^j \gamma_1$, j = 1, 2, 3, 4 and $\beta_i^{n(1)} = 0$ otherwise.

Step m. We can find values $\varepsilon(m) > 0$ and n(m) > n(m-1) + 1 such that

(13)
$$\varepsilon(m) + \omega(g_{n(m-1)}, J(m)) < \gamma_m - \sum_{i=m+1}^{\infty} 2(i+3)\gamma_i,$$
$$J(m) \cap X'_{n(m)} \supset \{x_{i(1)}^{n(m)} < x_{i(2)}^{n(m)} < \dots < x_{i(m+2)}^{n(m)} < x_{i(m+3)}^{n(m)}\}.$$

We put $\beta^n = 0_n$ for each n(m-1) < n < n(m+1); the coefficients $\alpha_k^{n(m)}$, $k = 1, \ldots, n(m)$ are gained as the unique solution of the linear equation $A_{n(m)}\alpha^{n(m)} = \beta^{n(m)}$, where $\beta_1^{n(m)} = 0$, $\beta_{i(j)}^{n(m)} = (-1)^j \gamma_m$, $j = 1, \ldots, m+3$ and $\beta_i^{n(m)} = 0$ otherwise.

Since by Claim 2, $\alpha_k^n = 0$ for $n \neq n(m)$, $|\alpha_k^{n(m)}| \leq \gamma_m$ and by (4) there are at most 2(m+3) nonzero coefficients $\alpha_k^{n(m)}$, one can see that by our choice of the β 's

$$\sum_{n=2}^{\infty} \sum_{k=1}^{n} |\alpha_k^n| \leqslant \sum_{m=1}^{\infty} \sum_{k=1}^{n(m)} |\alpha_k^{n(m)}| \leqslant \sum_{m=1}^{\infty} 2(m+3)\gamma_m < \infty.$$

Thus, the function f given by the above coefficients α_k^n and the formula (10) belongs to the space \mathcal{A} . Using the equality $g_{n(m-1)}(x_0) = x_0$ and (11), (13) we get for $j \leq m+3$ odd

$$f(x_{i(j)}^{n(m)}) \leq x_0 + \omega(g_{n(m-1)}, J(m)) - \gamma_m + \sum_{i=m+1}^{\infty} 2(i+3)\gamma_i \leq x_0 - \varepsilon(m)$$

and analogously for $j \leq m+3$ even

$$f(x_{i(j)}^{n(m)}) \ge x_0 - \omega(g_{n(m-1)}, J(m)) + \gamma_m - \sum_{i=m+1}^{\infty} 2(i+3)\gamma_i \ge x_0 + \varepsilon(m).$$

At the same time $[x_{i(j)}^{n(m)}, x_{i(j+1)}^{n(m)}] \subset J(m) = [x_0 - \varepsilon(m), x_0 + \varepsilon(m)]$, hence the function f has an (m+2)-horseshoe (created by the points $x_{i(1)}^{n(m)}, x_{i(2)}^{n(m)}, \ldots, x_{i(m+3)}^{n(m)})$ on the interval J(m). It means that $h_{top}(f) \ge \log(m+2)$ and m can be arbitrarily large. \Box

Theorem 3. There is a universal Banach space $\mathcal{A} \subset C_b(\mathbb{R})$ such that $h_{top}(f) = \infty$ for every non-zero f from \mathcal{A} .

Proof. Take $p_n = 2^{-n}$ for $n \ge 0$ and $\{q_n\}_{n\ge 0}$ a decreasing sequence such that $q_0 = 1$, $q_n \ge p_n$ for all $n, q_n/p_n \to \infty$, but $q_n \to 0$. Choose intervals $I_n = [\frac{3}{4}p_n, \frac{5}{4}p_n]$ and $J_n = (\frac{2}{3}p_n, \frac{4}{3}p_n) \supset I_n$, both 'centered' at p_n . Notice also that the J_n 's are adjacent: $\frac{2}{3}p_n$ is the common boundary point of J_n and J_{n+1} . Now for a function $f \in C([0, 1])$, construct $g := \Psi(f) \in C_b(\mathbb{R})$ as follows, see Figure 1:

$$g(y) = \begin{cases} 0 & \text{if } y = 0; \\ q_n \cdot f(\frac{2y}{p_n} - \frac{3}{2}) & \text{if } y \in I_n \text{ for some } n \ge 0; \\ 0 & \text{if } y \in \bigcup_n \partial J_n; \\ 0 & \text{if } y \ge \frac{4}{3}; \\ \text{by linear interpolation} & \text{if } y \in \bigcup_n (J_n \setminus I_n); \\ g(-y) & \text{if } y < 0; \end{cases}$$

Let $\mathcal{A} = \Psi(C([0,1])) \subset C([-\frac{4}{3},\frac{4}{3}])$ equipped with the norm $(q_0 = 1)$ $\sup_{y \in \mathbb{R}} |g(y)| = ||g|| = \sup_{y \in I_0} |g(y)| = ||f||,$



FIGURE 1. The maps $f \in C([0,1])$ and $\Psi(f) = g \in C([-\frac{4}{3},\frac{4}{3}])$, $p_n = (\frac{1}{2})^n, q_n = (\frac{2}{3})^n, n \ge 0$.

so Ψ is an isometrical isomorphism and \mathcal{A} is a separable Banach space.

If f is not constant zero, then $g = \Psi(f)$ is not constant zero either and

$$\sup_{y \in I_n} |g(y)| = q_n ||f|| > 0.$$

Fix $d \in \mathbb{N}$ arbitrary. Since $q_n/p_n = q_n/2^{-n} \to \infty$, there is an $n \in \mathbb{N}$ such that

$$q_n \|f\| > 2^{-n+d} = p_{n-d}$$

Since $\{q_i\}_i$ is decreasing and $g(\pm \partial J_i) = 0$ for all i (where $-J_i = \{y : -y \in J_i\}$), it follows that $g(I_i) = g(-I_i) \supset [0, \max J_{n-d+1}]$ or $[-\max J_{n-d+1}, 0]$ for all $n-d+1 \leq i \leq n$. Hence, within the intervals J_{n-d+1}, \ldots, J_n , or within $-J_{n-d+1}, \ldots, -J_n$, we can choose d intervals that form a d-horseshoe. This implies that $h_{top}(g) \geq \log d$. As d was arbitrary, $h_{top}(g) = \infty$.

For a real, separable Banach space \mathcal{B} we will find an isometrical isomorphism Φ : $\mathcal{B} \to \mathcal{A}$. Since by the Banach-Mazur Theorem the space C([0,1]) is universal, there is an isometrical isomorphism $\tilde{\Phi} : \mathcal{B} \to C([0,1])$. Using the above constructed isometrical isomorphism $\Psi : C([0,1]) \to \mathcal{A}$, the required Φ is just $\Psi \circ \tilde{\Phi}$. \Box

Remark 1. Recall that $f \in C^{\alpha}(\mathbb{R})$ (f is α -Hölder on \mathbb{R}) for some $\alpha \in (0,1)$ if

$$\sup\left\{\frac{|f(x) - f(y)|}{|x - y|^{\alpha}} \colon x, y \in \mathbb{R}, \ 0 < |x - y| \leq 1\right\} < \infty.$$

For some fixed $\alpha \in (0,1)$, if we choose $q_n = p_n^{\alpha}$ and $f \in C^{\alpha}([0,1])$, then $\Psi(f)$ is α -Hölder on \mathbb{R} . Therefore $\mathcal{A}^{\alpha} := \Psi(C^{\alpha}([0,1])) \subset C_b^{\alpha}(\mathbb{R})$ is a normed (infinite dimensional) linear space such that $h_{top}(f) = \infty$ for every non-zero f from \mathcal{A}^{α} .

4. ENTROPY OF ONE-DIMENSIONAL BANACH SPACES

Even if $\dim(\mathcal{B}) = 1$, it is still possible that $h_{top}^+(\mathcal{B}) = \infty$. As the following example shows, the upper bound for the entropy need not be attained.

Example 3. Let \mathcal{B} be spanned by $f(x) = \sin x$, then λf admits a d-horseshoe whenever $|\lambda| \geq 2\pi d$. Therefore $h_{top}^+(\mathcal{B}) = \infty$.

The above example also shows that there is no sensible upper bound for $h_{top}^+(\mathcal{B})$ in terms of dim(\mathcal{B}) only. However, $h_{top}^-(\mathcal{B}) = 0$ - see Definition 2.

In this section we will be investigating the equality $h_{top}^{-}(\mathcal{B}) = h_{top}^{+}(\mathcal{B})$ for onedimensional subspaces \mathcal{B} of $C_b(\mathbb{R})$: so far we know that for some \mathcal{B} ,

- $h_{top}^{-}(\mathcal{B}) = h_{top}^{+}(\mathcal{B}) = \infty$ (easy consequence of Theorem 3)
- $h_{top}^{-}(\mathcal{B}) = h_{top}^{+}(\mathcal{B}) = 0$ (\mathcal{B} is spanned by a monotone map)

The following statement shows that the entropy can behave extremely rigidly on a one-dimensional subspace of $C_b(\mathbb{R})$.

Theorem 4. For any $t \in [0, \infty]$, there exists a function $f \in C_b(\mathbb{R})$ such that for $\mathcal{B} = \{\lambda f\}_{\lambda \in \mathbb{R}}$ satisfies $h_{top}^-(\mathcal{B}) = h_{top}^+(\mathcal{B}) = t$.

Proof. The case t = 0 and $t = \infty$ were covered previously, so let $t \in (0, \infty)$ arbitrary and take an odd integer $d > e^t$.

Let $\theta_a : [0, \infty) \to [0, \infty)$ be a one-parameter family (with $a \in [0, 1]$) of at most *d*-modal continuous maps such that for each $a \in [0, 1]$, $\theta_a([9, 10]) \subset [9, 10]$ and $\theta_a(x) = x$ whenever $x \notin (9, 10)$, θ_0 is the identity, and θ_1 has a full *d*-horseshoe on [9, 10]. In the C^1 topology for maps of fixed modality, topological entropy depends continuously on the map, see [1, Cor. 4.5.5], so there is no loss in generality in assuming that $h_{top}(\lambda \cdot \theta_a)$ is continuous in both $a \in [0, 1]$ and $\lambda \in [\frac{9}{10}, \frac{10}{9}]$. (Note that $h_{top}(\lambda \cdot \theta_a) \equiv 0$ for $\lambda \ge 0$ outside this interval.) Therefore $r_a = \sup_{\lambda \ge 0} h_{top}(\lambda \cdot \theta_a)$ is is continuous in a as well, and $r_0 = 0, r_1 = \log d > t$. Therefore there is a^* such that $r_{a^*} = t$. Fix $\Theta = \theta_{a^*}$.

Next let $\{\lambda_i\}_{i>0}$ be a denumeration of the positive rationals such that $\lambda_1 = 1$ and

(14)
$$\lambda_{n+1} \leq 2\lambda_n \quad \text{for all } n \geq 0.$$

Let $x_n = 4^{-n}$ and $I_n = [0.9x_n, x_n]$ for $n \ge 0$. Now we set

$$f(x) = \begin{cases} \lambda_n \cdot \frac{x_n}{10} \cdot \Theta(\frac{10}{x_n} \cdot x) & \text{if } x \in I_n; \\ 0 & \text{if } x = 0; \\ 10 & \text{if } x \ge 10; \\ \text{by linear interpolation} & \text{if } x \in (0, 10) \setminus \bigcup_n I_n; \\ f(-x) & \text{if } x < 0. \end{cases}$$

Fix $\lambda > 0$. By assumption (14) we have that $\lambda f(x) \leq \lambda f(y)$ for all $x \in I_{n+1}$, $y \in I_n$ and $n \geq 0$. It is not hard to see that every orbit with respect to λf can visit only finitely many intervals I_n , and at most one of them infinitely often. Therefore, if we choose some x > 0, then $\omega(x)$ can only belong to a single I_n , and only if the diagonal intersects the box $I_n \times \lambda f(I_n)$. By our choice of a^* (and hence Θ), $h_{top}(\lambda f|_{I_n}) \leq t$. Since $x \geq 0$ is arbitrary, $h_{top}(\lambda f) \leq t$.

For $\varepsilon > 0$ let λ^* satisfy $h_{top}(\lambda^*\Theta) \ge t - \varepsilon$. Since $\{\lambda_n\}_{n\geq 0}$ is dense in $[0,\infty)$ there is some interval I_m such that $\lambda_m\lambda$ is sufficiently close to λ^* hence $h_{top}(\lambda_m\lambda\Theta) \ge t - 2\varepsilon$ and also $h_{top}(\lambda f|_{I_m}) \ge t - 2\varepsilon$. This shows that $h_{top}(\lambda f) \ge t$, and so we have $h_{top}(\lambda f) = t$.

Finally, the dynamics of $-\lambda f$ on $(-\infty, 0]$ is conjugate to the dynamics of λf on $[0, \infty)$, so also $h_{top}(-\lambda f) = t$.

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